

FLOCERT GmbH
Public Compliance Criteria List - Trade Certification

Compliance Criteria are established by FLOCERT to translate requirements of the Fairtrade Standards and FLOCERT requirements into verifiable control points that are evaluated during the certification process to determine compliance with the Fairtrade Standards. Non-conformity with a Compliance Criterion is considered a non-conformity with the respective standard requirement. Each Compliance Criterion is linked to a specific timeline indicating when it needs to be fulfilled.

There are six types of Compliance Criteria:

Core (C): reflect Fairtrade principles and must be complied with.

Major (M): reflect key Fairtrade principles where non-compliance represents a major risk to the Fairtrade system. Non-conformity with a major compliance criterion may lead to certification sanctions.

Voluntary Best Practice (V): refer to additional steps that all supply chain actors can take to foster even fairer trading conditions. These are voluntary and not required in order to be in compliance with the Fairtrade Trader Standard.

Reactive (R): reflect criteria related to the reactive assurance approach applied for requirements of the Trader Standard Production chapter. They apply to all traders but are only proactively checked IF there are indications of non-compliance. Indications can be obtained e.g., via allegations or via observations during trader audits.

Specific (S): criteria which are used by FLOCERT's auditors as preparation questions for the audit - you can find them in the "Preparation Questions" part of the checklist. They are not relevant for customers.

Follow-up (F): criteria which are only applicable to follow-up audits.

Instead of a plain yes/no-approach there are 5 levels of compliance, called ranks. Rank 1 and 2 indicate non-compliance whereas ranks 3 to 5 indicate compliance with the Fairtrade Standards. The FLOCERT Public Compliance Criteria List - Trader Certification - is based on the Fairtrade Trader Standard (version dated April 16th, 2024) and the Fairtrade Product Standards published by Fairtrade International. This version of the Compliance Criteria supersedes all previous versions. The Compliance Criteria are published in several languages. In the case of an interpretation dispute in any of the published languages, the English version of the Compliance Criteria prevails.

How to use this document?

Not all Compliance Criteria must be met in all cases; some apply only for certain products and others apply only to certain types of traders. This is indicated in brackets at the beginning of the respective criterion. To find out which requirements are applicable, you should first identify which type of trader you are (payer, conveyor, non-payer). This will depend on whom you are buying from and on the level the price is set in the Fairtrade Minimum Price and Premium Table (available at www.fairtrade.net). Annex 1 of the Fairtrade Trader Standard provides detailed information on this topic.

Please contact FLOCERT in case of doubt.

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|-----------|-----------------|--------|--|--------|--------|--------|--------|--------|------|---------------|
| | | 0 | Preparation Questions | | | | | | | |
| | | 0.0.01 | Is the customer Price and/or Premium Payer or Conveyer? | | | | | | 0 | S |
| | | 0.0.02 | Can physical traceability be checked during the audit of the site? | | | | | | 0 | S |
| | | 0.0.03 | Does the customer implement Mass Balance? | | | | | | 0 | S |
| | | 0.0.04 | Does the customer trade/manufacture composite products or composite ingredients? | | | | | | 0 | S |
| | | 0.0.07 | Is the customer categorized as small licensee? | | | | | | 0 | S |
| | | 0.0.08 | Has the customer signed a licensee agreement with a National Fairtrade Organization (NFO) and is entitled to sell under the 'Point of Sale' scheme? | | | | | | 0 | S |
| | | 0.0.09 | (Mandatory to be checked during initial and renewal audits, and - if indicated in the ToR - during focused audits) Does the customer demonstrate compliance with the Voluntary Best Practice (VBP) requirements? | | | | | | 0 | S |
| | | 0.0.10 | Is an own or subcontracted additional entity included into the audit? | | | | | | 0 | S |
| | | 1 | General Requirements | | | | | | | |
| | | 1.1 | Right to Trade Fairtrade Products | | | | | | | |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|-----------|-----------------|----------|---|--------|--------|--------|--------|--------|------|---------------|
| 1.1.1 | Trader | 1.1.0.01 | <p>You have a valid FLOCERT permission to trade or a valid FLOCERT certificate for each product bought and/or sold as Fairtrade.</p> <p>You do not indicate in your documents (contracts, invoices, inventory records) and / or on the physical product, that it is a Fairtrade product if you purchased it before getting a FLOCERT permission to trade.</p> | No | | Yes | | | 0 | M |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|-----------|-----------------|----------|--|--|--|--|--------|--|------|---------------|
| 1.1.2 | Trader | 1.1.0.02 | (Also applies to additional entities) You accept announced and unannounced audits of your premises, including additional entities, by providing access to all the sites that are relevant for the audit, making available responsible staff for the audits and providing all information that is necessary to verify compliance. | Entry to the premises or documents was intentionally denied. The audit cannot be performed due to lack of key information. | Access to certain required documents, sites or interviewees was denied OR responsible staff were not available, for unjustified reasons, to provide the information needed to check compliance OR fake / forged documents or information were provided. Complete sections of the checklist cannot be | The information, support and access to site were adequate to complete the audit. | | RANK 3 AND the audit was facilitated in such a way that the auditor could make independent, quick and easy assessment of compliance/non compliance | 0 | M |

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|-----------|-----------------|----------|--|---|---|---|--------|--------|------|---------------|
| | | | | evaluated. | | | | | | |
| 1.1.3 | Trader | 1.1.0.05 | (Also applies to additional entities; Does not apply to entities that do not fall into the scope of certification) Your additional entities where Fairtrade activities take place comply with the definition of additional entity and are registered with FLOCERT (see Fairtrade Assurance Manual on the FLOCERT website). | All additional entities that are used to handle Fairtrade products are not registered with FLOCERT OR do not comply with the AE definition. | Some of the additional entities that are used to handle Fairtrade products are not registered with FLOCERT OR do not comply with the AE definition. | All the additional entities that are used to handle Fairtrade products are registered with FLOCERT AND comply with the AE definition. | | | 0 | C |
| 1.1.3 | Trader | 1.1.0.06 | You have a contract with each additional entity that is not 100 % owned by the master operator that requires compliance with the respective Fairtrade Standard, stating that FLOCERT is authorized to conduct on-site audits of the additional entity (does not apply to entities that do not fall into the scope of certification or have their own FLOCERT certification). | There are no contracts. | The contracts do not have all the requirements listed in this CC or some of the additional entities do not have contracts. | Complete contracts with all additional entities. | | | 0 | C |
| 1.1.4 | Trader | 1.1.0.07 | You have purchased Fairtrade products only from Fairtrade producers or traders with a valid permission to trade or certification for the relevant product category issued by FLOCERT. | No | | Yes | | | 0 | M |

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|-----------|-----------------|----------|--|--------|--------|--------|--------|--------|------|---------------|
| 1.1.4 | Trader | 1.1.0.08 | (Seed Cotton) (Applicable if the trader operates under the FSI model) You have purchased Fairtrade products only from Fairtrade producers or traders with a valid permission to trade or certification or verification issued by FLOCERT. | No | | Yes | | | 0 | C |
| 1.1.5 | Trader | 1.1.0.09 | (Not applicable for licensees under the 'Point of Sale' scheme.) Products sold as Fairtrade, not in consumer-ready packaging, are only sold to Fairtrade traders with a valid certification or permission to trade for the relevant product category issued by FLOCERT or the responsible National Fairtrade Organization (Japan). | No | | Yes | | | 0 | C |
| 1.1.5 | Trader | 1.1.0.10 | (Seed Cotton) (Applicable if the trader operates under the FSI model) FSI products not in consumer-ready packaging are only sold to Fairtrade traders with a valid verification. | No | | Yes | | | 0 | C |

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|-----------|-----------------|----------|--|---|--|--|--|--|------|---------------|
| 1.1.6 | Trader | 1.1.0.11 | (First buyers) (Not applicable if you purchase from contract production set-ups) You purchase certified products from producer organizations and not from individual members of the organization OR you demonstrate the inability to buy from the producer organization by citing national/regional law(s) prohibiting this, or written request by the producer organization, citing the advantage to them on such an arrangement and this is accompanied by a framework contract between you and the producer organization, regulating the following: traceability, volume, price, delivery terms, payments terms and invoicing method. | The trader buys from the individual members, without establishing reasons on why it is not able to buy from the producer organization as given in the CC. | The framework contract required as per the CC is incomplete on details given in this CC. | The trader buys from the individual members, but is able to establish the reasons for its inability to buy from the producer organization as given in this CC. | Rank 3 and the trader provides periodic updates of the purchase to the organization with a copy of the purchase documents. | The trader only buys from the producer organization. | 0 | C |
| 1.1.7 | Trader | 1.1.0.12 | (Only applicable if you were suspended since the last audit and/or any of your suppliers/buyers was suspended, and no stricter suspension rules were applied) You do not sign new Fairtrade contracts if you are suspended OR sign new contracts with suspended trade partners. For existing trade partners (suppliers/buyers) with whom you have had at least one Fairtrade business transaction in the 12 months preceding the suspension, you are allowed to sign new contracts within the suspension period. The volume (of new contracts signed during the suspension period), however, is limited to 50% of the total volume (with each partner) traded in the 12 months preceding the suspension. | The company exceeded volumes of the contracts allowed during suspension OR signed contracts with new trade partners. | | The company has not exceeded volumes allowed during suspension NOR signed contracts with new trade partners. | | | 0 | M |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|-----------|-----------------|----------|--|---|--------|----------------------------------|--------|--------|------|---------------|
| 1.1.7 | Trader | 1.1.0.13 | (Only applicable if you were suspended since the last audit and/or any of your suppliers/buyers was suspended) You have fulfilled existing Fairtrade contracts during the suspension period. | You have not fulfilled the contract, without the consent of your trade partner. | | You have fulfilled the contract. | | | 0 | C |
| 1.1.8 | Trader | 1.1.0.14 | (Only applicable if you were decertified in the past or any of your trading partners were/are decertified.) You stopped trading all Fairtrade products from the date of decertification even if there is a signed Fairtrade contract, but you accept Fairtrade products that were traded (delivered as per agreed INCOTERM) before the date of decertification and you pay the FT Premium (if applicable). | No | | Yes | | | 0 | M |

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|-----------|-----------------|----------|--|--|--|---|--------|--------|------|---------------|
| 1.1.9 | Trader | 1.1.0.15 | You designate one official contact for Fairtrade-related matters. This person keeps FLOCERT updated with contact details (including Fairtrace reporting contact) and any other relevant information that affects FLOCERT certification (new company structure, change in legal status, change in payer / non-payer status with regard to product and supply chain, etc.). | No person has been designated as contact person for Fairtrade related matters. | This person does not have the responsibility of compliance in the company OR does not have the adequate means to ensure compliance OR does not keep FLOCERT updated with relevant information. | Yes | | | 0 | C |
| 1.1.2 | Trader | 1.1.0.16 | (Payer, Conveyor) You fulfill the following responsibilities in the assurance tool Fairtrace: 1) You report your direct Fairtrade purchases from producer organizations OR declare nothing to report 2) You verify any other Fairtrade purchases that have been reported by your suppliers 3) You report your Fairtrade sales OR declare nothing to report 4) You ensure that all transactions, reported or verified, are correct. | Reporting/verification is not done. | Not all transactions are reported / verified OR transactions are not correct. | All transactions are reported / verified AND are correct. | | | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|---------------------|-----------------|----------|--|--|---|---|--------|--------|------|---------------|
| 1.1.2 | Trader | 1.1.0.17 | (Non-payer) You fulfill the following responsibilities in the assurance tool Fairtrace: 1) You report your Fairtrade sales OR declare nothing to report 2) You verify your Fairtrade purchases that have been reported by your suppliers 3) You ensure that all transactions, reported or verified, are correct. | Reporting / verification is not done. | Not all transactions are reported / verified OR transactions are not correct. | All transactions are reported / verified AND are correct. | | | 0 | C |
| FLOCERT Requirement | Trader | 1.1.0.18 | (Only applicable for licensees under the 'Point of Sale' scheme) (Coffee, Cocoa, Flowers, Tea, Fresh fruit and Nuts) Products sold as 'Fairtrade Point of Sales', not in consumer-ready packaging, are only sold to companies registered as 'Point of Sale' customers by the responsible National Fairtrade Organization (NFO). | No | | Yes | | | 0 | C |
| Coffee 1.1.2 | Trader | 1.1.0.19 | (Exporter) (Coffee) (Only applicable to new exporters seeking Fairtrade certification after 15 July 2021) You demonstrate that your assistance as an export service provider is required by a producer organisation to undertake Fairtrade exports. You demonstrate this with a record of prior transaction(s) and/or a confirmation letter from the identified Fairtrade certified producer organisation. | No records of prior transaction(s) and/or confirmation letter from an identified Fairtrade certified producer organisation available | | Records of prior transaction(s) and/or confirmation letter from an identified Fairtrade certified producer organisation are available | | | 0 | C |

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|--------------|-----------------|----------|--|---|---|--|--------|--------|------|---------------|
| Coffee 1.1.2 | Trader | 1.1.0.20 | (Exporter) (Coffee) (Only applicable to new exporters seeking Fairtrade certification after 15 July 2021) There is no indication that you have assisted or done business with organizations involved with the violation of human rights, land claims, violation of indigenous peoples' rights and land, fraud, formal complaints, previous business malpractices with farmers, violation of animal rights or harm of biodiversity. | Indications that exporter has assisted or done business with organization s involved in such activities | | No indications that exporter has assisted or done business with organization s involved in such activities | | | 0 | C |
| 1.1.1 | Trader | 1.1.0.21 | (Digital audit) You have a valid FLOCERT permission to trade or a valid FLOCERT certificate for each product bought and/or sold as Fairtrade. | Non-conformity: The company is not certified for the product category that has been bought and/or sold as Fairtrade | Observation :The company is not certified for the product type that has been bought and/or sold as Fairtrade. | The company is certified for all product categories and all product types that have been bought and sold as Fairtrade. | | | 0 | M |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|-----------|-----------------|----------|--|---|--------|--|--------|--------|------|---------------|
| 1.1.2 | Trader | 1.1.0.22 | (Digital audit) You enable all type of audits of your organization, including digital audits, by providing FLOCERT with all the necessary information in order to verify compliance, including reporting in Fairtrace. | Non-conformity: It is not possible to conduct the digital audit with the information provided, i.e. no data or not sufficient data for the audit period | | The information provided was adequate to complete the audit. | | | 0 | M |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|-----------|-----------------|----------|---|--|--|---|--------|--------|------|---------------|
| 1.1.4 | Trader | 1.1.0.23 | (Digital audit) You have purchased Fairtrade products only from Fairtrade producers or traders with a valid permission to trade or certification for the relevant product category issued by FLOCERT. | Non-conformity: The seller did not have a valid certificate or permission to trade for the product category at the time of the purchase. | Observation : The seller was not certified / did not have permission to trade for the product type traded OR it is possible that the seller was not certified for the product category at the time of the purchase, but this could not be fully verified during the digital audit. | The seller has a valid certification or Permission to trade for all product categories and product types bought as Fairtrade. | | | 0 | M |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|-----------|-----------------|----------|--|--|--|------------------------------------|--------|--------|------|---------------|
| 1.1.5 | Trader | 1.1.0.24 | (Digital audit) Products sold as Fairtrade, not in consumer-ready packaging, are only sold to Fairtrade traders with a valid certification or permission to trade for the relevant product category issued by FLOCERT or the responsible National Fairtrade Organization (Japan, Australia and New Zealand). | Non-conformity: Sales of Fairtrade products to a customer that does not have a valid certification or Permission to trade for the relevant product category. | Observation : The buyer was not certified / did not have permission to trade for the product type traded OR there were sales to a non-certified licensee, however it was not possible to verify during the digital audit, if the product was consumer ready. | Only sales to certified customers. | | | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|-----------|-----------------|----------|--|--------|---|--|--------|--------|------|---------------|
| 1.1.7 | Trader | 1.1.0.25 | (Digital audit) (Only applicable if you were suspended since the last audit and/or any of your suppliers/buyers was suspended) You do not sign new Fairtrade contracts if you are suspended OR sign new contracts with suspended trade partners. For existing trade partners (suppliers/buyers) with whom you have had at least one Fairtrade business transaction in the 12 months preceding the suspension, you are allowed to sign new contracts within the suspension period. The volume (of new contracts signed during the suspension period), however, is limited to 50% of the total volume (with each partner) traded in the 12 months preceding the suspension. | | Observation : The company was trading with suspended partners in the period of their suspension OR trading while being suspended. The company should ensure that this trade meets the suspension rules to avoid non-conformities. | No trading with suspended partners and no trading while suspended. | | | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|-----------|-----------------|----------|--|---|---|---|--------|--------|------|---------------|
| 1.1.8 | Trader | 1.1.0.26 | (Digital audit) (Only applicable if you were decertified in the past or any of your trading partners were/are decertified.) You stopped trading all Fairtrade products from the date of decertification even if there is a signed Fairtrade contract, but you accept Fairtrade products that were traded (delivered as per agreed INCOTERM) before the date of decertification and you pay the FT Premium (if applicable). | Non-conformity: Trading with partners who are/were decertified at the time of the transaction. | Observation : It is possible that trading with decertified partners took place, since there are transactions with partners that are/were decertified, but it cannot be verified during the digital audit if the transfer of ownership took place within the decertification period (e.g. Invoice is dated within the decertification period | No trading with partners that were decertified. | | | 0 | C |

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|-----------|-----------------|----------|---|---|--|--------|--------|--------|------|---------------|
| | | | | | but it's possible that bill of lading was issued within the certification period). | | | | | |
| 1.1.9 | Trader | 1.1.0.27 | (Digital audit) You designate one official contact for Fairtrade-related matters. This person keeps FLOCERT updated with contact details (including Fairtrace reporting contact) and any other relevant information that affects FLOCERT certification (new company structure, change in legal status, etc.). | Non-conformity: No contact person has been designated or the company cannot be reached. | A contact person has been designated. | | | | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|-----------|-----------------|----------|---|--|---|---|--------|--------|------|---------------|
| 1.1.9 | Trader | 1.1.0.28 | (Digital audit) The information regarding payer/conveyor vs non-payer status provided to FLOCERT is up-to-date. | Non-conformity: The company is not registered as a payer or conveyor, but it is evident from Fairtrace data that they are responsible for the payment/conveying of Fairtrade Price and/or Premium. | Observation : The company is not registered as a payer/conveyor, but it is possible that they are acting as such. However, this could not be fully verified during the digital audit. | The information on payer/conveyor vs non-payer is up-to-date. | | | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|-----------|-----------------|----------|--|---|--|---|--------|--------|------|---------------|
| 1.1.2 | Trader | 1.1.0.29 | (Digital audit) (Payer, Conveyor) You fulfill the following responsibilities in the assurance tool Fairtrace: 1) You report your direct Fairtrade purchases from producer organizations OR declare nothing to report 2) You verify any other Fairtrade purchases that have been reported by your suppliers 3) You report your Fairtrade sales OR declare nothing to report 4) You ensure that all transactions, reported or verified, are correct. | Non-conformity: Reports are missing OR Not all transactions are reported / verified OR transactions are not correct OR there are pending overdue transactions OR information on price and/or premium is missing for reported/confirmed transactions. | Observation : Reporting is done and seems correct on a system level, but there are potential errors that could not be fully investigated during the digital audit OR it is possible that reporting might be substantially wrong (volumes outliers or historical fluctuations are identified), but this could not be fully investigated | Reporting and verification is complete and no errors were identified. | | | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|-----------|-----------------|--------|-----------------------------|--------|---------------------------|--------|--------|--------|------|---------------|
| | | | | | during the digital audit. | | | | | |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|-----------|-----------------|----------|---|---|--|---|--------|--------|------|---------------|
| 1.1.2 | Trader | 1.1.0.30 | (Digital audit) (Non-payer) You fulfill the following responsibilities in the assurance tool Fairtrace: 1) You report your Fairtrade sales OR declare nothing to report 2) You verify your Fairtrade purchases that have been reported by your suppliers 3) You ensure that all transactions, reported or verified, are correct. | Non-conformity: Reports are missing OR Not all transactions are reported / verified OR transactions are not correct OR there are pending overdue transactions. | Observation : Reporting is done and seems correct on a system level, but there are potential errors that could not be fully investigated during the digital audit OR it is possible that reporting might be substantially wrong (volumes outliers or historical fluctuations are identified), but this could not be fully investigated | Reporting and verification is complete and no errors were identified. | | | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|-------------|-----------------|----------|---|--|--------|---|--------|--------|------|---------------|
| | | | | during the digital audit. | | | | | | |
| Cocoa 1.1.2 | Trader | 1.1.0.31 | (Exporter) (Cocoa) (Only applicable to new exporters seeking Fairtrade certification after 15 July 2022) You demonstrate that your assistance as an export service provider is required by a producer organisation to undertake Fairtrade exports. You demonstrate this with a record of prior transactions and/or a commitment for 2 years including indicative volumes to be sourced from the identified Fairtrade certified producer organisation. Also, you provide all of the following documents: <ul style="list-style-type: none"> • legal registration, • financial statements, • records of commercialization, • business development plan. | No records of prior transaction(s) and/or commitment for 2 years to source volumes from an identified Fairtrade certified producer organisation available. | | Records of prior transaction(s) and/or commitment for 2 years to source volumes from an identified Fairtrade certified producer organisation are available. | | | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|---------------------|-----------------|----------|--|---|--------|--|--------|--------|------|---------------|
| Cocoa 1.1.2 | Trader | 1.1.0.32 | (Exporter) (Cocoa) (Only applicable to new exporters seeking Fairtrade certification after 15 July 2022) There is no indication that you have engaged in or contributed to illegal activities or unfair trading practices or have done business with organisations involved in such activities or practices. | Indications that exporter has engaged in or contributed to illegal business or unfair trading practices or done business with organisations involved in such activities | | No indications that exporter has engaged in or contributed to illegal business or unfair trading practices or done business with organisations involved in such activities | | | 0 | C |
| 1.1.10 | Trader | 1.1.0.33 | (Applicable as of 1 January 2025) There are no indications that your company violates the national legislation of countries where your company is legally established and / or operates, on the topics covered by the Trader Standard (labour rights, environmental protection, business and development). | There are indications of violation of relevant national legislation. | | There are no indications of violation of relevant national legislation. | | | 0 | C |
| FLOCERT Requirement | Trader | 1.1.0.34 | (Only applicable if stricter suspension rules were applied as communicated in the suspension letter) You comply with the suspension rules communicated in the suspension letter. | No | | Yes | | | 0 | C |

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|--|--|----------|---|---|---|---|--------|--------|------|---------------|
| Certifying under 'special circumstances' | Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader | 1.1.0.35 | (Digital audit) (Coffee) (Applicable until 31 December 2026 if you are buying and/or selling Fairtrade green coffee from the Democratic Republic of Congo, directly or indirectly) You increase supply chain transparency by entering 'special circumstances' in the field 'Additional Transaction Information' and the ICO Mark in the respective field when reporting and/or confirming purchases and sales in Fairtrace. | Non-conformity: Term 'special circumstances' and the ICO MARK not always entered in Fairtrace | Observation : Term 'special circumstances' and the ICO MARK entered in Fairtrace for purchases, but not for any sales. There could be DRC coffee sales, however, this could not be fully verified during the digital audit. | Term 'special circumstances' and the ICO MARK always entered in Fairtrace | | | 0 | C |
| | 1.2 | | Use of Fairtrade Trademark | | | | | | | |

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|--------------|-----------------|----------|--|--|--|---|--------|--------|------|---------------|
| 1.2.1; 1.2.2 | Trader | 1.2.0.01 | (Only applicable if you have used any FAIRTRADE Mark or any other reference to Fairtrade as defined in the Fairtrade Standard on a finished or unfinished product, on any packaging or in any other communications.) You have a valid written contract with a National Fairtrade Organization or with Fairtrade International with details on the use of the Mark and you have written artwork approval (prior to use) for any use of the FAIRTRADE Mark or reference to Fairtrade on all product and promotional artwork. | Not contract nor artwork approval available. | There is a contract with the NFO / Fairtrade International but no artwork approval OR artwork but not for all products / promotional material. | Contract AND artwork approval for all Fairtrade Mark use available. | | | 0 | C |

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|-----------|-----------------|----------|--|--------|--------|--------|--------|---|------|---------------|
| 1.2.2 | Trader | 1.2.0.02 | (Applicable if you are packing for a licensee) You have a copy of the applicable artwork approval. | No | | Yes | | Rank 3 and for cases, the trader has out sourced the packing to another entity in the supply chain, the trader has given a copy of the approval on use of the Mark to the packing entity. | 0 | C |
| 1.2.3 | Trader | 1.2.0.03 | (Not applicable to processed bananas) (Only applicable if you make claims on sourcing for FSI) All off-pack claims made on the sourcing of Fairtrade commodities (for example, as covered by the Fairtrade Sourced Ingredient (FSI) model are verified by a National Fairtrade Organization or Fairtrade International or a designated agent before these claims are communicated publicly. | No | | Yes | | | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|------------|-----------------|----------|--|--------|--------|--------|--------|--------|------|---------------|
| Gold 2.4.3 | Trader | 1.2.0.04 | (Gold) The label and/or stamp on the final consumer product clearly indicates that the precious metal is certified as Fairtrade Gold, Silver or Platinum, as defined in the Fairtrade International labelling rules for precious metals. | No | | Yes | | | 0 | C |
| Gold 2.2.2 | Trader | 1.2.0.05 | (Gold) (Applicable if you sell final consumer products) You only use the Fairtrade Mark on a final product for which you have authorization by Fairtrade International or a National Fairtrade Organization. | No | | Yes | | | 0 | C |
| Gold 2.4.2 | Trader | 1.2.0.06 | (Gold) (Applicable if you purchase Fairtrade Precious Metal under the Gold Sourcing Program) You conform with the labelling and communications policies stipulated in any contractual agreement with Fairtrade International or a National Fairtrade Organization. | No | | Yes | | | 0 | C |
| | | 1.3 | FLOCERT Requirements | | | | | | | |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|---------------------|-----------------|----------|--|--|--|---|--------|---|------|---------------|
| FLOCERT requirement | Trader | 1.3.0.01 | All non-conformities of the previous audit have been corrected. | The implemented corrective measures failed to remedy the situation; non-compliant behaviour is still broadly in place. | The implemented corrective measures failed to remedy the situation; non-compliant behaviour continues in single instances. | Adequate actions taken to ensure compliance with all the non-conformities observed in the last audit. | | Rank 3 AND the organisation has done a root cause analysis and measures have been put in place to avoid recurrence. | 1 | M |
| FLOCERT Requirement | Trader | 1.3.0.02 | (Only applicable if you are benefitting from an exception) You have applied for an exception and the conditions of granted exceptions are met. | No | | Yes | | | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|---------------------|------------------------|----------|---|--|---|--|--------|--|------|---------------|
| FLOCERT requirement | Trader | 1.3.0.03 | (Only applicable if you have received a complaint related to compliance with requirements of the applicable Fairtrade Standards or a Fairtrade product) You handle and document all complaints and follow-up actions relating to compliance with requirements of the applicable Fairtrade Standards. These records are made available to the auditor. | There are complaints received, which have not been followed up and documented as per this CC OR these have not been made available to the auditor. | Although there is a written procedure for complaint management, the complaint has not been followed up and documented OR these have not been made available to the auditor. | There is a proper documentation of the actions taken on the complaints and its follow up and is made available to the auditor. | | There is a written procedure for complaint management and the complaints are handled as per the procedure and these are documented including the follow up and is made available to the auditor. | 1 | C |
| FLOCERT Requirement | Trader | 1.3.0.04 | (Applicable if you have been suspended since the last audit) Upon suspension of Fairtrade certification, you have discontinued the use of all advertising matter that contains any reference to your certified status. | No | | Yes | | | 1 | C |
| FLOCERT Requirement | Small Licensee, Trader | 1.3.0.05 | (Small Licensee) You comply with the definition of small licensees according to the Fairtrade Assurance Manual. | No | | Yes | | | 0 | C |
| FLOCERT Requirement | Trader | 1.3.0.08 | (Trader Corporate Certification) You comply with the conditions of Trader Corporate Certification according to the FLOCERT Explanatory Document. | No | | Yes | | | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
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| FLOCERT Requirement | Trader | 1.3.0.09 | (Ivory Coast) (Cocoa) You comply with all obligations made by the Conseil du Café Cacao (CCC) and their regulations (décrets) as they apply to sustainable cocoa. | There is a violation of the regulations. | The organisation cannot demonstrate full compliance with the regulations. | The organisation complies with the regulations of the CCC and its undertakings related to sustainable cocoa. | | | 0 | M |
| FLOCERT Requirement | Trader | 1.3.0.10 | (Only applicable if you are acting as a subcontractor) You comply with all requirements on documentary traceability and physical traceability when providing services as a subcontractor to other certified customers. | No | | Yes | | | 0 | C |
| FLOCERT Requirement | Trader | 1.3.0.11 | (Applicable for organisations participating in a Fairtrade Pilot) You act according to specifications of the pilot agreement. | The specifications of the pilot agreement are not followed. | The specifications of the pilot agreement are partially followed. | The specifications of the pilot agreement are followed. | | | 0 | C |
| FLOCERT Requirement | Trader | 1.3.0.12 | (Applicable if Additional Entity is audited, in the same or separate Audit Order) Non-conformities have not been identified at the Additional Entity. | Non-Conformity(ies) identified at the Additional Entity audit. | The Additional Entity audit has not yet taken place | No Non-Conformity identified at the Additional Entity audit. | | | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
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| FLOCERT Requirement | Trader | 1.3.0.13 | You complete the Trader Questionnaire annually and ensure that all information provided in the Trader Questionnaire is correct. | The Trader Questionnaire is pending overdue OR information in the Trader Questionnaire is incorrect (e.g., incomplete financial/stock data, incorrect turnover). | The Trader Questionnaire has been submitted, BUT information in the Trader Questionnaire is incorrect (e.g., incomplete financial stock/data, incorrect turnover). | The Trader Questionnaire has been submitted and no errors were identified. | | | 0 | C |
| FLOCERT Requirement | Trader | 1.3.0.14 | (Digital Audit) You complete the Trader Questionnaire annually and ensure that all information provided in the Trader Questionnaire is correct. | Non-conformity: The Trader Questionnaire is pending overdue OR information in the Trader Questionnaire is incorrect | Observation : The Trader Questionnaire has been submitted, but there are potential errors that could not be fully investigated during the digital audit. | The Trader Questionnaire has been submitted and no errors were identified. | | | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|---------------------|------------------------------------|----------|--|--|---|---|--------|--------|------|---------------|
| FLOCERT Requirement | Premium Payer, Price Payer, Trader | 1.3.0.15 | (Digital Audit) (Payer) (Not applicable to product categories for which systemic retro-certification is allowed) When applying non-systemic retro-certification, you have been granted an exception. | Non-conformity: There are transactions reported as "Retro", but no exception has been granted. | Observation : There are "Retro" transactions reported by the partner for which no exception has been granted, however these transactions have not been confirmed by the audited organization yet. | There are transactions reported as "Retro" AND an exception has been granted. | | | 0 | C |
| | 2 | | Trade | | | | | | | |
| | 2.1 | | Traceability | | | | | | | |
| | 2.1.1 | | Documentary Traceability Requirement | | | | | | | |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
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| 2.1.1. | Trader | 2.1.1.01 | (Also applies to additional entities) You clearly identify all Fairtrade products as Fairtrade in all trade documentation (e.g. invoices, delivery notes and purchase orders), including all relevant documentation sent to and received from the additional entity. | Fairtrade references (i.e. term "Fairtrade" or similar terms) are missing in all documents. | Fairtrade references are identifiable but are incomplete. Fairtrade references exist, but not in all purchase and sales documentation. (i.e. Term "Fairtrade" or similar in invoices BUT it is not possible to find a reference in other documents). | Fairtrade references are identifiable and complete in all purchase and sales documentation. (i.e. term "Fairtrade" or similar on all invoices AND it is possible to find a reference in other documents.). | | Fairtrade references are recorded and kept up-to-date within the ERP system (management - system in place). (i.e. Term "Fairtrade" or similar consistently in all contracts, invoices, B/L, delivery notes, packing lists, etc.). | 0 | M |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
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| 2.1.1. | Trader | 2.1.1.02 | <p>Your Fairtrade related documents (e.g. invoices, delivery notes and purchase orders) allow tracing the following information:</p> <ul style="list-style-type: none"> the name and FLO-ID of the traders involved in a Fairtrade transaction (FLO-ID of the supplier and FLO-ID of the buyer) the applicable dates of the transaction; the quantities and physical form of the product when transacted (purchase and sale); the details of Fairtrade Price and Fairtrade Premium and pre-financing (only applicable to transactions between producer organizations, conveyors and payers) | All details are missing. | Some of the listed requirements are missing in all or some transactions. | All details are documented and can be traced. | All details are included in a database and directly linked to Fairtrade article descriptions. | All details are included in the database and directly linked to Fairtrade article descriptions and are fully up-to-date. (Details of the complete supply chain are mentioned in purchase and sales documentation.) | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|------------|--|----------|---|--------------------------|--|--|---|---|------|---------------|
| Gold 2.1.3 | Trader | 2.1.1.03 | (Gold) You additionally indicate the following in the trade documents <ul style="list-style-type: none"> • alterations performed and relevant yields • disposals | All details are missing. | Some of the listed requirements are missing in all or some transactions. | The details can be tracked during audit. | These details are included in a database a directly linked to Fairtrade article descriptions. | Details are included in a database a directly linked to Fairtrade article descriptions and are fully up-to-date. (Details of the complete supply chain are mentioned in purchase and sales documentation.). | 0 | C |
| 2.1.1. | Premium Conveyor, Price Conveyor, Trader | 2.1.1.04 | (Conveyor) When mixing and selling product from more than one producer organization, conveyors clearly indicate in written format to the buyer the percentage composition of the product from each producer organization. | No | | Yes | | | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|-----------------------------------|-----------------|----------|--|---|---|--|--------|--------|------|---------------|
| 2.1.1./ FLOCERT requirement | Trader | 2.1.1.05 | You ensure that Fairtrade certified products sold as non-Fairtrade clearly indicate that the product is not Fairtrade by removing any existing reference to Fairtrade on product, packaging or documents or where not possible by clearly indicating to your customer that the product is not Fairtrade. | Term "Fairtrade" or similar is associated with non Fairtrade sales in all documents/ packing etc. | Term "Fairtrade" or similar has been removed from invoices/packaging/products BUT it is possible to find references in other documents OR term "Fairtrade" has been mentioned in some invoices. | Term "Fairtrade" has been removed in all invoices, product, packaging AND other purchase and sales documents OR where it is not possible the formal disclaimers 'Product is sold under non Fairtrade conditions' or 'Product is sold under non FLO Fairtrade conditions' are used. | | | 0 | M |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|-------------------------------------|--|----------|---|---|--|--|--------|---|------|---------------|
| 2.1.1./FLOCERT requirement | Trader | 2.1.1.06 | You do not imply, directly or indirectly, that an ordinary sale is in any way associated with sales of Fairtrade certified products. | Clear linking of ordinary sale with Fairtrade certified products is noticed. | | There is no evidence of a linkage between a Fairtrade sale and a normal sale. | | | 0 | C |
| Fresh Fruit 2.1.2 (SPO) 5.1.2. (HL) | Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader | 2.1.1.07 | (Oranges for juice) (Conveyor, exporter, processor) You keep records of the volumes of oranges for juice bought and processed from each producer organization, the date of delivery, and the quantity of orange juice sold. | Records of the volumes of Fairtrade oranges bought and processed from each producer organization are missing. | Records of the volumes of Fairtrade oranges bought and processed from each producer organization exist but are incomplete. | Records of the volumes of Fairtrade oranges bought and processed from each producer organization are available. Complete processing and Fairtrade sales records with a reference to sales documents. | | There is a management system in place that allows records to be always up-to-date and available upon request. Complete records as part of a database of stock, processed volumes, purchases and sales and fully up to date. | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
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| Cane Sugar 4.4.3 | Premium Conveyor, Price Conveyor, Trader | 2.1.1.08 | (Cane sugar) (Applicable in case of multiple producers supplying the same mill (or exporter, if applicable) and if no national scheme exists) You keep delivery notes/tickets with the name of the individual cane producer, the producer organization of the individual cane producer, the volume, and the date of the delivery. You have requested confirmation of the volume of cane supplied by each producer (i.e. producer organization). In case you identify that individual cane producers deliver cane for more than one producer organization, you bring this to notice of the respective producer organizations. | Delivery notes/tickets and confirmation of volumes are not available or completely missing. | Delivery notes/tickets and confirmation of volumes are not complete or partially missing. | Delivery notes/tickets and confirmation of volumes are available. | Delivery notes/tickets and confirmation of volumes are available and clearly identifiable. | There is a management in system in place that ensures that the documentation and data required are always available, correct and fully up-to-date. | 0 | C |
| Fibre Crops 2.1.1 | Trader | 2.1.1.09 | (Seed cotton) (Applicable if you operate under the FSI model) Besides the Fairtrace reporting requirements set in 1.1.0.16 (Payers) or 1.1.0.17 (Non-Payers), you provide additional traceability information allowing the certification body to trace all FSI cotton sales (output) back to the corresponding Fairtrade purchases (input). | No additional traceability information provided | | Additional traceability information provided | | | 0 | C |
| Fibre Crops 2.1.2 | Trader | 2.1.1.10 | (Seed cotton) (Applicable to spinners for their activities under the FSI model) A purchase contract for the corresponding Fairtrade cotton lint has been signed with the ginner before the Fairtrade equivalent yarn is sent out for delivery. | No | | Yes | | | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|-------------------|-----------------|----------|---|--------|--------|--------|--------|--------|------|---------------|
| Fibre Crops 2.1.3 | Trader | 2.1.1.11 | (Seed cotton) (Applicable to spinners for their activities under the FSI model) The physical receipt of the Fairtrade cotton lint takes place at the latest within 6 months after the Fairtrade equivalent yarn has been sent out for delivery. | No | | Yes | | | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|-----------|-----------------|----------|---|---|---|--|--|---|------|---------------|
| 2.1.2. | Trader | 2.1.1.12 | You keep records of all entries, processing and sales of Fairtrade products. Records allow the certification body to trace back from any given Fairtrade output to the Fairtrade inputs. The volume sold as Fairtrade does not exceed the volume bought as Fairtrade, taking into account the processing yields and all losses (decreases in weight). | The trader does not keep records or they are not available at the time of the audit AND/OR there are estimated excessive sales by more than 5% OR there is no system that allows calculations . | The trader keeps records, but they are incomplete or not fully available at the time of the audit AND/OR There are estimated excessive sales by 1-5%. | The trader keeps records and makes them available to the auditor during the audit. Records are correct. No excessive sales except possible mistakes estimated up to 1% of sales. | The trader keeps records and makes them available to the auditor during the audit. Records are correct. No excessive sales with no mistakes. | The trader keeps records and makes them available to the auditor during the audit. Records are always | 0 | M |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|-----------|-----------------|--------|-----------------------------|--------|--------|--------|--------|--|------|---------------|
| | | | | | | | | correct. No mix up of product and no mistakes and compliant with sourcing record system. | | |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|-----------|-----------------|----------|---|---|---|--|---|--|------|---------------|
| 2.1.2 | Trader | 2.1.1.13 | (Additional Entity) You keep records of all volumes of Fairtrade products received from and sent to the certified customer to which you are sub-contracted. In case of physical traceability, records allow the certification body to trace back from any given certified output to the certified inputs. | The additional entity does not keep records or they are not available at the time of the audit. | The additional entity keeps records, but they are incomplete or not fully available at the time of the audit. | The additional entity keeps records and makes them available to the auditor during the audit. Records are correct. | The additional entity keeps records and makes them available to the auditor during the audit. Records are correct. The additional entity has records of products received and products sent back to producer. | The additional entity keeps records and makes them available to the auditor during the audit. Records are always | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|---|-----------------|----------|--|---|--|--------|--------|--|------|---------------|
| | | | | | | | | correct. No mix up of product and no mistakes and compliant with sourcing record system. | | |
| 2.1.13, FLOCERT Requirement (fruit juices), Cocoa 2.1.9 | Trader | 2.1.1.14 | (Cocoa, cane sugar, fruit juices & pulp/puree, tea) (Applicable only if you are selling raw material and/or semi-finished products) You indicate in your sales documentation whether the product was segregated (physically traceable) or traded under mass balance. | No indication can be found in the sales documentation (contract or invoice, or delivery note). | A clear indication can be found in the sales documentation (contract, or invoice, or delivery note).. | | | | 0 | C |
| FLOCERT Requirement | Trader | 2.1.1.15 | (Cocoa, cane sugar, fruit juices & pulp/puree, tea) (Applicable only if you are buying raw material and/or semi-finished products) You indicate in your purchase documentation whether the product is traded as segregated (physically traceable) or under mass balance. | No indication can be found in the purchase documentation (contract or invoice or purchase order). | A clear indication can be found in the purchase documentation (contract or invoice or purchase order). | | | | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
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| FLOCERT Requirement | Non-Payer, Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader | 2.1.1.16 | (Applicable only if you are trading FSI food composite ingredients or Cotton / Textiles) You indicate in your purchases and sales documentation under which program (food composite ingredients: FSI) (Cotton: FSI or Textiles) the product is traded. | No indication can be found in the purchase or sales documentation (contract, or invoice, or delivery note). | | A clear indication can be found in the purchase and sales documentation (contract, or invoice, or delivery note). | | | 0 | C |
| Herbs & herbal teas (HL) 5.1.1 | Trader | 2.1.1.17 | (Dried herbs) (Only applicable if you sell or buy dried herbs grown by Hired Labour companies) You clearly indicate on purchase and sales documentation that these herbs are 'eligible for tea products only'. | No indication can be found in the sales documentation (contract, or invoice, or delivery note). | | A clear indication can be found in the sales documentation (contract, or invoice, or delivery note). | | | 10 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|---------------------|-----------------|----------|---|---|---|---|--------|---|------|---------------|
| FLOCERT Requirement | Trader | 2.1.1.18 | (Only applicable for licensees under the 'Point of Sale' scheme) (Coffee, Cocoa, Flowers, Tea, Fresh fruit and Nuts) You clearly identify all Fairtrade 'Point of Sale' sales as 'Fairtrade Point of Sale' on all sales documentation (e.g. sales contracts, sales invoices, delivery notes). | 'Fairtrade Point of Sale' references are missing on all sales documentation | 'Fairtrade Point of Sale' references are identifiable but are incomplete. 'Fairtrade Point of Sale' references exist, but not on all sales documentation. (i.e. term 'Fairtrade Point of Sale' on invoices BUT it is not possible to find a reference in other documents) | 'Fairtrade Point of Sale' references are identifiable and complete on all sales documentation | | 'Fairtrade Point of Sale' references are recorded and kept up-to-date within the ERP system (i.e. term "Fairtrade" or 'Fairtrade Point of Sale' on all sales documentation) | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
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| 2.1.2. | Trader | 2.1.1.19 | (Digital audit) (Applicable to products with physical traceability or voluntary physical traceability.) The volume sold as Fairtrade does not exceed the volume bought as Fairtrade, taking into account the processing yields and all losses (decreases in weight). | | Observation : The reported sales volume exceeds the reported purchase volume by more than 30 percent in the selected period. There is a possibility of overselling, but this could not be fully investigated during the digital audit. The company must ensure it is complying with this rule to avoid non-conformance. | Based on Fairtrace data, sales volume do not exceed purchase volume with more than 30 percent. | | | 0 | M |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
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| | | | | mities. | | | | | | |
| Certifying under 'special circumstances' | Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader | 2.1.1.20 | <p>(Coffee) (Applicable until 31 December 2026 if you are buying and/or selling Fairtrade green coffee from the Democratic Republic of Congo, directly or indirectly) You increase supply chain transparency by mentioning 'special circumstances' and the origin country of the Fairtrade green coffee (Democratic Republic of Congo) in all purchase and sales documentation (e.g., contracts, invoices).</p> <p>You enter 'special circumstances' in the field 'Additional Transaction Information' and the ICO Mark in the respective field when reporting and/or confirming purchases and sales in Fairtrace.</p> | Term 'Special circumstances' and origin country (Democratic Republic of Congo) not mentioned in all purchase and sales documents AND/OR 'special circumstances' and the ICO MARK not always entered in Fairtrace. | | Term 'Special circumstances' and origin country (Democratic Republic of Congo) mentioned in all purchase and sales documents AND 'special circumstances' and the ICO MARK always entered in Fairtrace | | | 0 | C |
| | | 2.1.2 | Physical Traceability Requirements | | | | | | | |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|-----------|-----------------|----------|--|--|---|---|---|---|------|---------------|
| 2.1.3 | Trader | 2.1.2.01 | (Applicable to all products.) (If you trade and/or process cocoa, cane sugar, fruit juices & pulp/puree or tea and for activities carried out under FSI cotton model after ginning and Gold Sourcing Programme this requirement only applies if you wish to implement physical traceability) You physically segregate Fairtrade products from non-Fairtrade products at all stages of the supply chain. You do not mix Fairtrade products with non-Fairtrade products. Fairtrade products are transported, stored processed/manufactured and delivered separately from non-Fairtrade products at all stages of the supply chain. | Fairtrade products are not segregated from non - Fairtrade products. No system to physically separate certified products, mixing up happens. | Fairtrade products are not clearly or only partially segregated from non - Fairtrade products. Mixing up during processing can easily happen. | Fairtrade products are segregated from non - Fairtrade products. There is a system in place to separate certified products. | Rank 3 and there are 'on product marks' consistently which are clear and easily identifiable. | Rank 4 and there is a management systems in place to trace back certified products, | 0 | M |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|--------------|-----------------|----------|--|--|---|--|---|---|------|---------------|
| 2.1.4; 2.1.5 | Trader | 2.1.2.02 | (Applicable to all products.) (If you trade and/or process cocoa, cane sugar, fruit juices & pulp/puree or tea and for activities carried out under FSI cotton model after ginning this requirement only applies if you wish to implement physical traceability) You identify Fairtrade products as Fairtrade at all stages (e.g. storage, transport, processing, packaging, labelling and handling) AND when selling, as well as in all related records and documents through on-product lot numbers and/or identification marks. | Fairtrade products are not identified as Fairtrade at any stage as well as records or documents. Documents, records and on-product marks do not mention any term/number reference that can be identified as Fairtrade. | Fairtrade products are not easily identifiable and identification marks are not precise/clear. On-product marks are frequently lost and some records cannot be identified as Fairtrade. | Fairtrade products are identifiable at all stages as well as in all related records and documents. Identification marks are clear and marked with the term "Fairtrade" or similar. | Fairtrade products are identifiable at all stages as well as in all related records and documents. Identification marks are clear, article and lot numbers are unique and clearly referring to the term "Fairtrade" or similar. | Fairtrade products are identifiable at all stages as well as in all related records and documents. Identification marks are clear, article and lot numbers are unique and clearly referring to the term "Fairtrade" or similar and name and FLO ID of the seller and the buyer. There is a management system in place that identify and trace Fairtrade | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
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| | | | | | | | | products. | | |
| 2.1.6 | Trader | 2.1.2.03 | (Cocoa, cane sugar, fruit juices & pulp/puree or tea) (Only applies if you implement voluntary physical traceability) You buy from a Fairtrade supplier certified against the voluntary physical traceability requirements (VPT). These products, when purchased, are identified as a Fairtrade product with physical traceability on the packaging as well as the related documentation (e.g. purchase invoices, etc.) | Products are bought from suppliers not successfully certified against voluntary physical traceability requirements. | Products are bought from suppliers successfully certified against voluntary physical traceability requirements, but are not identified as such on the packaging or the related documentation. | Products are bought from suppliers successfully certified against voluntary physical traceability requirements. The products are identified as physically traceable at least through the lot numbers and on the invoices. | | | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|-----------|-----------------|----------|--|--|--|---|---|--|------|---------------|
| 2.1.7 | Trader | 2.1.2.04 | (Not applicable for FSI) If you combine physically and non-physically traceable ingredients in Fairtrade composite products and/or Fairtrade food composite ingredients, you ensure that the Fairtrade physically traceable ingredients comply with the physical traceability requirements. If for technical reasons this is not possible, you have been granted an exception by the certification body. | Fairtrade physically traceable ingredients do not comply with the physical traceability requirements. An exception was not granted to the trader by the certification body. No system to separate and mixing up happens. | Fairtrade physically traceable ingredients do not fully comply with the physical traceability requirements. An exception was not granted to the trader by the certification body. Mixing up during processing can easily happen. | Fairtrade physically traceable ingredients comply with the physical traceability requirements OR An exception was granted to the trader by the certification body. There is a system in place to separate products, mistakes do not happen. | Fairtrade physically traceable ingredients fully comply with the physical traceability requirements. There is a system in place to separate products, mistakes do not happen. | Fairtrade physically traceable ingredients fully comply with the physical traceability requirements. There is a physical traceability system in place to trace back products without mistakes. There is a plan to use physical traceable products for all ingredients. | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|-----------|-----------------|----------|---|--|---|---|--|---|----------|---------------|
| 2.1.3 | Trader | 2.1.2.05 | (Additional Entity) (Applicable to all products) (If you process cocoa, cane sugar, fruit juices & pulp/puree or tea and for activities carried out under FSI cotton model after ginning and Gold Sourcing Programme this requirement only applies if your operator implements physical traceability) You physically segregate Fairtrade products from non-Fairtrade products at all stages of the supply chain. You do not mix Fairtrade products with non-Fairtrade products. Fairtrade products are transported, stored processed/manufactured and delivered separately from non-Fairtrade products. | Fairtrade products are not segregated from non - Fairtrade products. No system to physically separate certified products, mixing up happens. | Fairtrade products are not clearly or only partially segregated from non - Fairtrade products. Mixing up during processing can easily happen. | Fairtrade products are segregated from non - Fairtrade products. There is a system in place to separate certified products. | Fairtrade products are segregated from non - Fairtrade products and Fairtrade descriptions on "on-product marks" are clear and easily identifiable. There is a system in place to separate certified products, mistakes do not happen. | Fairtrade products are always correctly segregated from non - Fairtrade products. Fairtrade descriptions on "on-product marks" are clear and easily identifiable. There is a physical traceability system in place to trace back certified products without mistakes. | 0 | M |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|-----------|-----------------|----------|--|--|---|--|---|--|------|---------------|
| 2.1.4 | Trader | 2.1.2.06 | (Additional Entity) (Applicable to all products.) (If you process cocoa, cane sugar, fruit juices & pulp/puree or tea and for activities carried out under FSI cotton model after ginning this requirement only applies if your operator implements physical traceability) You identify Fairtrade products as Fairtrade at all stages (e.g. storage, transport, processing, packaging, labelling and handling) as well as in all related records and documents through on-product lot numbers and/or identification marks. | Fairtrade products are not identified as Fairtrade at any stage as well as records or documents. Documents, records and on-product marks do not mention any term/number reference that can be identified as Fairtrade. | Fairtrade products are not easily identifiable and identification marks are not precise/clear. On-product marks are frequently lost and some records cannot be identified as Fairtrade. | Fairtrade products are identifiable at all stages as well as in all related records and documents. Identification marks are clear and marked with the term "Fairtrade" or similar. | Fairtrade products are identifiable at all stages as well as in all related records and documents. Identification marks are clear, article and lot numbers are unique and clearly referring to the term "Fairtrade" or similar. | Fairtrade products are identifiable at all stages as well as in all related records and documents. Identification marks are clear, article and lot numbers are unique and clearly referring to the term "Fairtrade" or similar and name and FLO ID of the seller and the buyer. There is a management system in place that allows any trader (not only | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|-----------|-----------------|----------|---|---|---|--|---|--|----------|---------------|
| | | | | | | | | FLOCERT contacts) to identify and trace Fairtrade products. | | |
| 2.1.6 | Trader | 2.1.2.07 | (Additional Entity) (Applicable to all products.) (If you process cocoa, cane sugar, fruit juices & pulp/puree or tea and for activities carried out under FSI cotton model after ginning this requirement only applies if your operator implements physical traceability) When delivering Fairtrade products, you clearly identify the product as Fairtrade (e.g. "FLO/Fairtrade" on the packaging and documentation). | Fairtrade products delivered as Fairtrade are not identified as "Fairtrade" or similar term. Fairtrade references are completely missing. | Fairtrade products delivered as Fairtrade are not always correctly identified as "Fairtrade" or similar term. | Fairtrade products delivered as Fairtrade are correctly identified as "Fairtrade" or similar term. | Fairtrade products delivered as Fairtrade are always correctly identified as "Fairtrade" or similar term. | Fairtrade products delivered as Fairtrade are always correctly identified as "Fairtrade" or similar term. There is a system in place to trace back sold products without mistakes. | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|-----------|-----------------|----------|---|--|---|--|---|---|------|---------------|
| 2.1.7 | Trader | 2.1.2.08 | (Additional Entity) If you combine physically and non-physically traceable ingredients in Fairtrade composite products, you ensure that the Fairtrade physically traceable ingredients comply with the physical traceability requirements. If for technical reasons this is not possible, the trader have applied for an exception with the certification body. | Fairtrade physically traceable ingredients do not comply with the physical traceability requirements. An exception was not granted to the trader by the certification body. No system to separate and mixing up happens. | Fairtrade physically traceable ingredients do not fully comply with the physical traceability requirements. An exception was not granted to the trader by the certification body. Mixing up during processing is very likely to happen. | Fairtrade physically traceable ingredients fully comply with the physical traceability requirements. An exception was granted to the trader by the certification body and the additional entity keeps a copy. There is a system in place to separate products, mistakes do not happen. | Fairtrade physically traceable ingredients fully comply with the physical traceability requirements. There is a system in place to separate products, mistakes do not happen. | Fairtrade physically traceable ingredients fully comply with the physical traceability requirements. An exception was granted by the certification body to the trader. The trader is aiming to phase out the exception and be compliant with the physical traceability requirements for said ingredients. There is a physical | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|--------------------------------|-----------------|----------|---|---|--------|---|--------|---|------|---------------|
| | | | | | | | | traceability system in place to trace back products without mistakes. | | |
| FLOCERT Requirement | Trader | 2.1.2.10 | You have notified FLOCERT in writing of your intention to apply voluntary physical traceability prior to implementation, and you are compliant with the respective requirements. | No written notification sent to FLOCERT prior to implementation OR not compliant with respective requirement s. | | Written notification sent to FLOCERT prior to implementation AND compliant with respective requirement s. | | | 0 | C |
| Herbs & herbal teas (HL) 5.1.1 | Trader | 2.1.2.11 | (Dried herbs) (Only applicable if you sell or buy dried herbs grown by Hired Labour companies) You clearly identify the physical product as 'eligible for tea products only' through on-product identification marks. | No | | Yes | | | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|---------------------|-----------------|----------|--|---|---|--|--------|--------|------|---------------|
| FLOCERT Requirement | Trader | 2.1.2.12 | (Digital Audit) You have notified FLOCERT in writing of your intention to apply voluntary physical traceability prior to implementation. | Non-conformity: There are sales transactions reported as "Physical traceability", but the audited customer does not have the activity "Voluntary physical traceability" for the respective product category in Intact Platform. | Observation : There are purchase transactions reported as "Physical traceability" and the audited customer does not have the activity "Voluntary physical traceability" for the respective product category in Intact Platform. However it was not possible to verify during the digital audit, if the audited customer sells products as | There are transactions reported as "Physical traceability" AND the audited customer has the activity "Voluntary physical traceability" for the respective product category in Intact Platform. | | | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|--------------|-----------------|----------|---|--|---|--|--|--|------|---------------|
| | | | | voluntary physical traceability. | | | | | | |
| | | 2.1.3 | Mass Balance Requirements | | | | | | | |
| 2.1.8, 2.1.9 | Trader | 2.1.3.01 | (Cocoa, cane sugar, fruit juices & pulp/puree and tea (<i>camellia sinensis</i>) traders, refined gold trading activities under the Gold Sourcing Programme and for activities carried out under FSI cotton model after ginning stage) You ensure that your mass balance is positive, i.e. -- the amount of outputs sold as Fairtrade is not more than the amount of inputs sourced as Fairtrade taking into account the processing yields and all losses (decreases in weight); -- the Fairtrade inputs are purchased before the sale of the Fairtrade outputs | Mass balance went repeatedly negative or there is no system that allows calculations. There are estimated excessive sales by more than 5%. | Mass balance went negative on limited occasions. There are estimated excessive sales by 1-5%. | Mass balance is positive on a monthly level. | Mass balance is always positive and easy to calculate. | Mass balance is always positive and easy to calculate. Mass balance worksheets are constantly up-to-date (management system in place). No mix up of product and no mistakes and compliant with sourcing record system. | 0 | M |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|-----------------------------------|-----------------|----------|--|--------|--------|--------|--------|--------|------|---------------|
| 2.1.10 | Trader | 2.1.3.03 | (Single Site Mass Balance) (Cocoa, cane sugar, fruit juices & pulp/puree and tea (camellia sinensis) traders, refined gold trading activities under the Gold Sourcing Programme and for activities carried out under FSI cotton model after ginning stage with no physical traceability.) You receive and process Fairtrade inputs at the same site where the Fairtrade output is processed. | No | | Yes | | | 0 | C |
| 2.1.11, Cocoa 2.1.7 & 2.1.8 | Trader | 2.1.3.04 | (Cocoa, fruit juices & pulp/puree and tea (camellia sinensis) traders, refined gold trading activities under the Gold Sourcing Programme and for activities carried out under FSI cotton model after ginning stage with no physical traceability.) You ensure that Fairtrade inputs are of the same kind (e.g. organic-conventional, origin) and quality as the inputs used to process the Fairtrade output (like for like). | No | | Yes | | | 0 | C |
| Cane Sugar 2.1.2 | Trader | 2.1.3.05 | (Cane sugar) (B2B transactions) (Applicable if you sell white refined sugar under Mass Balance and mix or substitute cane sugar with beet sugar) You clearly indicate in your sales documentation that the sugar sold as Fairtrade is made from beet sugar or is a mix of beet and cane sugar. | No | | Yes | | | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|-----------|-----------------|----------|--|--------|--|--------|--------|--------|------|---------------|
| 2.1.12 | Trader | 2.1.3.06 | (Cane sugar, Cocoa) You have obtained permission from FLOCERT to apply Group Mass Balance before implementing it and for any changes in the sites involved in the Group Mass Balance and you ensure that the below conditions are met: <ul style="list-style-type: none"> - All sites involved in GMB belong to the same group. - There is one central administration site where all relevant information on purchases and sales is available. - You have a system in place that centralizes Fairtrade purchase and sales information and enables verifying that the Fairtrade output sold by the group does not exceed the equivalent Fairtrade input purchased by the group. | No | Permission has been granted but the condition for GMB are not met (anymore). | Yes | | | 0 | C |
| 2.1.10 | Trader | 2.1.3.07 | (Additional Entity) (Single Site Mass Balance) (Cocoa, cane sugar, fruit juices & pulp/puree and tea (<i>camellia sinensis</i>) traders, refined gold trading activities under the Gold Sourcing Programme and for activities carried out under FSI cotton model after ginning stage with no physical traceability.) You ensure that Fairtrade inputs are delivered to and processed at the same site where the Fairtrade output is processed. | No | | Yes | | | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|------------------|-----------------|----------|--|---|--|--|--------|--|------|---------------|
| 2.1.11 | Trader | 2.1.3.08 | (Additional Entity) (Cocoa, cane sugar, fruit juices & pulp/puree and tea (camellia sinensis) traders, refined gold trading activities under the Gold Sourcing Programme and for activities carried out under FSI cotton model after ginning stage with no physical traceability.) You ensure that Fairtrade inputs are of the same kind (e.g. organic-conventional, origin) and quality as the inputs used to process the Fairtrade output (like for like). | No | | Yes | | | 0 | C |
| Cane Sugar 2.1.2 | Trader | 2.1.3.09 | (Additional Entity) (Cane sugar) (B2B transactions) (Applicable if you process white sugar under Mass Balance and mix or substitute cane sugar with beet sugar) You clearly indicate in your documentation that the sugar classified as Fairtrade is made from beet sugar or is a mix of beet and cane sugar. | No | | Yes | | | 0 | C |
| Cocoa 2.1.5 | Trader | 2.1.3.10 | (Cocoa) You apply following conversion rates for mass balance cocoa in the direction that is physically possible (beans to liquor, liquor to butter and powder): 1 MT beans -> 0.82 MT liquor 1 MT liquor -> 0.5 MT butter and 0.5 MT powder 1 MT beans -> 0.41 MT butter and 0.41 MT powder | Current conversion rates are not applied. | Current conversion rates are still not applied or fully applied but the trader started a transition phase. | Current conversion rates are applied or transition is being finalized. | | Current conversion rates have been applied CORRECTLY since the date of announcement. | 0 | C |
| Cane sugar 2.1.3 | Trader | 2.1.3.11 | You do not use genetically-modified beet sugar in a Fairtrade product. | No | | Yes | | | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|---------------------|-----------------|----------|---|---|--------|--|--------|--|------|---------------|
| Cocoa 2.1.6 | Trader | 2.1.3.12 | (Cocoa) (Mass balance) You sell the Fairtrade equivalent output within 3 years of purchasing the Fairtrade input. | No | | Yes | | | 0 | C |
| Cocoa 2.1.7 & 2.1.8 | Trader | 2.1.3.13 | (Cocoa) (Applicable if you sell cocoa outputs with a claim regarding a specific category, status or origin) You have purchased the equivalent cocoa input with the same or higher specifications (standard vs. fine flavour; conventional vs. organic) and/ or from the same origin (as indicated in the purchase documentation). | No purchase of equivalent Fairtrade cocoa input OR the specifications regarding category, status and/ or origin do not match. | | The equivalent Fairtrade cocoa input has been purchased. | | | 0 | C |
| Cocoa 2.1.10 | Trader | 2.1.3.14 | (Cocoa) (Applicable in case of multi certification except organic certification) If you purchase a certain volume of cocoa beans or semi-processed cocoa as "dual" or "multi-certified" under Fairtrade as well as under additional sustainability certification schemes, and sell it under a certification scheme other than Fairtrade, then you do not sell the same volume as Fairtrade certified cocoa. | There are clear indications that the same cocoa volume has been sold as Fairtrade. | | No indication that the same volume has been sold as Fairtrade. | | Rank 3 AND there is a system in place to make sure there are no multiple sales of certified product. | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|-----------|-----------------|----------|---|--------|---|--|--------|--------|------|---------------|
| 2.1.8 | Trader | 2.1.3.15 | (Digital audit) (Cocoa, cane sugar, fruit juices & pulp/puree and tea (<i>camellia sinensis</i>) traders, refined gold trading activities under the Gold Sourcing Programme and for activities carried out under FSI cotton model after ginning stage) You ensure that your mass balance is positive, i.e. -- the amount of outputs sold as Fairtrade is not more than the amount of inputs sourced as Fairtrade taking into account the processing yields and all losses (decreases in weight); -- the Fairtrade inputs are purchased before the sale of the Fairtrade outputs | | Observation : The reported sales volume exceeds the reported purchase volume by more than 30 percent in the selected period. There is a possibility of negative mass balance, but this could not be fully investigated during the digital audit. The company must ensure it is complying with this rule to avoid non-conformance. | Based on Fairtrace data, sales volume do not exceed purchase volume with more than 30 percent. | | | 0 | M |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
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| | | | | mities. | | | | | | |
| Cane sugar 2.1.1 | Trader | 2.1.3.16 | (Cane sugar) You ensure that Fairtrade inputs are of the same kind and quality, as the inputs used to process the Fairtrade output (like for like). | No | | Yes | | | 0 | C |
| | | 2.2 | Product Composition | | | | | | | |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|-----------------|-----------------|----------|---|--|---|--|--------|--------|------|---------------|
| 2.2.1 and 2.2.4 | Trader | 2.2.0.01 | (Food composite ingredients & Food composite products) (Not applicable for FSI) You ensure that food composite ingredients and food composite products contain as many Fairtrade ingredients as available unless you have been granted an exception. | Some ingredients, for which Fairtrade standards exists, have been used in its conventional form and no exception have been granted. (ATCB all that can be - Any product or ingredient for which Fairtrade standards exists should be made or consist of only Fairtrade certified products or ingredients). | ATCB is not fully and correctly applied, i.e. only one ingredient is not Fairtrade or special quality specs are needed but no exception has been granted. | ATCB is correctly applied or a valid exception has been granted either by FLOCERT or by a National Fairtrade Organization and approval forms are available during the audit. | | | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|--------------|-----------------|----------|---|--------|--------|--------|--------|--------|------|---------------|
| 2.2.2 | Trader | 2.2.0.02 | (Food composite products) (Not applicable for FSI) Food composite products contain at least 20% Fairtrade content. You express the percentages of the Fairtrade ingredient/s in weight (or volume) relative to the total weight (or volume) of all the initial ingredients before processing. For products with >50% added water or dairy, you are allowed to exclude all added water and/or dairy from the percentage calculations. This also applies to juices from concentrate but not fresh juices. Composite ingredients used are compliant with the relevant composition rules. | No | | Yes | | | 0 | C |
| 2.2.3 | Trader | 2.2.0.03 | (Food composite products) (Not applicable for FSI) You declare the minimum percentage of Fairtrade content on the back of the pack, unless this contradicts national law. | No | | Yes | | | 0 | C |
| Cotton 2.2.1 | Trader | 2.2.0.04 | (Seed Cotton) All cotton in Fairtrade Cotton products is sourced originally from certified producers. Where there is insufficient availability of Fairtrade cotton combers, it is permitted to make cotton wool pads out of up to 20% non-Fairtrade cotton combers, provided that the manufacturer subsequently purchases an equivalent volume of Fairtrade cotton and uses it in the manufacture of a non-Fairtrade product. Any such substitution is clearly reported in the quarterly flow of goods report. | No | | Yes | | | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|-----------------------------------|-----------------|----------|---|--------|--------|--------|--------|--------|------|---------------|
| Cotton Product Composition Policy | Trader | 2.2.0.05 | (Seed Cotton) (Applicable to finished cotton products only) Fairtrade cotton makes up 50% or more of the finished weight of the fabric used in the finished product. For workwear and uniforms: Fairtrade cotton makes up 30% or more of the finished weight of the fabric used in the finished product. | No | | Yes | | | 0 | C |
| 2.2.5 | Trader | 2.2.0.06 | (Applicable for FSI for all products except cotton and gold) (Applicable to food composite ingredients & food composite products) If you apply physical traceability, 100% of the relevant commodity in the product is Fairtrade-certified. If you apply mass balance (cocoa, cane sugar, fruit juices & pulp/puree, tea), you have bought an equivalent volume and the products sold as FSI contain 100% of the relevant commodity in the product (food composite ingredients & food composite products) and are Fairtrade certified. Finished products carrying the FAIRTRADE Program Mark are composite products. | No | | Yes | | | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|------------|-----------------|----------|--|--------|--------|--------|--------|--------|------|---------------|
| Gold 2.2.1 | Trader | 2.2.0.07 | (Gold) The final consumer products you sell labelled as Fairtrade and/or Fairtrade Ecological Gold, Silver or Platinum are one of the following: <ul style="list-style-type: none">• jewellery;• coins, ingots and bullion products of commemorative and/ or financial nature;• medals and trophies;• religious artefacts• (only for Master Licensees in the Goldsmith Registration Scheme) semi-finished jewellery components | No | | Yes | | | 0 | C |
| Gold 2.2.3 | Trader | 2.2.0.08 | (Gold) You prove that the minimum caratage of Fairtrade certified precious metal used in your Fairtrade products complies with the national legislation of the country in which they are being sold and with the internationally recognised product description of the World Jewellery Confederation (BLUE Book - Precious Metals Book - terminology and classification). | No | | Yes | | | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|--------------------------|-----------------|----------|---|---|--|--|--|---|------|---------------|
| Gold 2.2.4 | Trader | 2.2.0.09 | (Gold) (Applicable only in case you cannot fully source jewellery components from a certified precious metal source) You use non-certified metals only in the below listed components of the final piece of jewellery: <ul style="list-style-type: none">• earring scrolls;• catches (bolt rings, trigger clasps, swivels, bayonets);• chain (as long as it does not exceed fifteen per cent of the total precious content on the finished jewellery items);• brooch backs, catches, joints and pins;• solder and alloys | There is no proof that jewellery components could not be sourced as Fairtrade AND non-certified metal is used in other than the listed items. | There is no proof that jewellery components could not be sourced as Fairtrade OR non-certified metal is used in other than the listed items. | There is proof that jewellery components could not be sourced as Fairtrade AND non-certified metal is only used in the listed items. | | RANK 3 AND the trader takes effort in improving Fairtrade supply of certified jewellery components. | 0 | C |
| Gold 2.2.5 | Trader | 2.2.0.10 | (Gold) You ensure that certified precious metals constitute 100 % of the metal used in commemorative coins, ingots, medals, trophies and religious artefacts. | No approval was granted from the responsible NFO. | Approval was granted from the responsible NFO, but was not available during the audit. | Approval was granted from the responsible NFO and was made available during the audit. | | | 0 | C |
| Flowers and Plants 1.2.1 | Trader | 2.2.0.11 | (Flowers bouquets and finished plants composition) You ensure that all the flowers, plants and finished plants to be sold as Fairtrade are Fairtrade certified. If not all flowers or finished plants are Fairtrade certified in a bouquet / arrangement, you follow the Fairtrade Sourced Ingredient (FSI) rules. The FSI rules are not applicable to plants. | No | | Yes | Rank 3 AND non-Fairtrade flowers or finished plants are locally sourced. | | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|-----------|-----------------|----------|---|---|--------|---|--|---|------|---------------|
| | | 3 | Production | | | | | | | |
| | | 3.1 | Labour Rights | | | | | | | |
| 4.1.1 | Trader | 3.1.0.01 | (Also applies to additional entities) (Not applicable to FSI cotton after ginning stage) You are aware of the applicable labour laws in your country and of the fundamental ILO conventions. | There is no awareness of the applicable labour laws nor of the fundamental ILO conventions. | | The company has appointed a responsible person AND demonstrates how it is kept informed of the labour laws and ILO conventions that are applicable to them. | Rank 3 AND The company has a clear policy and procedure to guarantee adequate working condition. | Rank 4 AND the company can demonstrate how it is effectively implemented (for example by social audits from other schemes). | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|------------------|-----------------|----------|---|---|--|--|--|--|------|---------------|
| 4.1.1 | Trader | 3.1.0.02 | (Also applies to additional entities) (Not applicable to FSI cotton after ginning stage) There are no indications that you violate any of the local labour laws or the fundamental ILO conventions. | There is proof that violations are common. | There is proof of violations BUT the company took actions to avoid this in future. | No proof of violations is found BUT further assessment is advisable as there are hints to potential violations | No proof of violations is found. | Rank 4 AND the company has effectively implemented policies or procedures or is subject to social audits from other schemes. | 0 | M |
| | | 3.1.1 | Freedom from Discrimination | | | | | | | |
| 4.1.1, ILO Co111 | Trader | 3.1.1.01 | (Reactive Assurance) There is no discrimination, support or toleration of discrimination on the basis of race, colour, gender, sexual orientation, disability, marital status, HIV/AIDS status, age, religion, political opinion, union or workers' representative bodies, national extraction or social origin, or any other condition in recruitment, promotion, access to training, remuneration, allocation of work, termination of employment, retirement, general treatment in the workplace or other activities. | There is evidence of discrimination and the company has not acted to stop it. | There is evidence of discrimination and the company's actions are not adequate in stopping it. | There is no discrimination or the company has taken adequate measures to stop it. | RANK 3 AND the company has a policy and programme to prevent discrimination. | RANK 4 AND discrimination and actions (including positive discrimination) are monitored to correct historically or culturally discriminated groups of employees. | 0 | R |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|------------------|-----------------|----------|--|--------------------------------------|--|--|--|--|------|---------------|
| 4.1.1, ILO Co111 | Trader | 3.1.1.02 | (Reactive Assurance) You do not test employees for pregnancy, HIV/AIDS or genetic disorders during recruitment. | Tests are requested. | No tests but questions are asked that lead to discrimination in recruitment. | There are no indications of tests or questions during recruitment. | There is a clear policy forbidding this practice. | RANK 4 AND a QMS that monitors implementation. | 0 | R |
| 4.1.1, ILO Co29 | Trader | 3.1.1.03 | (Reactive Assurance) You do not directly or indirectly engage in, support or tolerate the use of corporal punishment, mental or physical coercion, bullying, harassment or abuse of any kind. | There is evidence of such practices. | | There is no indication of these practices. | RANK 3 AND the company has a policy or programme against these practices. | RANK 4 AND the company is active in implementing the policy. | 0 | R |
| 4.1.1, ILO Co29 | Trader | 3.1.1.04 | (Reactive Assurance) You (including employees and management) do not directly or indirectly tolerate behaviour, including gestures, language, and physical contact that is sexually intimidating, abusive or exploitative. | No | | Yes | RANK 3 AND procedures are in place to report and take action in case of any such instances happening in the company. | | 0 | R |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|---------------------|-----------------|----------|---|---|--|--|--|---|------|---------------|
| 4.1.1, ILO Co111 | Trader | 3.1.1.05 | (Reactive Assurance) If the employment of a women has been terminated during pregnancy, you can clearly demonstrate that the reason for termination was in no way related to the pregnancy or the birth of the child. | No | | Yes | | | 0 | R |
| 4.1.1, National law | Trader | 3.1.1.06 | (Reactive Assurance) You comply with any additional applicable legislation regarding freedom from discrimination. | Legislation is not at all applied. | Legislation is not applied in several cases. | Legislation is applied in most cases OR the company has taken action to improve situation. | Legislation is applied in all cases. | RANK 4 AND the company has measures in place to ensure proper application throughout the company. | 0 | R |
| | | 3.1.2 | Freedom from Forced and Compulsory Labour | | | | | | | |
| 4.1.1, ILO Co29 | Trader | 3.1.2.01 | (Reactive Assurance) You do not directly or indirectly engage in, support or tolerate forced labour, including bonded or involuntary prison labour. | There is evidence of use, support or toleration of forced labour. | | There is no indication of use of, support or toleration of forced labour. | RANK 3 AND there is a clear policy in place to avoid this. | RANK 4 AND the company is active in implementing the policy. | 0 | R |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|------------------------|-----------------|----------|--|---|--|---|---|---|------|---------------|
| 4.1.1, National law | Trader | 3.1.2.02 | (Reactive Assurance) You comply with any additional applicable legislation regarding freedom from forced and compulsory labour. | Legislation is not at all applied. | Legislation is not applied in several cases. | Legislation is applied in most cases OR the company has taken action to improve situation. | Legislation is applied in all cases. | RANK 4 AND the company has measures in place to ensure proper application throughout the company. | 0 | R |
| | | 3.1.3 | Child Labour and Child Protection | | | | | | | |
| 4.1.1, ILO Co138 | Trader | 3.1.3.01 | (Reactive Assurance) You do not employ children under the age of 15 (14 if the country has ratified the ILO convention with 138 with an exception for minimum age) or under the age defined by local law, whichever is higher. | There is evidence of this being common. | There is evidence of this happening on rare occasions and the company took no actions. | There are no indication of child labour OR if there are indications of rare cases the company can demonstrate it took action. | Rank 3 AND there is a policy that is made known to employees to ensure that children attend school. | RANK 4 AND a QMS that monitors implementation. | 0 | R |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|---------------------|-----------------|----------|--|--|---|--|---|---|------|---------------|
| 4.1.1, ILO Co138 | Trader | 3.1.3.02 | (Reactive Assurance) You do not directly or indirectly submit employees less than 18 years of age to any type of work which puts their health, safety or morals and their school attendance at risk. | There is evidence of non-suitable work being common. | There is evidence of this happening on rare occasions, and the company took no actions. | There are no indication for this OR if there are indications of rare cases the company can demonstrate it took action. | RANK 3 AND there is an awareness raising programme for employees that identifies suitable work. | RANK 4 AND a QMS that monitors implementation. | 0 | R |
| 4.1.1, National law | Trader | 3.1.3.03 | (Reactive Assurance) You comply with any additional applicable legislation regarding child labour and child protection. | Legislation is not at all applied. | Legislation is not applied in several cases. | Legislation is applied in most cases OR the company has taken action to improve situation. | Legislation is applied in all cases. | RANK 4 AND the company has measures in place to ensure proper application throughout the company. | 0 | R |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|-------------|-----------------|----------|---|-------------------------|---|--|--|--------|------|---------------|
| Cocoa 3.2.7 | Trader | 3.1.3.04 | <p>(Cocoa) (If you source from Africa and Asia, applicable as of 1 July 2024) (If you source from Latin America / Caribbean, applicable as of 1 July 2025)</p> <p>This requirement applies to traders purchasing above a certain three-year average of annual volume of cocoa products (beans, liquor, butter, powder): 200 MT cocoa beans OR 164 MT cocoa liquor (aka mass/paste) OR 82 MT cocoa butter OR 82 MT cocoa powder. It is the responsibility of the trader to evidence that the average of the last three years annual purchases fall below the product thresholds.</p> <p>You support the action plans of producer organisations by collaborating on at least one activity that supports the prevention and mitigation of the most serious risks identified. This contribution is in addition to the Fairtrade Premium paid to the producers.</p> <p>Your support is either direct or through a partnership. It is in the form of annual funding, training, facilitation of partnerships, advocacy with government, or other ways.</p> | No support is provided. | Support is provided but not annually OR does not comply with the items listed in the criterion. | There are annual support interventions, to at least one Fairtrade certified producer organization, either directly or via partner initiatives in the form of funding, training, facilitation of partnerships, advocacy with government, or other ways AND the items listed in the criterion are complied with. | RANK 3 and the amount invested is at least equal to \$19 per ton of cocoa beans in total per year. | | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|-------------|-----------------|----------|---|-------------------------|---|--|--|--------|------|---------------|
| Cocoa 3.3.3 | Trader | 3.1.3.05 | <p>(Cocoa) (If you source from Africa and Asia, applicable as of 1 July 2024) (If you source from Latin America / Caribbean, applicable as of 1 January 2025) This requirement applies to traders purchasing above a certain three-year average of annual volume of cocoa products (beans, liquor, butter, powder): 200 MT cocoa beans OR 164 MT cocoa liquor (aka mass/paste) OR 82 MT cocoa butter OR 82 MT cocoa powder. It is the responsibility of the trader to evidence that the average of the last three years annual purchases fall below the product thresholds.</p> <p>You provide resource and support to producer organizations who have identified they are operating in areas of high risk of child labour and/or forced labour. This contribution is in addition to the Fairtrade Premium paid to the producers. Your support is either direct or through a partnership. It is in the form of annual funding, training, facilitation of partnerships, advocacy with government, or other ways.</p> | No support is provided. | Support is provided but not annually OR does not comply with the items listed in the criterion. | There are annual support interventions, to at least one Fairtrade certified producer organization, either directly or via partner initiatives in the form of funding, training, facilitation of partnerships, advocacy with government, or other ways AND the items listed in the criterion are complied with. | RANK 3 and the amount invested is at least equal to \$19 per ton of cocoa beans in total per year. | | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|-----------------|-----------------|----------|---|---|-----------------------------|--|--|--|------|---------------|
| Cocoa 3.3.4 | Trader | 3.1.3.06 | <p>(Cocoa) (Applicable as of 1 July 2024 for traders sourcing from Africa and Asia; applicable as of 1 January 2025 for traders sourcing from Latin America and the Caribbean)</p> <p>This requirement applies to traders purchasing above a certain three year average of annual volume of cocoa products (beans, liquor, butter, powder): 200 MT cocoa beans OR 164 MT cocoa liquor (aka mass/paste) OR 82 MT cocoa butter OR 82 MT cocoa powder. It is the responsibility of the trader to evidence that the average of the last three years annual purchases fall below the product thresholds.</p> <p>You report data to Fairtrade International every year. You present the data in the templates and formats provided.</p> | No data is reported. | Data is partially reported. | Data is reported annually AND a copy of the confirmation page is kept as proof of annual reporting | | | 0 | C |
| | | 3.1.4 | Freedom of Association and Collective Bargaining | | | | | | | |
| 4.1.1, ILO Co87 | Trader | 3.1.4.01 | (Reactive Assurance) You respect the rights of employees to form or join trade unions or employee organizations. | Actions aimed at obstructing this right are common. | | There are no indications of actions obstructing this right. | RANK 3 AND employees are aware of their right. | Rank 4 AND the company actively encourages employees to form or join unions. | 0 | R |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|------------------|-----------------|----------|---|--|---|--|---|--|------|---------------|
| 4.1.1, ILO Co87 | Trader | 3.1.4.02 | (Reactive Assurance) You do not interfere in the forming of, elections for, or governance of the employee organization. | The company actively interferes. | | There are no indications of interference . | | RANK 3 AND the company supports the organization on request. | 0 | R |
| 4.1.1, ILO Co98 | Trader | 3.1.4.03 | (Reactive Assurance) You do not engage in any acts of anti-union discrimination. This includes punishment, threatening, intimidation, harassment or bribery of union members or representatives; discrimination against employees for past or present union membership or activities. | There is evidence of discrimination or intimidating activities and the company has not acted to stop it. | There is evidence of discrimination or intimidating activities and the company's actions are not adequate in stopping it. | There have been cases of discrimination or intimidation but the company took successful actions. | RANK 3 AND the company has a policy or programme to prevent discrimination and intimidation activities. | RANK 4 AND a QMS that monitors implementation. | 0 | R |
| 4.1.1, ILO Co98 | Trader | 3.1.4.04 | (Reactive Assurance) You do not base your hiring on not joining or giving up union membership. | This regularly happens. | There are single cases of this practice. | Hiring is not linked with union membership. | RANK 3 AND there is a policy to prevent this practice. | RANK 4 AND a QMS that monitors implementation. | 0 | R |
| 4.1.1, ILO Co 98 | Trader | 3.1.4.05 | (Reactive Assurance) You do not cause dismissal by reason of union membership or because of participation in union activities. | This regularly happens. | There are single cases of this practice. | Dismissals are not linked with union activities. | RANK 3 AND there is a policy to prevent this practice. | RANK 4 AND a QMS that monitors implementation. | 0 | R |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|---------------------|-----------------|----------|--|---|--|--|--|---|------|---------------|
| 4.1.1, ILO Co98 | Trader | 3.1.4.06 | (Reactive Assurance) The company respects the right of employees for collective bargaining. | Actions aimed at obstructing this right are common. | | There are no indications of actions obstructing this right. | RANK 3 AND employees are aware of their right. | Rank 4 AND the company actively encourages employees to collectively bargain. | 0 | R |
| 4.1.1, National law | Trader | 3.1.4.07 | (Reactive Assurance) You comply with any additional applicable legislation regarding freedom of association and collective bargaining. | Legislation is not at all applied. | Legislation is not applied in several cases. | Legislation is applied in most cases OR the company has taken action to improve situation. | Legislation is applied in all cases. | RANK 4 AND the company has measures in place to ensure proper application throughout the company. | 0 | R |
| | | 3.1.5 | Conditions of Employment | | | | | | | |
| 4.1.1, National law | Trader | 3.1.5.01 | (Reactive Assurance) You set salaries for employees to legal and CBA regulations where they exist. | Salaries < legal minimum and CBA regulations. | | Salaries ≥ legal minimum and CBA. | | RANK 3 AND regional averages are considered when setting salaries. | 0 | R |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|------------------------|-----------------|----------|--|---|--|--|---|---|------|---------------|
| 4.1.1, National law | Trader | 3.1.5.02 | (Reactive Assurance) You set conditions of employment according to legal and CBA regulations where they exist. | No | | Yes | | | 0 | R |
| 4.1.1, National law | Trader | 3.1.5.03 | (Reactive Assurance) Deductions from salaries are only made if they are permitted by national laws or fixed by a collective bargaining agreement. | No | | Yes | | | 0 | R |
| 4.1.1, National law | Trader | 3.1.5.04 | (Reactive Assurance) Payments are made in line with national regulations. | No | | Yes | | | 0 | R |
| 4.1.1, National law | Trader | 3.1.5.05 | (Reactive Assurance) All permanent employees have a legally binding written contract signed by the employee and the employer that covers all details required by applicable legislation. | Permanent employees do not have a legally binding written contract. | There are written contracts but they do not cover all required details OR are not legally binding. | All permanent employees have signed contracts that include at all requirements as per this CC. | RANK 3 AND each employee has a copy of the contract signed. | RANK 4 AND contracts are verbally explained and in a language understood by the employee. | 0 | R |
| 4.1.1, National law | Trader | 3.1.5.06 | (Reactive Assurance) You comply with applicable legislation working hours and overtime regulations. | Legislation is not at all applied. | Legislation is not applied in several cases. | Legislation is applied in most cases OR the company has taken action to improve situation. | Legislation is applied in all cases. | RANK 4 AND the company has measures in place to ensure proper application throughout the company. | 0 | R |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|------------------------|-----------------|----------|---|------------------------------------|--|--|--------------------------------------|---|------|---------------|
| 4.1.1, National law | Trader | 3.1.5.07 | (Reactive Assurance) You comply with applicable legislation for provident fund or pension scheme. | Legislation is not at all applied. | Legislation is not applied in several cases. | Legislation is applied in most cases OR the company has taken action to improve situation. | Legislation is applied in all cases. | RANK 4 AND the company has measures in place to ensure proper application throughout the company. | 0 | R |
| 4.1.1, National law | Trader | 3.1.5.08 | (Reactive Assurance) You comply with applicable legislation regarding grievance procedures. | Legislation is not at all applied. | Legislation is not applied in several cases. | Legislation is applied in most cases OR the company has taken action to improve situation. | Legislation is applied in all cases. | RANK 4 AND the company has measures in place to ensure proper application throughout the company. | 0 | R |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|------------------------|-----------------|----------|---|------------------------------------|--|--|--------------------------------------|---|------|---------------|
| 4.1.1, National law | Trader | 3.1.5.09 | (Reactive Assurance) You comply with any additional applicable legislation regarding conditions of employment. | Legislation is not at all applied. | Legislation is not applied in several cases. | Legislation is applied in most cases OR the company has taken action to improve situation. | Legislation is applied in all cases. | RANK 4 AND the company has measures in place to ensure proper application throughout the company. | 0 | R |
| | | 3.1.6 | Occupational Health and Safety | | | | | | | |
| 4.1.1, National law | Trader | 3.1.6.01 | (Reactive Assurance) You comply with applicable legislation in relation to the safety of work processes, workplaces, machinery, accident prevention, and personal protective equipment. | Legislation is not at all applied. | Legislation is not applied in several cases. | Legislation is applied in most cases OR the company has taken action to improve situation. | Legislation is applied in all cases. | RANK 4 AND the company has measures in place to ensure proper application throughout the company. | 0 | R |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|------------------------|-----------------|----------|--|------------------------------------|--|--|--------------------------------------|---|------|---------------|
| 4.1.1, National law | Trader | 3.1.6.02 | (Reactive Assurance) You comply with applicable legislation in relation to hygiene requirements (e.g. hand washing facilities, enough toilets in proper conditions). | Legislation is not at all applied. | Legislation is not applied in several cases. | Legislation is applied in most cases OR the company has taken action to improve situation. | Legislation is applied in all cases. | RANK 4 AND the company has measures in place to ensure proper application throughout the company. | 0 | R |
| 4.1.1, National law | Trader | 3.1.6.03 | (Reactive Assurance) You comply with applicable legislation in relation to preventive measures for emergency cases (e.g. escape routes, fire fighting equipment, first aid facilities, etc.) | Legislation is not at all applied. | Legislation is not applied in several cases. | Legislation is applied in most cases OR the company has taken action to improve situation. | Legislation is applied in all cases. | RANK 4 AND the company has measures in place to ensure proper application throughout the company. | 0 | R |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|---------------------|-----------------|----------|--|--|--|---|--------------------------------------|---|------|---------------|
| 4.1.1, National law | Trader | 3.1.6.04 | (Reactive Assurance) You comply with any additional applicable legislation regarding Health and Safety. | Legislation is not at all applied. | Legislation is not applied in several cases. | Legislation is applied in most cases OR the company has taken action to improve situation. | Legislation is applied in all cases. | RANK 4 AND the company has measures in place to ensure proper application throughout the company. | 0 | R |
| Nuts 3.3.1 & 3.3.2 | Trader | 3.1.6.05 | (Cashew Nut) (Applicable if you process raw cashew nuts) You ensure workers within the processing units are adequately protected from cashew nut liquid by providing them with protective garments and oils. | No protective garments or oils provided. | | Protective garments and oils are provided AND workers are adequately protected | | | 0 | C |
| Nuts 3.3.3 & 3.3.4 | Trader | 3.1.6.06 | (Cashew Nut) (Applicable if you process raw cashew nuts) You ensure that workers are trained in the use of protective garments and oils and monitor that they use these correctly. | Workers are not trained | | Workers are trained in the use of protective garments and oils AND they use these correctly | | | 0 | C |
| | | 3.2 | Environmental Protection | | | | | | | |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|-----------|-----------------|----------|--|--|--|---|--|--|------|---------------|
| 4.2.1 | Trader | 3.2.0.01 | (Also applies to additional entities) (Not applicable to FSI cotton after ginning stage) You are aware of the applicable environmental laws in your country. | There is no awareness of the applicable environmental laws. | | The company has appointed a responsible person AND demonstrates how it is kept informed of the applicable environmental laws. | Rank 3 and The company has a clear policy and procedure to guarantee adequate environmental performance. | Rank 4 AND the company can demonstrate how is effectively implemented (for example by an EMS). | 0 | C |
| 4.2.2 | Trader | 3.2.0.02 | (Applicable to all products except FSI cotton after ginning stage) You do not use materials on the Fairtrade International Hazardous Material's List part 1 (Red List) for pest management during shipping, transport or storage on Fairtrade products. You keep a regularly updated list of the pesticides that are used on Fairtrade products that covers the name of the active ingredients, commercial name, product on which they are used, the targeted pests and which of those materials are on the Fairtrade HML Part 1 (Red List), Part 2 (Orange List) and Part 3 (Yellow List). | The company actively uses forbidden materials on Fairtrade products. | No Red List materials used on FT product BUT there is no (or not complete) list of pesticides used on FT products. | No Red List materials used on FT products AND there is a list complete of pesticides used on FT products. | No Red List materials used at all AND there is a list complete of pesticides used on FT products. | | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|-----------|-----------------|----------|--|---|--|---|--------|--|------|---------------|
| 4.2.3 | Trader | 3.2.0.03 | <p>(Not applicable to FSI cotton after ginning stage) (Applicable if you use materials on the Orange List of the HML)</p> <p>-- You fulfil the specific conditions of use indicated in the HML AND</p> <p>-- You only use these material as part of avoiding pesticide resistance build up in pests, ii) in rotation with less harmful pesticides, iii) as part of Integrated Pest Management (IPM) and iv) include non-chemical control measures AND</p> <p>-- You have developed a plan for reducing/phasing out the use of these materials. The plan includes information on the type of material, the quantity used and total consumed, actions taken for reducing/phasing out the material including details of other non-chemical controls which are part of the IPM strategy.</p> | None of the conditions is fulfilled. | Not all conditions of use are fulfilled. | All conditions for use are fulfilled. | | There is a documented QMS to ensure that the conditions are met AND the phase out plan is effectively implemented. | 0 | C |
| 4.2.4 | Trader | 3.2.0.04 | (Not applicable to FSI cotton after ginning stage) You understand and act to minimize your direct negative environmental impacts related to Fairtrade products with regards to land use and biodiversity, water use, energy use (including carbon footprint), wastewater effluents, emissions to air, waste, nuisances and incident prevention. | There is no Environmental Management System in place. | | There is an Environmental Management System in place. | | Rank 3 and There is third party certification on environment. | 0 | V |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|-----------|-----------------|----------|---|---|--|--|----------------------------------|--|------|---------------|
| 4.2.5 | Trader | 3.2.0.05 | (Not applicable to FSI cotton after ginning stage) You use recycled or easily biodegradable materials for packaging as much as possible. | No actions have been initiated to use recycled or easily biodegradable packaging. | There is sporadic use of recycled or easily biodegradable packaging, but mostly not. | There is a high use of recycled or easily biodegradable packaging. | | There is a systematic programme to use as a policy recycled or easily biodegradable packaging. | 0 | V |
| 4.2.6 | Trader | 3.2.0.06 | (Not applicable to FSI cotton after ginning stage) You take actions to reduce your carbon footprint within your Fairtrade supply chain(s). | There has been no steps to reduce carbon footprints. | | Steps are taken to reduce the carbon footprint. | | Rank 3 and the company offsets emmissions it cannot reduce. | 0 | V |
| 4.2.1 | Trader | 3.2.0.07 | (Also applies to additional entities) (Not applicable to FSI cotton after ginning stage) There are no indications that you violate any applicable environmental laws in your country. | There is proof that violations are common. | There is proof of violations BUT the company took actions to avoid this in future. | No proof of violations is found BUT further assessment is advisable as there are hints of possible violations. | No proof of violations is found. | Rank 4 AND the company has effectively implemented policies or procedures or is subject to social audits from other schemes. | 0 | M |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|--------------------------|--|----------|---|---|--|---|---|---|------|---------------|
| Flowers and Plants 4.1.3 | Trader | 3.2.0.08 | (Plants) You take at least 20% of the volume of the growing media used for Fairtrade plants from alternative sources to peat. | No efforts made to use alternative sources to peat. | Peat-free alternatives used are significantly less than 20%. | At least 20% of the growing media used is peat-free. | RANK 3 AND the company has implemented measures towards further increasing peat-free growing media. | The company implemented a completely peat-free growing media. | 0 | C |
| 4.2.2 | Trader | 3.2.0.09 | (Applicable to all products except FSI cotton after ginning stage) You only use synthetic materials that are officially registered and permitted for the crop/product in the country of use. | No | | Yes | | | 0 | C |
| Cocoa 3.4.6 | Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader | 3.2.0.11 | (Cocoa) (Payer, conveyor) (Applicable as of 1 January 2024) Geolocation data is available for 100% of the plots you are sourcing cocoa from as GPS location points or GPS polygons. As a minimum you have GPS polygons for plots over four hectares. You share this data with the producer organisations you are sourcing from, so that they can use it to inform their procedures to further prevent deforestation. | No geolocation data available. | Geolocation data is available only for some plots. | Data is available for all plots AND a proof of data sharing with the producer organisation(s) is available. | | | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|--------------|--|----------|---|-------------------------|--------|---|--------|--------|------|---------------|
| Cocoa 3.4.4 | Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader | 3.2.0.14 | (Cocoa) (Payer, conveyor) (Applicable as of 1 January 2025) You support the producer organisations you are directly or indirectly sourcing from with their plan to prevent and mitigate any deforestation and degradation of forest, to conserve and restore forest and vegetation. | No support is provided. | | Support is provided directly or through a partnership, in the form of funding, data sharing, training, facilitation of partnership and/or advocacy. | | | 0 | C |
| Coffee 3.1.5 | Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader | 3.2.0.15 | (Coffee) (Payer, Conveyor) (Applicable as of 1 January 2026) You support the producer organisations you are directly or indirectly sourcing from with their deforestation and forest degradation risk assessment and mitigation plan, to conserve the forest and vegetation. | No support is provided. | | Support is provided directly or through a partnership, in the form of funding, data sharing, training, facilitation of partnership and/or advocacy. | | | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|--------------|--|----------|--|--|--|---|--------|--------|------|---------------|
| Coffee 3.1.7 | Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader | 3.2.0.16 | <p>(Coffee) (Payer, conveyor) (Applicable as of 1 January 2027) Geolocation data is available for 100% of the plots you are sourcing coffee from. As a minimum, you have:</p> <ul style="list-style-type: none"> - GPS polygons, for coffee plots over four hectares - GPS location points, for coffee plots smaller than four hectares. <p>You share this data with the producer organisations you are sourcing from, so that they can use in their plans to further prevent deforestation.</p> | No geolocation data available. | Geolocation data is available only for some plots. | Data is available for all plots AND a proof of data sharing with the producer organisation(s) is available. | | | 0 | C |
| Cocoa 3.1.12 | Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader | 3.2.0.19 | <p>(Cocoa) (Applicable as of 1 July 2025) (Only applicable to exporters and importers who collect farmer data from producer organizations) You grant the producer organizations you are sourcing from access to the farmer data your organization has collected and processed in accordance with local laws within four weeks of the request or as determined by the local law.</p> <p>You have obtained the appropriate data privacy permissions from the individuals affected to share their data with their producer organizations.</p> | No access to farmer data being granted AND/OR no appropriate data privacy permissions obtained | | Access to farmer data being granted as per the timelines AND appropriate data privacy permissions obtained | | | 0 | C |
| | | 3.2.1 | Land Use and Biodiversity | | | | | | | |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|------------------------|-----------------|----------|--|------------------------------------|--|--|--------------------------------------|---|------|---------------|
| 4.2.1, National law | Trader | 3.2.1.01 | (Reactive Assurance) You comply with applicable legislation in relation land use and biodiversity and have obtained any necessary permission. | Legislation is not at all applied. | Legislation is not applied in several cases. | Legislation is applied in most cases OR the company has taken action to improve situation. | Legislation is applied in all cases. | RANK 4 AND the company has measures in place to ensure proper application throughout the company. | 0 | R |
| | | 3.2.2 | Water Use | | | | | | | |
| 4.2.1, National law | Trader | 3.2.2.01 | (Reactive Assurance) You comply with applicable legislation in relation to use of water (e.g. water sources, reuse of water) and have obtained any necessary permission. | Legislation is not at all applied. | Legislation is not applied in several cases. | Legislation is applied in most cases OR the company has taken action to improve situation. | Legislation is applied in all cases. | RANK 4 AND the company has measures in place to ensure proper application throughout the company. | 0 | R |
| | | 3.2.3 | Energy Use | | | | | | | |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|------------------------|-----------------|----------|--|------------------------------------|--|--|--------------------------------------|---|------|---------------|
| 4.2.1, National law | Trader | 3.2.3.01 | (Reactive Assurance) You comply with applicable legislation in relation to energy use (including carbon footprint) and have obtained any necessary permission. | Legislation is not at all applied. | Legislation is not applied in several cases. | Legislation is applied in most cases OR the company has taken action to improve situation. | Legislation is applied in all cases. | RANK 4 AND the company has measures in place to ensure proper application throughout the company. | 0 | R |
| | | 3.2.4 | Waste Water Effluents | | | | | | | |
| 4.2.1, National law | Trader | 3.2.4.01 | (Reactive Assurance) You comply with applicable legislation in relation to handling waste water effluents and have obtained any necessary permission. | Legislation is not at all applied. | Legislation is not applied in several cases. | Legislation is applied in most cases OR the company has taken action to improve situation. | Legislation is applied in all cases. | RANK 4 AND the company has measures in place to ensure proper application throughout the company. | 0 | R |
| | | 3.2.5 | Emissions to Air | | | | | | | |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|------------------------|-----------------|----------|---|------------------------------------|--|--|--------------------------------------|---|------|---------------|
| 4.2.1, National law | Trader | 3.2.5.01 | (Reactive Assurance) You comply with applicable legislation in relation to emissions to air and have obtained any necessary permission. | Legislation is not at all applied. | Legislation is not applied in several cases. | Legislation is applied in most cases OR the company has taken action to improve situation. | Legislation is applied in all cases. | RANK 4 AND the company has measures in place to ensure proper application throughout the company. | 0 | R |
| | | 3.2.6 | Waste | | | | | | | |
| 4.2.1, National law | Trader | 3.2.6.01 | (Reactive Assurance) You comply with applicable legislation in relation to waste disposal (e.g. storage of hazardous waste, recycling, burning waste) and have obtained any necessary permission. | Legislation is not at all applied. | Legislation is not applied in several cases. | Legislation is applied in most cases OR the company has taken action to improve situation. | Legislation is applied in all cases. | RANK 4 AND the company has measures in place to ensure proper application throughout the company. | 0 | R |
| | | 3.2.7 | Climate and Carbon | | | | | | | |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|---|--|----------|--|--|--------|--|--|---|------|---------------|
| Flowers and Plants 4.4.1 | Trader | 3.2.7.01 | (Flowers and Plants) (Applicable as of 1 September 2025) You estimate your carbon footprint (CO2e), including the inbound transport phase per stem/ finished plant/ plant (e.g. cutting) and identify opportunities for carbon reduction on an annual basis. | No | | Yes | The footprint is detailed for different product types. | | 0 | C |
| | | 4 | Business and Development | | | | | | | |
| | | 4.1 | Contracts | | | | | | | |
| 5.1.1, Fresh Fruit (SPO) 5.2.2 & 5.2.4, Fresh Fruit (HL) 5.4.2 & 5.4.4 | Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader | 4.1.0.01 | (Payer, conveyor) You fulfil your role as Fairtrade price and/or Fairtrade Premium payer and/or conveyor as defined in Annex 1 of the Fairtrade Trader Standard or in the relevant product Standard or in an alternative arrangement (if this is allowed in Annex 1) that is agreed between all affected parties (including the producer), documented in writing and reported to the certification body. | The company is practicing an alternative arrangement, but has not agreed upon it in writing with all affected parties. | | Role fulfilled as defined in the Trader Standard annex 1 OR in the relevant product Standard OR in an alternative arrangement, agreed in writing by all affected parties and reported to the certification body. | | Alternative arrangement is documented in a countersigned tripartite contract, agreed upon by all affected parties AND reported to certification body. | 0 | M |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|--------------|--|----------|---|--|---|--|--------|---|------|---------------|
| 5.1.2; 5.1.3 | Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader | 4.1.0.02 | <p>(Payer, conveyor) (Not applicable to: Cocoa from Ghana, Flowers and Plants) You sign a purchase contract for Fairtrade products with the producer (or with the conveyor, if applicable). Contracts follow industry regulations, and as a minimum clearly indicate:</p> <ul style="list-style-type: none"> • agreed volumes • quality specifications (i.e. product description and attributes) • price, defined according to the requirements of the pricing section • a transparent breakdown of the price and premium adjustment calculations • amount of Fairtrade Premium to be paid (indicated separately from the price) • who is responsible for paying the Fairtrade price and the Fairtrade Premium • the form of payment, which must be transparent, and traceable • the date of the exchange rate to be used in case the payment of the price and the premium is made in a different currency than the one defined in the Fairtrade price table • the terms and the amount of pre-finance, if applicable • procedures in case of quality problems • terms of delivery using international commercial terms (Incoterms) • terms of payment according to the product standards | No written purchase contract or purchase order for Fairtrade products with producer or with Fairtrade conveyor exists. | Written purchase contract or purchase order exists BUT is incomplete. | Written and complete purchase contract or purchase order exists BUT is not confirmed by producer/conveyor (purchase order) or not countersigned by both parties (purchase contract). | | Written and complete purchase contract or purchase order exists AND is confirmed in writing by producer/conveyor (purchase order) or countersigned by both parties (purchase contract). | 0 | M |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|--|------------------------------------|----------|--|--|---|--|--------|---|----------|---------------|
| | | | <ul style="list-style-type: none"> definition or mentioning of 'Force Majeure' agreement on applicable jurisdiction, and an alternative dispute resolution mechanism to resolve conflicts. (cocoa from Cote d'Ivoire) differential (difference between reference price and FTMP) to be paid, if applicable. <p>Both contracting parties have equal contract termination rights.</p> | | | | | | | |
| Prepared and preserved Fruit and Vegetables, Fresh 2.3.1 (SPO), 5.3.1 (HL) Vegetables 2.1.1 (SPO), 5.2.1 (HL) | Premium Payer, Price Payer, Trader | 4.1.0.03 | <p>(Payer) (Fresh Vegetables and roots and tubers (excluding pulses), dried fruit, dried vegetables): You ensure that the contract with the producer (or with the conveyor, if applicable) includes in addition to the requirements under 4.1.0.02:</p> <ul style="list-style-type: none"> operator's FLO ID number reference to Fairtrade as an integral part of the contract date of the contract duration of the contract product description Volumes of Fairtrade products (minimum and maximum or fixed volume) Reference to sourcing plans Description of how the system of orders will function Description of the liability of each party and the quality check procedure | No written purchase contract for Fairtrade products with producer or with exporter existing. | Written purchase contract or purchase order exists BUT is incomplete. | Written and complete purchase contract or purchase order exists BUT is not confirmed in writing by producer (purchase order) or not countersigned by both parties (purchase contract). | | Written and complete purchase contract or purchase order exists AND is confirmed in writing by producer(purchase order) or countersigned by both parties (purchase contract). | 0 | M |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|---|------------------------------------|----------|---|--|---|--|--------|--|----------|---------------|
| Fresh Fruit 4.1.1 (SPO), 5.2.1 (HL) | Premium Payer, Price Payer, Trader | 4.1.0.04 | (Payer) (Fresh Fruit, incl. banana, oranges for juice (excluding wine grapes)) You ensure that the contract with the producer (or with the conveyor, if applicable) includes in addition to the requirements under 4.1.0.02: <ul style="list-style-type: none"> operator's FLO ID number minimum volume to be purchased and delivered on a weekly basis for perennial fruits and on a seasonal basis for seasonal fruits and volume projection for the duration of the contract description of how the system of orders will function (when and how weekly/ single orders are confirmed) responsible party for product labelling rules for dead freight non-Fairtrade payment terms and price mechanism in case of short falling sales and quality problems for each product if applicable, a reference to additional or special packing material and services and related costs not included in the Fairtrade minimum price (e.g. for "clusterbags" or "parafilm"). | No written purchase contract for Fairtrade products with producer or with exporter existing. | Written purchase contract or purchase order exists BUT is incomplete. | Written and complete purchase contract or purchase order exists BUT is not confirmed in writing by supplier (purchase order) or not countersigned by both parties (purchase contract). | | Written and complete purchase contract or purchase order exists AND is confirmed in writing by supplier (purchase order) or countersigned by both parties (purchase contract). | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|--------------|--|----------|---|---|--------|--|--------|--------|------|---------------|
| Coffee 2.2.5 | Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader | 4.1.0.05 | (Payer, conveyor) (Coffee) (Only applicable if you have requested an extension of shipping schedule beyond the timings of normal commercial practices, i.e. three months after the harvest) You ensure that the real costs of storage, interest and insurance are paid by you and are detailed in the contract. | NO payment of costs of storage, interest and insurance and NO detailed agreement on costs in the purchase contract for cases where the company has requested an extension of the shipping schedule beyond the timings of normal commercial practices. | | Payment of costs of storage, interest and insurance and detailed agreement on costs in the purchase contract for cases where the company has requested an extension of the shipping schedule beyond the time-lines of normal commercial practices. | | | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|--------------|--|----------|---|---|--------|--|--------|--------|------|---------------|
| Coffee 2.2.3 | Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader | 4.1.0.06 | (Payer, conveyor) (Coffee) (Only applicable for Price to be fixed contract) You do not include stop loss clauses in the contracts, triggering automatic price fixing at any moment after signing the contract, thus overruling the price-to-be-fixed at producer organisation's call clause. Price fixing through a stop loss order can only be applied during the harvest in consultation between producer and buyer as a means of managing the price risk for both sides. | Stop loss clauses applied without consultation between producer and buyer | | Stop loss clauses applied in consultation between producer and buyer | | | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|--------------|--|----------|---|--|--------|--|--------|--------|------|---------------|
| Coffee 2.2.1 | Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader | 4.1.0.07 | <p>(Payer, conveyor) (Coffee) (Only applicable to contracts signed between a producer organization and its first buyer)</p> <p>You agree on a 'price-to-be-fixed' contract with the producer organisations. The price fixation is made at the producer organization's call.</p> <p>A fixed-price contract may be used only in the following cases:</p> <ul style="list-style-type: none"> a) auction systems that would invalidate a price to be fixed contract, or b) the producer organization has the coffee in stock at the time of signing the contract, or (e.g. immediate shipment within 3 months after signature of purchase contract or approval of pre-shipment sample before signature of contract) c) the producer organization and the buyer agree that it is mutually beneficial to have a fixed-price contract and jointly agree upon a risk management strategy. The mutual agreement and the details of the risk management strategy must be confirmed in writing; as a minimum must include shared cost structure, solutions based on harvest results, and any clause relating to the responsibilities of the producer organisation and the buyer, or d) 'price to be fixed' is against the national law | Price fixation set at buyer's call OR no written evidence that any of the four conditions apply although fixed-price contract has been signed. | | Price fixation set at seller's call AND/OR written evidence for each respective fixed-price contract that one of four conditions applies | | | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|--------------|--|----------|--|---|--------|---|---|--|------|---------------|
| Coffee 2.2.4 | Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader | 4.1.0.08 | (Payer, conveyor) (Coffee) (Only applicable if a broker has been used) The use of a broker has been made explicit in the contract between the seller and the buyer. | Evidence existing that broker was involved BUT no explicit mentioning in contract between seller and buyer. | | Existence of written purchase contract which was set up by the broker and mentions the buyer and the seller BUT is only signed by the broker and the buyer or seller. | Existence of written purchase contract between seller and buyer which explicitly mentions the broker. | Existence of tripartite purchase contract, signed by seller, broker and buyer. | 0 | C |
| Coffee 2.2.4 | Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader | 4.1.0.09 | (Payer, conveyor) (Coffee) (Only applicable if a broker has been used) You, (who is requiring the services of the broker mentioned in the contract) have paid the broker's commission. | Broker's commission is not paid the by the party requiring the services of the broker | | Broker's commission is paid the by the party requiring the services of the broker. | | | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|--------------------------|------------------------------------|----------|---|---|--------|--|--------|--------|------|---------------|
| Flowers and Plants 5.2.2 | Premium Payer, Price Payer, Trader | 4.1.0.11 | (Payer) (Flowers and Plants) Final purchase orders are confirmed in writing. When buying via an auction, you confirm the final purchase in writing to the producer. | Final purchase orders are not confirmed in writing. | | Final purchase orders are confirmed in writing (e.g. by e-mail). | | | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|--------------------------------------|------------------------------------|----------|---|---|--------|---|--------|--------|------|---------------|
| Oil Seeds and Oleaginous fruit 2.3.1 | Premium Payer, Price Payer, Trader | 4.1.0.12 | (Payer) (Soybeans) Contracts with producers indicate that unavoidable GMO contamination is only tolerated up to the levels defined by the applicable national or international regulations (whichever is stricter) and specify which regulation(s) apply. | (Soybeans) Contracts with producers do NOT indicate that unavoidable GMO contamination is only tolerated up to the levels defined by the applicable national or international regulations (whichever is stricter) and do NOT specify which regulation(s) apply. | | (Soybeans) Contracts with producers indicate that unavoidable GMO contamination is only tolerated up to the levels defined by the applicable national or international regulations (whichever is stricter) and specify which regulation(s) apply. | | | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|--|--|----------|---|--|--------|--|--------|--------|------|---------------|
| Fresh Fruit 4.1.2 (SPO) & 5.2.1 (HL) | Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader | 4.1.0.13 | (First buyer) (Oranges for juice) Contracts between producers and buyers additionally include price to be paid and calculation used for defining price for orange juice equivalent. The contract mentions that the price calculation for oranges for juice will be defined according to the yield, as identified in the preliminary analysis report, and the name of the responsible party paying the Fairtrade Premium to producers. | (Oranges for Juice) Contracts between producers and buyers do NOT additionally include price to be paid and calculation used for defining price for orange juice equivalent. The contract does NOT mention that the price calculation for oranges for juice will be defined according to the yield, as identified in the preliminary | | (Oranges for Juice) Contracts between producers and buyers do additionally include price to be paid and calculation used for defining price for orange juice equivalent. The contract does mention that the price calculation for oranges for juice will be defined according to the yield, as identified in the preliminary | | | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|--------------------------------------|--|----------|--|--|--------|---|--------|--------|------|---------------|
| | | | | analysis report. | | analysis report. | | | | |
| Fresh Fruit 4.1.2 (SPO) & 5.2.1 (HL) | Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader | 4.1.0.14 | (First buyer) (Oranges for juice) Once available, the preliminary analysis reports from each delivery of oranges for juice is attached to the contract. In addition, this report is given to the producer within 7 days after the delivery of fruit. | (Oranges for juice) Although available, the preliminary analysis reports from each delivery of oranges for juice was NOT attached to the contract AND was NOT given to the producer within 7 days after the delivery of fruit. | | (Oranges for juice) Once available, the preliminary analysis reports from each delivery of oranges for juice was attached to the contract. In addition, this report was given to the producer 7 days after the delivery of fruit. | | | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|-------------|--|----------|--|--|---|---|--------|--------|------|---------------|
| Gold 2.3.14 | Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader | 4.1.0.15 | (Payer, conveyor) (Gold) Shipment orders specify the Fairtrade volume and purity of metal per shipment. | Volume and assumed purity of metal not specified. | Volume and assumed purity of metal only specified in some shipment orders. | Volume and assumed purity of metal specified per shipment in shipment orders. | | | 0 | C |
| Gold 4.5.8 | Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader | 4.1.0.16 | (Payer, conveyor) (Gold) (Applicable if you act as pro-bono intermediary for Fairtrade price and/or premium payments in case the ASMO does not have a trade function or export permit) The contract between the ASMO and trader/exporter specifies the trader's/exporter's function as pro bono intermediary for Premium payments. | Function as pro-bono intermediary for Premium payments NOT specified in contract between ASMO and trader/exporter AND there is no proof that the ASMO does not have a trade function or export permit. | Function as pro-bono intermediary for Premium payments NOT specified in contract between ASMO and trader/exporter BUT there is proof that the ASMO does not have a trade function or export permit. | Function as pro-bono intermediary for Premium payments specified in contract between ASMO and trader/exporter AND there is proof that the ASMO does not have a trade function or export permit. | | | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|--------------------|--|----------|---|--|---|---|--------|--------|------|---------------|
| Gold 2.3.11 | Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader | 4.1.0.17 | (Payer, conveyor) (Gold) Purchase contracts with suppliers additionally define the precious metals content determination and arbitration procedures in line with existing practice in the sector. | Contracts do not specify this. | Contracts specify the precious metals content determination and arbitration procedures BUT these are not in line with practice in the sector. | The precious metals content determination and arbitration procedures are defined in the contract and in line with practice in the sector. | | | 0 | C |
| Fresh Fruit 5.5.18 | Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader | 4.1.0.19 | (Payer, conveyor) (Wine grapes) (Applicable if the purchase is made in order to submit a tender) You have agreed with the producer that the purchase is made for a tender. This is clearly reflected in the purchase contract. You confirm whether it is a Fairtrade transaction or not once the tender process has been finalized. | The agreement is not reflected in the contract AND the FT status is not confirmed. | The agreement is not reflected in the contract OR the FT status is not confirmed. | The agreement is reflected in the contract AND the FT status has been confirmed | | | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
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| Cane sugar 4.1.1 | Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader | 4.1.0.20 | (Mills/ exporters) (Cane sugar) You have signed contracts / supply agreements with producers prior to or as soon as possible during the harvest. This also applies to Fairtrade eligible cane. | No written supply agreements signed with producers. | No contract offered (to certified organisation delivering cane to the mill) OR contract offered to the producers after harvest time/ harvest has been finished. | Contracts/supply agreements are signed prior to or as soon as possible during the cane harvest, including for Fairtrade eligible cane. | | Contracts/supply agreements are always signed prior to the cane harvest, including for Fairtrade eligible cane. | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|-----------|--|----------|---|---|---|--|--------|--|------|---------------|
| 5.1.4 | Premium Conveyor, Price Conveyor, Trader | 4.1.0.22 | (Conveyor) You sign a Fairtrade purchase contract with the producer which includes all elements mentioned in Standard requirement 5.1.2., and additionally the modalities of payment of the price differential (if applicable) and Fairtrade Premium, including the timelines, and the system of reporting. | No written purchase contract or purchase order for Fairtrade products with producer existing. | Written purchase contract or purchase order exists BUT is incomplete. | Written and complete purchase contract or purchase order exists BUT is not confirmed in writing by supplier (purchase order) or not countersigned by both parties (purchase contract). | | Written and complete purchase contract or purchase order exists AND is confirmed in writing by supplier (purchase order) or countersigned by both parties (purchase contract). | 0 | M |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|-----------|--|----------|--|------------------------------|--|---|--------|---|------|---------------|
| 5.1.5 | Premium Conveyor, Price Conveyor, Trader | 4.1.0.23 | (Conveyor) On quarterly basis (unless other timelines are agreed between both parties) you send the producer a report for each purchase contract, including the exact volumes that have been sold, the price differential (if applicable) and premium due, and FLO ID of the buyer it was sold to. | No reports sent to producer. | Reports are sent BUT are incomplete OR are sent on an irregular basis. | Reports sent to producer are complete AND are sent on a quarterly basis or at timelines agreed. | | Reports sent to producer are complete AND are sent on a quarterly basis AND are always compiled in a transparent manner, agreed upon with the producer. | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|-----------|--|----------|--|--|--------|---|--------|---|------|---------------|
| 5.1.6 | Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader | 4.1.0.24 | (Payer, conveyor) You ensure that all elements of the transaction fixed in the contract are honored, unless you and the other party agree to a change. | The contract (or any agreed changes to the contract) has not been honoured OR the result of an arbitration or court process has not been respected OR the customer has not consented to the arbitration process. | | Contracts are honoured OR in case of any disputes, the dispute resolution mechanism has been/is being used to solve the conflict. | | Contracts are honoured without any changes. | 0 | M |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|-----------|-----------------|----------|---|---|--|---|--------|--|------|---------------|
| 5.1.6 | Trader | 4.1.0.25 | (First buyer) (Applicable if exceptional and unforeseen circumstances prevent you from buying the volume stated in the contract) You promptly notify the supplier and actively seek a solution. | No notification of supplier / buyer. | Only notification has been made, and not consented to reach contract resolution. | Evidence that oral notification (e.g. during a meeting or telephone conversation) has been provided to supplier / buyer AND has renegotiated on the contract or is actively seeking to reach contract resolution. | | Written notification of supplier / buyer AND has renegotiated on the contract or is actively seeking to reach contract resolution. | 0 | C |
| 5.1.8 | Trader | 4.1.0.26 | (Not applicable to cocoa) You have a long term commitment with the producer or with your suppliers (which in turn results in a long term contract with producers). | No long term (2 or more years) commitment in place. | | Written long-term commitment with producer or direct supplier for a period greater than 2 years. | | Rank 3 and There is consistent fulfilment of the long term commitment. | 0 | V |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|------------------|--|----------|---|---|--|--|--------|--|------|---------------|
| 5.1.9 | Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader | 4.1.0.27 | (Payer, conveyor) You sign a tripartite contract between the producer, the price and premium payer, and conveyor, or you share with the producer the contract that you have with the Fairtrade payer or conveyor. | No tripartite contract between producer, Fairtrade conveyor and Fairtrade payer in place OR the contract between exporter-importer is not shared with the producer. | | Written tripartite contract between producer, Fairtrade conveyor and Fairtrade payer BUT not countersigned by all parties OR the contract between exporter-importer is shared with the producer. | | Written tripartite contract between producer, Fairtrade conveyor and Fairtrade payer AND countersigned by all parties. | 0 | V |
| Cane sugar 4.1.2 | Trader | 4.1.0.29 | (Mill / exporter) (Cane sugar) Twice a year, you send a report for each purchase contract to the producer including the exact volumes that have been sold and the Fairtrade Premium due. | No report is sent to the producer. | Reports are sent BUT are incomplete OR are sent on an irregular basis. | A complete report is sent to the producer twice a year. | | | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|--------------|--|----------|---|--|---|---|--------|---|------|---------------|
| Cereal 4.1.1 | Premium Conveyor, Price Conveyor, Trader | 4.1.0.30 | (Rice) (Conveyors) (Applicable if you buy rice paddy from producers as Fairtrade eligible) The purchase contract with the producer is clearly identified as a 'Fairtrade eligible contract'. | No | | Yes | | Rank 3 AND information on FT sales is provided as soon as a Fairtrade sale is made. | 0 | C |
| Cereal 4.1.1 | Premium Conveyor, Price Conveyor, Trader | 4.1.0.31 | (Rice) (Conveyors) (Applicable if you buy rice paddy from producers as Fairtrade eligible) You provide information to the producer on the prospects for selling the rice as Fairtrade and you report to the producer upon confirmation of any Fairtrade sales. | No information on forecasted sales nor reports on confirmation of FT sales shared with the producer. | Information on forecasted sales or reports on confirmation of FT sales only sometimes shared with the producer. | Information on forecasted sales and reports on confirmation of FT sales shared with the producer. | | | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|-------------|--|----------|--|--|--------|---|--------|--|------|---------------|
| Cocoa 4.5.2 | Trader | 4.1.0.32 | (Cocoa) You engage in long term partnerships with producers based on mutual commitments of cocoa volume purchases over multiple years and you communicate your sustainability priorities to allow producers to make informed decisions on premium investments. | No long term commitment in place and no communication about sustainability priorities. | | Written long-term commitment with producers for a period of 3 years (or more) in place and regular exchanges of sustainability priorities with producers. | | Rank 3 and there is a tripartite partnership contract in place involving producers, buyers and brand owners. | 0 | V |
| Cocoa 4.1.1 | Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader | 4.1.0.33 | (Cocoa) (Payer, conveyor) You ensure that a reference on the market price, either New York (ICE FUTURES US) or London (ICE FUTURES EUROPE) is included in your contract with the producer (or the conveyor) unless official prices have been set by the national government. | No reference on the market price, if not set by the national government . | | Evidence (e.g. e-mails) that agreement on source of information but not included in contract. | | Written agreement on source of information in countersigned purchase contract. | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|-----------|-----------------|----------|---|---|--------|--|--------|--------|------|---------------|
| 5.1.7 | Trader | 4.1.0.34 | (Applicable if you provide any services such as training or other support activities to producers) You agree in advance and in writing with the producer, on all terms and conditions, including fees. | No agreement in advance and/or not in writing and/or not including all terms and conditions, including fees | | Evidence that agreement has been reached in advance and in writing, on all terms and conditions, including fees. | | | 0 | C |
| 5.1.7 | Trader | 4.1.0.35 | (Applicable if you provide any services such as training or other support activities to producers) You do not put pressure on producers to accept the services (such as training or other support activities) and the fee, nor do you make it a condition of purchase. | Evidence exists that producer has been pressured to accept the services and fee and/or that acceptance of services was a condition of purchase. | | No evidence exists that producer has been pressured to accept the services and fee and/or that acceptance of services was a condition of purchase. | | | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|-------------|--|----------|---|---|--------|--|--------|--------|------|---------------|
| Cocoa 4.1.3 | Trader | 4.1.0.36 | (Cocoa) Whenever you sell cocoa products as Fairtrade, you indicate in the sales contract the amount of Fairtrade Premium to be paid, and Fairtrade organic differential when applicable, separately from the agreed sales price of the Fairtrade cocoa products (i.e. cocoa beans, cocoa liquor/mass, cocoa butter, and cocoa powder). | No separate indication of amount of Fairtrade Premium and of Fairtrade organic differential (if applicable) in sales contracts. | | Clear and separate indication of amount of Fairtrade Premium and of Fairtrade organic differential (if applicable) in sales contracts. | | | 0 | C |
| Cocoa 4.1.4 | Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader | 4.1.0.37 | (Cocoa) (Payer, conveyor) (Applicable only if you buy organic FT cocoa products from a producer organization or conveyor) You clearly indicate the amount of Fairtrade organic differential to be paid, separately from the price, in the purchase contract with the producer organization (or conveyor, if applicable). | No separate indication of amount of Fairtrade organic differential in purchase contracts. | | Clear and separate indication of amount of Fairtrade organic differential in purchase contracts. | | | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|--|--|----------|---|--|--|--|--------|--------|----------|---------------|
| Prepared & preserved fruit (HL) 5.3.3, Prepared and preserved fruits and vegetables (SPO) 2.3.2, Fresh fruit (HL) 5.2.3, Fresh fruit (SPO) 4.1.3 | Premium Conveyor, Price Conveyor, Trader | 4.1.0.38 | <p>(Conveyor) (Oranges for juice) You sign a tripartite contract between the producer, the Fairtrade price & premium payer and you as conveyor or you share a copy of your sales contract with the Fairtrade price & premium payer with the producer.</p> <p>The tripartite contracts identify as a minimum the orange juice buyer, specify the quantity and price of the orange juice sold as well as payment terms of the price differential.</p> | No tripartite contract between producer, Fairtrade conveyor and Fairtrade payer in place OR the contract between conveyor and payer is not shared with the producer. | No tripartite contract between producer, Fairtrade conveyor and Fairtrade payer in place OR the contract between conveyor and payer is not shared with the producer. Fairtrade conveyor shares information indicated in the requirement with the producer. | Written tripartite contract between producer, Fairtrade conveyor and Fairtrade payer OR the contract between conveyor and payer is shared with the producer. | | | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|--------------|--|----------|--|---|--------|---|--------|--------|------|---------------|
| Coffee 4.1.1 | Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader | 4.1.0.39 | (Payer, Conveyor) (Coffee) You clearly indicate in your purchase contracts the prevailing quality and/or country differential, the organic differential (if applicable) and the Fairtrade premium. | The values of Fairtrade Premium, organic differential (if applicable) and prevailing differential are not mentioned separately in purchase contracts. | | The values of Fairtrade Premium, organic differential (if applicable) and prevailing differential are mentioned separately in purchase contracts. | | | 0 | C |
| Coffee 2.2.8 | Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader | 4.1.0.40 | (Exporter) (Coffee) Your role as payer or as conveyor is clearly defined in the purchase and sales contracts. The responsibilities outlined in the Trader Standard regarding the payment of Fairtrade Minimum Price and Fairtrade Premium are explicit in the contract. If acting as a conveyor, you sell directly to a Fairtrade payer and you report to the seller (producer organisation) every three months where the coffee was sold and the amount paid. | No clear definition of role as either payer or conveyor in contracts OR (if acting as conveyor) no reporting to seller | | Clear definition of role as either payer or conveyor in contracts AND (if acting as conveyor) reporting to seller every three months | | | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|--------------|--|----------|--|--|--|---|--------|--|----------|---------------|
| Coffee 2.2.9 | Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader | 4.1.0.41 | <p>(Payer, Conveyor) (Coffee) You sign a purchase contract for Fairtrade coffee with the producer or with the conveyor (if applicable). Contracts follow national and industry regulations, and in addition indicate:</p> <ul style="list-style-type: none"> - Form or stage (green exportable coffee, parchment) in which the coffee is purchased from the SPO (i.e. change in ownership of the main product and any by-product) - Moisture content (percentage level) of coffee purchased (if applicable) - Detailed description of services delivered to the SPO (if applicable) - Price risk management strategy terms and conditions (if applicable) <p>If you, as a buyer, are processing the coffee, you share the post-milling report, including the yields and quality specifications of the coffee, with the producer organisation once available.</p> | <p>No written purchase contract or purchase order for Fairtrade products with producer or with Fairtrade conveyor exists OR (if processing coffee) post-milling report not shared with producer organization</p> | <p>Written purchase contract or purchase order exists BUT is incomplete.</p> | <p>Written and complete purchase contract or purchase order exists BUT is not confirmed by producer/conveyor (purchase order) or not countersigned by both parties (purchase contract) AND (if processing coffee) post-milling report shared with producer organization</p> | | <p>Written and complete purchase contract or purchase order exists AND is confirmed in writing by producer/conveyor (purchase order) or countersigned by both parties (purchase contract) AND (if processing coffee) post-milling report shared with producer organization</p> | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|--------------|--|----------|--|--|--------|---|--------|--------|------|---------------|
| Coffee 2.2.6 | Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader | 4.1.0.42 | (Payer, Conveyor) (Coffee) (Applicable only if not buying green exportable coffee at FOB level) You include in the contract with the producer (or the conveyor if applicable) a detailed breakdown of the price calculation (deducted or added cost items, their value and conversion rate in case of processing). | No detailed breakdown of price calculation included in contract with producer organization or conveyor | | Detailed breakdown of price calculation included in contract with producer organization or conveyor | | | 0 | C |
| Coffee 4.1.2 | Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader | 4.1.0.43 | (Payer, Conveyor) (Coffee) (Only applicable if, by legislation, coffee has to be passed through the auction) You, as importer, agree with the exporter upon a reasonable margin for the exporter to cover relevant costs. | No agreement upon reasonable margin exists | | Agreement upon reasonable margin exists | | | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|--|--|----------|---|---|--------|---|--------|--------|------|---------------|
| Tea (SPO) 4.1.1 & Tea (HL) 5.3.1 | Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader | 4.1.0.44 | (Payer, Conveyor) (Tea) You clearly indicate 'Instant Tea'/'Organic Instant Tea' as applicable on sales invoices and detail the commercial price and the Fairtrade premium as separate items for the purpose of clarity and transparency. | No clear indication of 'Instant Tea'/'Organic Instant Tea' AND no clear information on the Commercial price and the Fairtrade premium on sales invoices | | Clear indication of 'Instant Tea'/'Organic Instant Tea' AND clear information on the Commercial price and the Fairtrade premium on sales invoices | | | 0 | C |
| | | 4.2 | Price and Fairtrade Premium | | | | | | | |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|---------------------------|------------------------------------|----------|--|---|--|---|--------|--------|------|---------------|
| 5.2.1, 5.2.2, Cocoa 4.2.1 | Premium Payer, Price Payer, Trader | 4.2.0.01 | (Payer, conveyor) You pay at least the relevant market price or the Fairtrade Minimum Price - whichever is higher - to the producer (or the conveyor) (as defined in the Fairtrade pricing database or the relevant product Standard). | Payment below Fairtrade minimum price or relevant market price. | Though the price calculated for the product form purchased from the producer is based on the correct FMP (or market price), the price offered to the producer for the actual form purchased from them is below the local market price applicable for the form. | Payment of Fairtrade minimum price or relevant market price (whichever is higher) | | | 0 | M |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|-----------|--|----------|---|---|--------|--|--------|--|------|---------------|
| 5.2.1 | Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader | 4.2.0.02 | (Payer, conveyor) (Not applicable to cane sugar) You agree with the producer on the source of information for the market price. If available, you use the market price reference indicated in the product standard. | No exchange of information between buyer and producer regarding market price information. | | Evidence (e.g. e-mails) that regular exchanges & agreement on the source of information for market price exists. | | Rank 3 and Written agreement on source of information for market price in countersigned purchase contract or written purchase order confirmed by supplier. | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|-----------|--|----------|--|--|---|---|--------|--|------|---------------|
| 5.2.1 | Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader | 4.2.0.03 | (Payer, conveyor) If the price you pay for the Fairtrade product significantly deviates from the relevant market price, you are able to provide a rationale/justification. | No rationale/justification for significant deviations from relevant market price exists. | The rationale/justification for deviation is not adequate or is not agreed by the producer. | Evidence (e.g. e-mails) that rationale/justification for significant deviations from relevant market price was given to supplier and is adequate. | | Written rationale/justification provided to supplier in countersigned purchase contract or written purchase order confirmed by supplier and is adequate. | 0 | C |
| 5.2.2 | Premium Payer, Price Payer, Trader | 4.2.0.04 | (Payer) (Applicable to conveyors when calculating the differential) Discounts for quality cannot be made on the Fairtrade Minimum Price. The Fairtrade Minimum Price is an absolute minimum. | Payment below Fairtrade minimum price, | Payment of FT minimum price with application of discounts for quality. | Payment of Fairtrade minimum price AND no application of discounts for quality. | | Payment of Fairtrade minimum price AND payment of positive differential for high quality. | 0 | M |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|-----------|------------------------------------|----------|---|--|---|--|--|---|------|---------------|
| 5.2.2 | Premium Payer, Price Payer, Trader | 4.2.0.05 | (Payer) (Applicable to conveyors when calculating the differential) New Fairtrade Minimum Prices apply to contracts signed after the date of validity indicated in the Fairtrade pricing database. Contracts signed prior to that date are to be honoured at the price defined in the contract. | New Fairtrade minimum prices NOT applied to contracts signed after the date of validity indicated in the pricing database. | New Fairtrade minimum prices applied to some but not all contracts signed after the date of validity indicated in the pricing database. | New Fairtrade Minimum Prices applied to all contracts signed after the date of validity indicated in the pricing database. | New Fairtrade Minimum Prices applied to some contracts signed after the date of publication of new prices but before date of validity indicated in the pricing database. | New Fairtrade Minimum Prices applied to all contracts signed after the date of publication of new prices but before date of validity indicated in the pricing database. | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|--------------|------------------------------------|----------|---|---|--|--|--|---|------|---------------|
| 5.2.3; 5.1.3 | Premium Payer, Price Payer, Trader | 4.2.0.06 | (Payer) (Applicable to conveyors when calculating the price difference)(Not applicable to cocoa purchases from Ghana or Ivory Coast and not to oranges for juice) In case the Fairtrade Minimum Price is set at a different level in the supply chain (different product form, different incoterm) than the one you are buying at, you adjust the Fairtrade Minimum Price accordingly. The calculations are transparent and reflect real costs. | No adjustments made although the Fairtrade Minimum Price is set at a different level in the supply chain than the one the company is buying at. | Adjustments made to Fairtrade Minimum Price BUT calculations are in transparent and do not reflect real costs. | Adjustments made to Fairtrade Minimum Price AND calculations are transparent and reflect real costs. | Adjustments made to Fairtrade Minimum Price AND calculations are transparent and reflect real costs AND calculations are agreed upon in writing (e.g. e-mail) with supplier. | Adjustments made to Fairtrade Minimum Price AND calculations are transparent and reflect real costs AND calculations are agreed upon in countersigned purchase contract or in purchase order confirmed by supplier. | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|-----------|------------------------------------|----------|---|---|---|---|---|--|------|---------------|
| 5.2.3 | Premium Payer, Price Payer, Trader | 4.2.0.07 | (Payer) (Applicable to conveyors when calculating the differential)(Not applicable to cocoa purchases from Ghana or Ivory Coast) In case the producer is responsible for some additional costs that are NOT included in the Fairtrade Minimum Price (e.g. packing), you take these costs into account when calculating the Fairtrade Minimum Price. Then the applicable Fairtrade Minimum Price is the Fairtrade Minimum Price plus the costs that the producer is responsible for. | Additional costs the producer is responsible for are NOT taken into account when calculating the Fairtrade Minimum Price. | Additional costs the producer is responsible for are taken into account when calculating the Fairtrade Minimum Price BUT calculations are in transparent and do not reflect real costs. | Additional costs the producer is responsible for are taken into account when calculating the Fairtrade Minimum Price AND calculations are transparent and reflect real costs. | Additional costs the producer is responsible for are taken into account when calculating the Fairtrade Minimum Price AND calculations are agreed upon in writing (e.g. e-mail) with supplier. | Additional costs the producer is responsible for are taken into account when calculating the Fairtrade Minimum Price AND calculations are agreed upon in countersigned purchase contract or in purchase order confirmed by supplier. | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|-----------|------------------------------------|----------|---|---|--------|---|--------|--|------|---------------|
| 5.2.4 | Premium Payer, Price Payer, Trader | 4.2.0.08 | (Payer) (Applicable if you buy Fairtrade products from producers for sale within the producer country)(Not applicable cocoa purchases from Ghana or Ivory Coast) You pay at least the Ex Works Fairtrade Minimum Price, if it exists. In case there is only a FOB Fairtrade Minimum Price available, you may deduct reasonable transport and export costs from the price to calculate the Fairtrade Minimum Price at the level at which the producer sells. | Payment below Ex Works Fairtrade Minimum Price OR payment of FOB Fairtrade Minimum Price BUT deductions for transport and export costs are not transparent and do not reflect real costs. | | Payment of Ex Works Fairtrade Minimum Price OR payment of FOB Fairtrade Minimum Price AND deductions for transport and export costs are transparent and reflect real costs. | | Rank 3 and The price given for FT certified product is consistently more than the applicable Fairtrade Prices (applicable or derived). | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|---------------------|------------------------------------|----------|--|---|--------|--|--------|--|------|---------------|
| 5.2.5 & Cocoa 4.2.4 | Premium Payer, Price Payer, Trader | 4.2.0.09 | (Payer) (Applicable if you are buying a processed product from a producer (or exporter) and a Fairtrade Minimum Price only exists for the raw product but not for the processed product) You take into account the producer's (or exporter's) processing costs and processing ratio in the calculation of the price of the processed product. This price covers at least the Fairtrade Minimum Price of all Fairtrade inputs and the processing costs. | Producer's or exporter's processing costs and processing ratio not taken into account AND price does not cover the Fairtrade Minimum Price of all Fairtrade inputs and the processing costs OR the FMP of the processed form not applied (where it exists). | | Producer's or exporter's processing costs and processing ratio taken into account AND price covers the Fairtrade Minimum Price of all Fairtrade inputs and the processing costs OR the correct FMP of the processed form is applied (where it exists). | | Rank 3 and Producer's or exporter's processing costs and processing ratio taken into account AND price covers the Fairtrade Minimum Price of all Fairtrade inputs and the processing costs AND calculations are agreed upon in countersigned purchase contract or in purchase order confirmed by supplier. | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|---|--|----------|--|---|--------|--|--------|--|----------|---------------|
| 5.2.6, Fresh Fruit (SPO) 5.2.5 & (HL) 5.4.5 | Premium Conveyor, Price Conveyor, Trader | 4.2.0.10 | <p>(Conveyor) (Not applicable to cocoa purchases from Ghana or Ivory Coast) You pay the producer the price difference between the Fairtrade Minimum Price and the price at which you initially bought the product from the producer in case the Fairtrade Minimum Price (or the adjusted price if set at a different level) is higher.</p> <p>(Oranges for juice) You pay the producer the differential if there is a difference between the price paid to producers for the oranges for juice based on the preliminary analysis report and the FOB orange juice price received when selling the orange juice.</p> | No payment of price difference in case the Fairtrade Minimum Price is higher. | | Payment of price difference in case the Fairtrade Minimum Price is higher. | | The buyer pays a part of the margin to the producer as part of profit sharing. | 0 | C |
| Gold 4.5.7 | Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader | 4.2.0.11 | (Payer, conveyor) (Gold) you have indicated Fairtrade Premium payments on a separate line on all invoices. | No | | Yes | | | 0 | C |

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| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|------------|------------------------------------|----------|--|--------|--------|--------|--------|--------|------|---------------|
| Nuts 4.3.6 | Premium Payer, Price Payer, Trader | 4.2.0.12 | (Payer) (First buyer in producing country) (Cashew Nuts from Africa) (Applicable if the Fairtrade Minimum Price is higher than the Market Price) You pay the Fairtrade Minimum Price for at least 80% of the nuts purchased. The remaining volume (maximum 20% of the total volume purchased as Fairtrade) may be sourced at market prices. If you sell more than 80% of the nuts (or the kernels derived thereof) as Fairtrade, you pay difference between Minimum and paid market price to the producers on the volume sold on as Fairtrade. | No | | Yes | | | 0 | C |
| Nuts 4.3.6 | Premium Payer, Price Payer, Trader | 4.2.0.13 | (Payer) (Cashew Nuts from Africa) If you import Raw Cashew Nuts you pay the Fairtrade Minimum Price or market price, whichever is higher and the Premium on the whole raw cashew nut quantity purchased. In case corresponding FOB prices for kernel outputs sold as Fairtrade minus transportation and processing costs lead to a figure higher than the paid raw cashew nut Fairtrade Minimum Price, you pay the producers the difference. | No | | Yes | | | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|--|--|----------|---|--|--|---|--------|---|------|---------------|
| Fresh Fruit (SPO) 4.2.3 & Fresh Fruit (HL) 5.4.3 | Premium Conveyor, Price Conveyor, Trader | 4.2.0.14 | (Conveyor) (Oranges for juice) You pay the producer the price for the equivalent quantity of juice that their oranges produce (FCOJ or NFC, depending on what is sold to the importer) according to the yield in the preliminary analysis report. The price paid to the producer for the oranges for juice is calculated using the percentages based on the FMP or market price, whichever is higher, as defined in 5.4.3 of the Fresh fruit (HL) standard and 4.2.3 of the Fresh fruit (SPO) standard. | No payment of price for equivalent quantity of juice according to yield in preliminary analysis report or according to indicated percentage s. | | Payment of price for equivalent quantity of juice according to yield in preliminary analysis report or according to indicated percentage s. | | | 0 | C |
| 5.2.7; 5.1.3 | Premium Payer, Price Payer, Trader | 4.2.0.16 | (Payer) On top of the price for the Fairtrade product, you pay the relevant producer (or the conveyor, or Fairtrade Premium Committee, if applicable) a Fairtrade Premium as defined in the Fairtrade pricing table for the Fairtrade product bought. No discounts are made to the Fairtrade Premium. | No payment of Fairtrade Premium OR payment of Fairtrade Premium to a decertified supplier. | Incomplete payment of Fairtrade Premium. | Complete payment of Fairtrade Premium. | | Complete payment of a higher Fairtrade Premium than defined in pricing table. | 0 | M |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|---|--|----------|---|--|---|---|--------|--|----------|---------------|
| 5.2.8, Fresh Fruit (SPO) 5.2.5 & (HL) 5.4.5 | Premium Conveyor, Price Conveyor, Trader | 4.2.0.17 | (Conveyor) You pay the Fairtrade Premium to the producer (or Fairtrade Premium Committee, if applicable), if the Fairtrade Premium is conveyed via your company. No discounts are made to the Fairtrade Premium payment. | No payment (conveying) of Fairtrade Premium OR application of discounts to Fairtrade Premium payments. | Incomplete payment (conveying) of Fairtrade Premium. | Payment (conveying) of Fairtrade Premium AND no application of discounts to Fairtrade Premium payments. | | Complete payment (conveying) of a higher Fairtrade Premium than defined in pricing table. | 0 | M |
| 5.2.8 | Premium Conveyor, Price Conveyor, Trader | 4.2.0.18 | (Conveyor) In case the Fairtrade Premium you receive from the Fairtrade payer was set for a different product form than the one you are buying, you apply a conversion ratio in order to calculate the premium due to the producer. Calculations made are fair, transparent and shared with the producer. | No application of conversion rate. | Application of conversion rate BUT calculations are not fair, transparent and shared with producer. | Application of conversion rate AND calculations are fair, transparent and shared with producer. | | Application of conversion rate AND calculations are fair, transparent and shared with producer AND calculations are agreed upon in countersigned purchase contract or in purchase order confirmed by supplier. | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|--------------------------------------|------------------------------------|----------|--|--|--------|--|--------|--------|------|---------------|
| 5.2.9 | Premium Payer, Price Payer, Trader | 4.2.0.19 | (Payer) If you are buying a processed product from a producer (or exporter), and the premium is only defined for the raw product, you pay a premium amounting to the sum of premiums of all of the Fairtrade product inputs. | Fairtrade Premium paid does not amount to premiums of all of the Fairtrade inputs. | | Fairtrade Premium paid amounts to total premiums of all of the Fairtrade inputs. | | | 0 | C |
| Nuts 4.3.6 | Premium Payer, Price Payer, Trader | 4.2.0.20 | (Payer) (First buyer in the producing country) (Cashew Nuts from Africa) You pay the Fairtrade Premium for at least 80% of the raw nuts purchased. If you sell more than 80% of the nuts (or the kernels derived thereof) as Fairtrade, you pay the producers the outstanding Fairtrade Premium based on the volume sold on as Fairtrade. | No | | Yes | | | 0 | C |
| Fresh Fruit 4.2.3 (SPO) & 5.4.3 (HL) | Premium Payer, Price Payer, Trader | 4.2.0.21 | (Payer) (Oranges for juice, Orange juice) The Fairtrade Premium defined for orange juice at FOB level applies as the Fairtrade Premium for producer organizations selling oranges for juice. The Fairtrade Premium is paid for orange juice at FOB level to producer organizations based on the total amount of orange juice sold by the processor/exporter. | No | | Yes | | | 0 | C |
| Gold 4.5.2 | Premium Payer, Price Payer, Trader | 4.2.0.22 | (Payer) (Gold) You ensure that the price and Fairtrade premium is paid based on the estimation of purity no later than the next business day after confirmed shipment. The final liquidation is made no later than the next business day after the exact purity is known after refinement. | No | | Yes | | | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|------------|------------------------------------|----------|--|---|---|---|--------|--------|------|---------------|
| Gold 4.5.3 | Premium Payer, Price Payer, Trader | 4.2.0.23 | (Payer) (Silver and platinum) You ensure that the first price down payment of Fairtrade price and/or Premium - based on the least expected fine metal content before assay - is made no later than the next business day after confirmed shipment. The final payment of Fairtrade price and/or Premium is made no later than the next business day after all elements (price, assay results) have been verified by the parties. | No | | Yes | | | 0 | C |
| Gold 4.5.5 | Premium Payer, Price Payer, Trader | 4.2.0.24 | (Payer) (Gold) Fairtrade Premium payments are made in full to the ASMO and not individual miners. | No | | Yes | | | 0 | C |
| Gold 4.5.6 | Premium Payer, Price Payer, Trader | 4.2.0.25 | (Payer) (Gold) Transportation and insurance costs from point of export are paid by the importer (buyer), unless the ASMO (or the miner) and the importer agree on other arrangements that are not detrimental to the ASMO (or the miner). | The buyer does not pay these costs AND there is no agreement with the ASMO. | The buyer does not pay these costs AND the agreement is detrimental for the ASMO. | The buyer pays these costs OR Costs are paid by the ASMO under non-detrimental conditions as per agreement. | | | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|---|--|----------|---|--------|--------|--------|--------|--------|------|---------------|
| Prepared and preserved Fruit and Vegetables (4.3.1) | Premium Payer, Price Payer, Trader | 4.2.0.26 | (Payer) (Only applies to organic and conventional dried apricots and conventional dried wild apricots sourced from Contract Production set-ups) The Price at Ex Works level includes the Certification Costs (GBP 0.01 per kg of conventional produce and GBP 0.03 per kg of organic produce) which are paid to the Promoting Body. The Certification Costs are deducted from the Price paid to the individual producers, i.e. from the Fairtrade Minimum Price or the market Price, whichever is higher. | No | | Yes | | | 0 | C |
| Nuts 4.3.2 | Premium Payer, Price Payer, Trader | 4.2.0.27 | (Payer) (Only applies to almonds, apricot seeds and walnuts sourced from Contract Production set-ups in Pakistan) The price at Ex Works level includes the Certification Costs (GBP 0.01 per kg of conventional produce and GBP 0.03 per kg of organic produce) which are paid to the Promoting Body. The Certification Costs are deducted from the price paid to the individual producers, i.e. from the Fairtrade Minimum Price or the market price, whichever is higher. | No | | Yes | | | 0 | C |
| Cane sugar 4.2.1 | Premium Conveyor, Price Conveyor, Trader | 4.2.0.31 | (Conveyor) (Cane sugar) (Not applicable if prices are defined by national authorities through mechanisms such as revenue sharing systems or if the price is set by the government) You agree the price and payment terms with the producer. | No | | Yes | | | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|--------------------------|--|----------|--|----------------------|--|--|--------|---|------|---------------|
| Cane sugar 4.4.4 | Premium Payer, Price Payer, Trader | 4.2.0.32 | (Payer) (Cane sugar) (Applicable when payment of premium is made to multiple producers supplying the same mill/exporter) You pay the premium according to the information provided by the mill/exporter. | Premium is not paid. | Premium payment is made but not according to the information provided. | Premium payment is made according to the information provided. | | RANK 3 AND the company proactively requests the necessary information if not directly provided by the mill/exporter . | 0 | C |
| Flowers and Plants 5.4.2 | Premium Payer, Price Payer, Trader | 4.2.0.33 | (Payer) Prices for secondary products (products that come out of a production process in addition to the main product) and their derivatives are negotiated and a Fairtrade premium of 15% of the negotiated price is paid in addition. For all orange juice secondary products (e.g., fruit cells), a Fairtrade premium of 10% of the negotiated price is paid in addition. | No | | Yes | | | 0 | M |
| 5.2.1 | Premium Conveyor, Price Conveyor, Trader | 4.2.0.34 | (Conveyor) You pay at least the relevant market price to the producer. | No | | Yes | | | 0 | M |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|-------------------------|--|----------|---|---|--------|---|--------|--------|----------|---------------|
| Cocoa 4.2.2 & 4.2.3 | Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader | 4.2.0.35 | (Payer, conveyor) (Cocoa) (Applicable for purchases from Ghana and Ivory Coast) You pay the producer (or the conveyor, if applicable) the difference between the Fairtrade Minimum Price and the market price reference (for conveyors: received from the payer) in case the market reference price (as per the Cocobod or CCC) is below the Fairtrade Minimum Price. | No payment of price differential in case the Fairtrade Minimum Price is higher OR price differential deducted from Fairtrade Premium. | | Payment of price differential in case the Fairtrade Minimum Price is higher AND no deduction from payment of Fairtrade Premium. | | | 0 | C |
| Fresh Fruit (SPO) 4.2.6 | Premium Conveyor, Price Conveyor, Trader | 4.2.0.36 | (Conveyor) (Oranges for juice) You do not make any deductions from the indicated percentage of the FOB price, whether applied to the Fairtrade Minimum Price (FMP) or the market price. | Payment of price with deductions. | | Payment of the correct price without deductions. | | | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
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| Cocoa 4.2.4 | Premium Payer, Price Payer, Trader | 4.2.0.37 | (Cocoa) (Payer) (Applicable only if you are buying semi-processed cocoa products from producer organizations) You negotiate the price of the semi-processed products with the producer. For conventional cocoa liquor/mass the negotiated price is based on, at least, the Fairtrade Minimum Price set at producer level plus all relevant processing costs and exporting costs if applicable. For cocoa butter and cocoa powder, the negotiated price is based on the relevant market price. | Negotiated price for conventional cocoa liquor/mass is below the Fairtrade Minimum Price set at producers' level plus all relevant processing and exporting costs (using average processing yield calculated by the producer) OR negotiated price for cocoa butter and/or cocoa powder below relevant | | Negotiated price for conventional cocoa liquor/mass is at least the Fairtrade Minimum Price set at producers' level plus all relevant processing and exporting costs (using average processing yield calculated by the producer) OR negotiated price for cocoa butter and/or cocoa powder is at or above the | | | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
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| | | | | market price. | | relevant market price. | | | | |
| Cocoa 4.2.5 | Premium Payer, Price Payer, Trader | 4.2.0.38 | (Cocoa) (Payer) (Applicable only if you are buying semi-processed cocoa products from producer organizations) You pay the Fairtrade Premium to the producer. | Fairtrade Premium paid is below values set by Fairtrade International | | Fairtrade Premium paid is at values set by Fairtrade International | | | 0 | C |
| FLOCERT Requirement | Price Conveyor, Price Payer, Trader | 4.2.0.39 | (Payer, conveyor) (Seed Cotton) (India) (Applicable if you are participating in the pilot on Fairtrade organic cotton) You pay the organic differential of 0.03 EUR/kg (2.50 INR/kg of seed cotton) and you specify the organic differential in the purchase contract with your supplier. | No | | Yes | | | 0 | C |
| Coffee 2.2.6 | Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader | 4.2.0.40 | (Payer, conveyor) (Coffee) (Applicable only if not buying green exportable coffee at FOB level) You do not make any discount from the Fairtrade Premium. If deducting costs from the Fairtrade Minimum Price, you only consider the items included in the generic guidance document issued by Fairtrade International. | Deduction of costs which are not included in the Fairtrade Minimum Price AND/OR discounts made on the Fairtrade Premium | | Deduction of costs which are included in the Fairtrade Minimum Price AND no discounts made on the Fairtrade Premium | | | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type | |
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| Coffee 4.1.1 | Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader | 4.2.0.41 | <p>(Payer, conveyor) (Coffee) When market prices for coffee are higher than the Fairtrade Minimum Price, traders and producers are to agree coffee prices using the Fairtrade reference for market prices as follows: NYC (Arabica) or London RC (Robusta) plus prevailing differential. The reference market price can never be below the Fairtrade Minimum Price. The 'prevailing differential' refers to the average differential or range valid in the mainstream market for conventional coffee of that country and grade at that moment. Producers and buyers agree upon a differential, using the differential that prevails in the mainstream market for non-Fairtrade coffee, as a baseline, and taking into account actual quality, shipment date, logistics, risk, and availability. A negative differential cannot be applied to the Fairtrade Minimum Price.</p> <p>The organic differential and Fairtrade Premium can never be below the levels defined in the Fairtrade Minimum Price and Premium table. The Fairtrade Premium and organic differential (in the case of organic coffee) are to be added in the contracts, clearly separated from the prevailing differential regardless of its value, and are not subject to negotiations.</p> <p>The Fairtrade coffee price is as follows: Reference market price (NYC (Arabic) or London RC (Robusta) plus prevailing</p> | Use of other references than NYC (Arabica) or London RC (Robusta) AND/OR agreement on prices below the Fairtrade minimum price AND/OR the values of Fairtrade premium, organic differential (if applicable) and prevailing differential are not separated. | | Use of correct references for market prices AND no agreements on prices below the Fairtrade minimum price AND clear separation of Fairtrade premium, organic differential (if applicable) and prevailing differential values. | | | | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
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| | | | differential) or Fairtrade minimum price (whichever is higher) plus Fairtrade organic differential (in case of organic coffee) plus Fairtrade premium. | | | | | | | |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|----------------------------|-----------------|----------|---|--|---|--|--------|--------|------|---------------|
| 5.2.1 & 5.2.2, Cocoa 5.2.1 | Trader | 4.2.0.42 | (Digital audit) (Payer, conveyor) You pay at least the Fairtrade Minimum Price to the producer (or the conveyor) (as defined in the Fairtrade pricing database or the relevant product Standard). | Non-conformity: The price reported in Fairtrace is below the Fairtrade minimum price | Observation : The price reported in Fairtrace is below the Fairtrade minimum price, but the price is reported in another currency than the price in the Fairtrade pricing database so it is possible that the deviation is due to missing information on the correct exchange rate. However, this could not be fully verified | The price reported in Fairtrace is at least as the level of the Fairtrade minimum price. | | | 0 | M |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
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| | | | | | during the digital audit. | | | | | |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
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| 5.2.1 & 5.2.2, Cocoa 4.2.1 | Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader | 4.2.0.43 | (Digital audit) (Payer, conveyor) For products that do not have a defined Fairtrade Minimum Price, the market price has been paid. | | Observation : It is possible that the commercial price was not paid, as the price reported in Fairtrace significantly deviates (more than 30 percent) from the average commercial price reported in Fairtrace for this product type. The company must ensure it is paying the applicable market price to avoid non-conformities. | The price reported in Fairtrace does not deviate significantly from the average commercial price. | | | 0 | M |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
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| 5.2.7; 5.1.3 | Premium Payer, Price Payer, Trader | 4.2.0.44 | (Digital audit) (Payer) On top of the price for the Fairtrade product, you pay the relevant producer (or the conveyor, or Fairtrade Premium Committee, if applicable) a Fairtrade Premium as defined in the Fairtrade pricing table for the Fairtrade product bought. No discounts are made to the Fairtrade Premium | Non-conformity: The reported Fairtrade premium is not correct. | Observation : The premium reported in Fairtrace is below the Fairtrade premium, but the premium is reported in another currency than the premium in the Fairtrade price database, so it is possible that the deviation is due to missing information on the correct exchange rate. However, this could | The reported Fairtrade premium is correct. | | | 0 | M |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
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| | | | | | not be fully verified during the digital audit. | | | | | |
| 5.2.8, Fresh Fruit (SPO) 5.2.5 & (HL) 5.4.5 | Premium Conveyor, Price Conveyor, Trader | 4.2.0.45 | (Digital audit) (Conveyor) You pay the Fairtrade Premium to the producer (or Fairtrade Premium Committee, if applicable), if the Fairtrade Premium is conveyed via your company. No discounts are made to the Fairtrade Premium payment. | Non-conformity: No conveying of Fairtrade Premium. | Observation : Less premium has been conveyed to the producer than what has been received from payers. The difference is more than 10 percent. | Payment (conveying) of Fairtrade Premium AND no application of discounts to Fairtrade Premium payments. | | | 0 | M |
| Flowers and Plants 5.4.1 | Premium Payer, Price Payer, Trader | 4.2.0.46 | (Flowers and Plants) (Payer) You negotiate with the producer the price to be paid. | Price to be paid not negotiated, i.e., set unilaterally | | Price to be paid negotiated between both parties | | | 0 | C |
| Cocoa 4.2.6 | Premium Payer, Price Payer, Trader | 4.2.0.47 | (Cocoa) (Payer) (Applicable only if you are buying organic semi-processed cocoa products from producer organizations) You pay the Fairtrade organic differential to the producer. | Organic differential paid is below values set by Fairtrade International | | Organic differential paid is at values set by Fairtrade International | | | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
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| | | 4.3 | Timely Payment | | | | | | | |
| Cane Sugar 4.3.2, 4.1.2; Cocoa 4.3.1; Coffee 4.2.1 & 4.2.2; Honey 4.1.1 | Premium Payer, Price Payer, Trader | 4.3.0.02 | <p>(Payer) You pay the producer (or the conveyor, if applicable) the price, the Fairtrade Premium, the organic differential (if applicable) and the price differential (if applicable) for the Fairtrade products in a timely manner but no later than</p> <ul style="list-style-type: none"> • (cane sugar, honey) 30 calendar days after the receipt of the documents transferring ownership • (retro-certified sugar) (Fairtrade Premium) 30 calendar days after Fairtrade payer has informed the producer(s) of the retro-certification details unless quarterly payment is agreed with the producer • (cocoa, coffee) 15 calendar days after the receipt of the documents transferring ownership. | No payment within stipulated timeframes. | Payment within stipulated timeframes BUT with more than 10% cases, which deviate from standards OR there are payments, which have been delayed for more than twice the time limit set in the standards. | Payment within stipulated timeframes BUT with less than 10% cases, with deviations and there is no payment which is delayed for more than twice the time limit set in the standards. | Payment within stipulated timeframes AND for all purchase transaction s. | Payment made immediately upon receipt of Fairtrade product or receipt of documents transferring ownership, whichever comes first AND for all purchase transaction s. | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|--|------------------------------------|----------|---|--|---|--|---|---|------|---------------|
| Tea (SPO) 4.2.1 & 4.2.3, Tea (HL) 5.3.2 & 5.3.3 | Premium Payer, Price Payer, Trader | 4.3.0.03 | (Payer) (Tea) You pay the producer (or the conveyor, if applicable) no later than: - 30 calendar days after receipt of standard industry documents transferring ownership. You present the documents that are stipulated in the contract and customary in the tea trade. - (Fairtrade Premium) if agreed with the producer, by the end of the following month after receipt of the invoice or confirmation of Retro Advice Note | No payment within stipulated timeframes. | Payment within stipulated timeframes BUT with more than 10% cases, which deviate from standards OR there are payments, which have been delayed for more than twice the time limit set in the standards. | Payment within stipulated timeframes BUT with less than 10% cases, with deviations and there is no payment which is delayed for more than twice the time limit set in the standards. | Payment within stipulated timeframes AND for all purchase transactions. | Payment made immediately upon receipt of Fairtrade product or receipt of documents transferring ownership, whichever comes first AND for all purchase transactions. | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|---|------------------------------------|----------|--|--|---|--|---|---|----------|---------------|
| Fresh Veg (HL) 5.5.1; Fresh Veg (SPO) 4.3.2-4.3.4; Prep./Pres. Fruit/Vegetables 4.3.4-4.3.7 (SPO), 5.5.2-5.5.3 (HL) | Premium Payer, Price Payer, Trader | 4.3.0.04 | <p>(Payer) You pay the producer (or the conveyor, if applicable) the price and/or the Fairtrade Premium for the Fairtrade products in a timely manner but no later than</p> <ul style="list-style-type: none"> -- (vegetables (SPO) at ExWorks excl. pulses) 7 calendar days after delivery of the product -- (vegetables (HL) at ExWorks) Upon receipt of products -- (Pulses) Upon receipt of the product -- (vegetables at FOB) 7 calendar days after inspection in port of destination if accepted by the importer OR 15 calendar days after the receipt of the documents transferring ownership as defined in the contract -- (dried vegetables) 7 calendar days if accepted by the importer after inspection in port of destination | No payment within stipulated timeframes. | Payment within stipulated timeframes BUT with more than 10% cases, which deviate from standards OR there are payments, which have been delayed for more than twice the time limit set in the standards. | Payment within stipulated timeframes BUT with less than 10% cases, with deviations and there is no payment which is delayed for more than twice the time limit set in the standards. | Payment within stipulated timeframes AND for all purchase transactions. | Payment made immediately upon receipt of Fairtrade product or receipt of documents transferring ownership, whichever comes first AND for all purchase transactions. | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|--|------------------------------------|----------|--|--|---|--|---|---|----------|---------------|
| Fresh Fruit(SPO) 4.3.1, 4.3.2; 4.3.4, 4.3.5, 4.3.6 & Fresh Fruit (HL) 5.4.8, 5.4.9, 5.4.11, 5.4.12 Prepared & Preserved Fruits & Vegetables (SPO) 4.3.6 | Premium Payer, Price Payer, Trader | 4.3.0.05 | <p>(Payer) You pay the producer (or the conveyor, if applicable) the price and/or the Fairtrade Premium for the Fairtrade products in a timely manner but no later than</p> <ul style="list-style-type: none"> -- (fresh fruit FOB excl. wine grapes) 15 calendar days after the release of the shipment in the port of destination. -- (fresh fruit at EXW level excl. wine grapes and fresh oranges for juice) 15 calendar days after delivery of the product unless national legislation requires shorter payment terms -- (wine grapes) (price) within 6 months after purchase of processed or unprocessed wine grapes from producers in a frequency that follows the industry norm -- (wine grapes) (Fairtrade Premium) within 60 calendar days after purchase of unprocessed or processed wine grapes from producers -- (dried fruit) 7 days if accepted by the importer after inspection in port of destination -- (fruit juice/pulp/puree at FOB) 30 calendar days after the receipt of the documents transferring ownership -- (fruit juice/pulp/puree at EXW/Farm gate): payment must be made upon receipt of the product | No payment within stipulated timeframes. | Payment within stipulated timeframes BUT with more than 10% cases, which deviate from standards OR there are payments, which have been delayed for more than twice the time limit set in the standards. | Payment within stipulated timeframes BUT with less than 10% cases, with deviations and there is no payment which is delayed for more than twice the time limit set in the standards. | Payment within stipulated timeframes AND for all purchase transactions. | Payment made immediately upon receipt of Fairtrade product or receipt of documents transferring ownership, whichever comes first AND for all purchase transactions. | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
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| Sports balls 5.5.6; Herbs & Spices 5.5.6, 5.5.7 (HL), 4.3.6-4.3.7 (SPO); Flowers and Plants 5.4.3 & 5.4.4; Cereals 4.2.1 and 4.2.2; Fiber Crops 4.3.3; Nuts 4.3.4-4.3.5 | Premium Payer, Price Payer, Trader | 4.3.0.06 | <p>(Payer) You pay the producer (or the conveyor, if applicable) the price and/or the Fairtrade Premium for the Fairtrade products in a timely manner but no later than</p> <ul style="list-style-type: none"> (ExWorks: paddy rice, seed cotton, herbs, herbal teas and spices, nuts, oilseeds and oleaginous) Upon receipt of products. (FOB: nuts, oilseeds and oleaginous fruit, herbs, herbal teas and spices) 15 calendar days after the receipt of the documents transferring ownership. (Sport-balls, Quinoa) 30 calendar days after the receipt of the documents transferring ownership. (Raw Cashew Nuts from Africa) 30 calendar days upon receipt of products. (Flowers and plants) 30 calendar days after the receipt of the documents transferring ownership. Upon producer agreement, payments of one month can be paid in one sum but no later than 30 calendar days. The Fairtrade Premium monies can be paid less frequently in case of low sales and if requested by the FPC (for example to avoid high bank charges). | No payment within stipulated timeframes. | Payment within stipulated timeframes BUT with more than 10% cases, which deviate from standards OR there are payments, which have been delayed for more than twice the time limit set in the standards. | Payment within stipulated timeframes BUT with less than 10% cases, with deviations and there is no payment which is delayed for more than twice the time limit set in the standards. | Payment within stipulated timeframes AND for all purchase transactions. | Payment made immediately upon receipt of Fairtrade product or receipt of documents transferring ownership, whichever comes first AND for all purchase transactions. | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
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| 5.3.2, Tea (SPO) 5.2.2 & Tea (HL) 5.3.2 | Premium Conveyor, Price Conveyor, Trader | 4.3.0.07 | (Conveyor) (Not applicable to oranges for juice and cocoa) You pay the price differential (if applicable) and/or the Fairtrade Premium to the producer no later than 15 calendar days after receipt of payment from the Fairtrade payer. A different timeframe can be agreed in writing between you and the producer, in which case the payment is made by no later than 30 calendar days after the end of each quarter. | Payment (conveying) later than 15 calendar days after receipt of payment from Fairtrade payer OR payment (conveying) later than 30 calendar days after the end of each quarter OR no agreement on quarterly payments with producer in place. | Payment (conveying) within stipulated timeframes BUT with many exceptions. | Payment (conveying) within 15 calendar days after receipt of payment from Fairtrade payer OR payment no later than 30 calendar days after the end of each quarter AND the different timeframe was agreed in writing with the producer BUT with some small exceptions. | Payment (conveying) within stipulated timeframes AND for all purchase transactions. | Payment (conveying) immediately upon receipt of payment from Fairtrade payer OR within timeframe agreed in writing with producer organization AND payments are done more often than four times per year. | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|---|--|----------|---|--------|--------|--------|--------|--------|------|---------------|
| Fresh Fruit (HL) 5.4.13 & Fresh Fruit (SPO) 4.3.6 | Premium Conveyor, Price Conveyor, Trader | 4.3.0.09 | (Conveyor) (Oranges for juice) You pay at least the first payment based on the percentages indicated in 5.4.3 of the Fresh fruit (HL) standard and 4.2.3 of the Fresh fruit (SPO) standard to the producer no later than 30 calendar days upon receipt of products. You convey the Premium and price differential (see CC 4.2.0.10) to the producer no later than 15 calendar days after receipt of payment from the Fairtrade payer. | No | | Yes | | | 0 | C |
| Coffee 4.2.3 | Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader | 4.3.0.10 | (Payer, Conveyor) (Coffee) (Only applicable if you delay the payment date set in the Fairtrade Standard) You have notified the Fairtrade seller immediately, latest 1 week before the payment date, of a potential delay of payment of the Fairtrade price as set in the contract. | No | | Yes | | | 0 | C |
| Gold 4.5.2 | Premium Conveyor, Price Conveyor, Trader | 4.3.0.12 | (Conveyor) (Gold) You ensure that payment of Fairtrade price and/or Premium to the miners is made no later than 7 calendar days after receipt of payment from the Fairtrade payer. | No | | Yes | | | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|--------------|--|----------|--|--|--------|--|--------|--|------|---------------|
| Coffee 2.2.2 | Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader | 4.3.0.19 | (Payer, Conveyor) (Coffee) (Applicable for price-to-be-fixed contracts) If the producer organization wishes to fix the price before the harvest starts, the fixation was made with the agreement of the buyer. Both the producer organization and the buyer have jointly agreed upon a risk management strategy. The mutual agreement and the details of the risk management strategy were confirmed in writing. | No agreement of the buyer AND no jointly agreed risk management strategy in place for price to be fixed contracts fixed by the producer organization before the harvest has started. | | Written agreement of the buyer AND jointly agreed risk management strategy in place for price to be fixed contracts fixed by the producer organization before the harvest has started. | | | 0 | C |
| Coffee 2.2.2 | Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader | 4.3.0.20 | (Payer, Conveyor) (Coffee) In the case of fixed-price contracts, prices have not been fixed for a period longer than one crop period. | Prices fixed for a period longer than one crop. | | Prices fixed for one crop only. | | Prices fixed for one purchase contract only. | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|--------------|--|----------|--|---|--------|---|--------|--------|------|---------------|
| Coffee 4.1.4 | Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader | 4.3.0.21 | (Payer, Conveyor) (Coffee) The Fairtrade Premium has been paid in addition to the price of the product ("C" Price plus or minus the prevailing differential, or the Fairtrade Minimum Price, whichever is higher). The Fairtrade Premium amount has not been incorporated into the agreed upon differential. | The Fairtrade Premium has not been paid in addition to the price of the product AND/OR has been incorporated into the agreed upon differential. | | The Fairtrade Premium is clearly separated from the price of the product and has been paid additionally to the price. | | | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|-----------------------|--|----------|---|---|--|--|--------|--------|------|---------------|
| Tea (HL) 5.3.4 | Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader | 4.3.0.22 | (Payer, Conveyor) (Tea) (Only applicable for conventional and organic teas from the Camellia plant made using the CTC production method and to dust and fannings made using the orthodox production method, and the instant tea derived from these teas, sourced from Hired Labour set ups) If the sustainability margin is invoiced separately by the producer, you pay the Fairtrade Premium as invoiced (80 % to the Fairtrade Premium Committee and 20 % for the tea estate as sustainability margin). You have clearly documented the split. | No split of payment takes place despite split invoice from the producer. | 80/20 split of the Fairtrade Premium takes place in some occasions AND is not properly documented. | 80/20 split of the Fairtrade Premium takes place and is well documented. | | | 0 | C |
| Sports Balls 5.5.3 | Premium Payer, Price Payer, Trader | 4.3.0.23 | (Payer) (Sports Balls) You have paid a surcharge of up to 5% of the negotiated price as Compliance Costs Compensation if invoiced by the producer. | No payment of surcharge although invoiced as Compliance Costs Compensation by producer. | | Payment of surcharge of up to 5% of the negotiated price as Compliance Costs Compensation. | | | 0 | C |
| Cane sugar 4.3.2 | Premium Conveyor, Price Conveyor, Trader | 4.3.0.24 | (Conveyor) (Cane sugar) You transfer premium payments in an aggregated manner if requested and agreed by the producers, in which case this payment is made no later than 30 calendar days after the end of each quarter. | No | | Yes | | | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|--|--|----------|---|---|--|--|--------|--|------|---------------|
| Flowers and Plants 5.4.4 | Premium Conveyor, Price Conveyor, Trader | 4.3.0.25 | (Flowers and plants) (Conveyor) You pay the difference between the Fairtrade Minimum Price and the price at which you initially bought the product from the producer in case the Fairtrade Minimum Price is higher and/or the Fairtrade Premium to the producer no later than 30 calendar days after receipt of payment from the Fairtrade payer. | No payment of price difference in case the Fairtrade Minimum Price is higher OR of premium. | Only premium is paid but not the price difference. | Payment of price difference in case the Fairtrade Minimum Price is higher AND premium within timeline. | | The buyer pays a part of the margin to the producer as part of profit sharing. | 0 | C |
| Fresh fruit 4.3.3 (SPO); Fresh fruit 5.4.10 (HL) | Premium Payer, Price Payer, Trader | 4.3.0.26 | (Payer) (Fresh fruits) If producers agree, you make payments for a calendar month at the latest 15 calendar days after the end of the respective month. | No written agreement with the producer in place. | Written agreement with the producer in place but payments of price and premium made later than 15 calendar days after the end of the respective month. | Written agreement with the producer and payment of price and premium made not later than 15 calendar days after the end of the respective month. | | | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|--------------|--|----------|--|--|--|--|--|---|------|---------------|
| Cocoa 4.3.2 | Premium Conveyor, Price Conveyor, Trader | 4.3.0.27 | (Cocoa) (Conveyor) You pay the price differential, the Fairtrade Premium and the organic differential (if applicable) to the producer no later than 15 calendar days after receipt of the payment from the Fairtrade payer. | Payment (conveying) later than 15 calendar days after receipt of payment from Fairtrade payer. | Payment (conveying) within stipulated timeframes BUT with many exceptions. | Payment (conveying) within 15 calendar days after receipt of payment from Fairtrade payer. | Payment (conveying) within stipulated timeframes AND for all transactions. | Payment (conveying) immediately upon receipt of payment from Fairtrade payer. | 0 | C |
| Coffee 4.2.4 | Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader | 4.3.0.28 | (Payer, Conveyor) (Coffee) You do not demand payment terms to suppliers that result in additional financing costs being passed on to producer organizations and negatively impact the Fairtrade Minimum Price and Fairtrade Premium. | Payment timelines of more than 60 days demanded | | Payment timelines of up to 60 days demanded | | | 0 | C |
| | | 4.4 | Access to Finance | | | | | | | |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
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| 5.4.1, Cane sugar 4.6.1, Sports balls 5.4, Fresh fruit (SPO) 4.4.1, Fresh fruit (HL) 5.3.1 & 5.3.2, Fresh vegetables (HL) 5.3, Flowers and plants 5.3, Tea (HL) 5.2.1. | Trader | 4.4.0.01 | (First buyer) (Not applicable to cane sugar, sports balls, fresh fruit, excluding wine grapes, fresh vegetables (from HL), flowers and plants, tea (from HL)) You offer pre-finance of the payment of the Fairtrade contracts, or facilitate that this is done via a third party, to enable producer organizations to purchase the products from their members, unless <ul style="list-style-type: none"> - there is a proven high risk (e.g. risk of default of the contract, of non-repayment or of important quality problems); - the producer declines this pre-finance in a verifiable way; or - this is not legally allowed in the country you are operating in. | No pre-finance offered or facilitated via a third party. | Pre-finance offered or facilitated via a third party BUT amount of pre-finance provided lower than requested by producer organization . | Pre-finance offered or facilitated via a third party AND amount of pre-finance is at least 60% or as according to provisions of applicable Fairtrade standard OR existence of a proven high risk OR producer declines prefinance OR pre-finance legally not allowed. | Pre-finance pro-actively offered or facilitated via a third party for all Fairtrade purchases AND amount of pre-finance according to provisions of applicable Fairtrade product standard. | Pre-finance pro-actively offered or facilitated via a third party for each Fairtrade purchase AND amount of pre-finance equals whole contract value (i.e. 100%). | 0 | M |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|------------------------|-----------------|----------|--|--|--|---|--------|--|------|---------------|
| 5.4.1 | Trader | 4.4.0.02 | (First buyer) (Not applicable to cane sugar, sports balls, fresh fruit, excluding wine grapes, fresh vegetables (from Hired Labour companies), flowers and plants, tea (from Hired Labour companies)) You do not put pressure on the producer to decline your offer of pre-finance, for instance, you do not make it a condition for signing a contract that the producer declines the pre-finance offer. | Evidence exists that producer has been pressured to decline offer for pre-finance. | | No evidence exists that producer has been pressured to decline offer for pre-finance. | | | 0 | M |
| 5.4.2, Coffee 5.3.1 | Trader | 4.4.0.03 | (First buyer) (Not applicable to cane sugar, sports balls, fresh fruit, excluding wine grapes, fresh vegetables (from Hired Labour companies), flowers and plants, tea (from Hired Labour companies)) If you provide pre-finance directly, you and the producer agree in writing on the following: <ul style="list-style-type: none"> • Amount of the pre-finance, in line with the product standards (in general a minimum of 60% of the contract value; for fresh vegetables incl. roots and tubers from SPO a minimum of 40% of the contract value; no minimum percentage required for cocoa) • Duration of the pre-finance, in line with the product standards • Payment terms • Interest charges, if any • Other charges, if applicable • Consequences in case of quality problems and/or non-delivery of product | No written pre-finance agreement existing. | Written pre-finance agreement existing BUT incomplete. | Written and complete pre-finance agreement existing. | | Written and complete pre-finance agreement existing AND conditions more beneficial than those from local money lenders OR pre-finance provided in line with the best practice guidance given in product Standards. | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
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| 5.4.2, Coffee 5.3.1 and Product standard clauses on Prefinance | Trader | 4.4.0.04 | (First buyer) (Not applicable to cane sugar, sports balls, fresh fruit, excluding wine grapes, fresh vegetables (from Hired Labour companies), flowers and plants, tea (from Hired Labour companies)) Pre-financing is made effectively available for use and is delivered to the producer as soon as possible upon signing the contract but at latest: - (for coffee) twelve weeks prior to the shipment of the product - (for pulses, honey, cocoa, nuts, cereal, prepared fruit and vegetables, fibre crop, herbs, herbal teas and spices, oilseeds and oleaginous fruit, cane sugar, pulses and tea, wine grapes) six weeks prior to the shipment of the product - (for dried fruit open contracts) two weeks before the start of the quarter or month | Pre-finance provided later than within stipulated timelines. | | Pre-finance provided within stipulated timelines. | | Pre-finance provided immediately upon signing the contract (i.e. earlier than stipulated timelines) OR in line with the best practice guidance given in product Standards. | 0 | C |

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| 5.4.3 | Trader | 4.4.0.05 | (First buyer) (Not applicable to cane sugar, sports balls, fresh fruit, excluding wine grapes, fresh vegetables (from Hired Labour companies), flowers and plants, tea (from Hired Labour companies)) If you facilitate pre-finance via a third party lender, you take all actions that are needed to achieve effective facilitation, such as: - act as a reference to the lender on behalf of the producer; - confirm that the Fairtrade contract is valid and can be used as collateral for providing pre-finance to the producer; and - agree with the producer on how payment of the contract will be made (either to the producer or the third party lender). | No actions taken to achieve effective facilitation. | Actions taken to achieve effective facilitation are inadequate. | All actions taken to achieve effective facilitation. | All actions taken to achieve effective facilitation AND written tripartite agreement with producer organization and third party lender existing. | All actions taken to achieve effective facilitation AND written tripartite agreement with producer organization and third party lender existing AND agreement countersigned by all involved parties. | 0 | C |
| Gold 2.3.12 | Trader | 4.4.0.06 | (First buyer) (Gold) (Applicable for new trading relationships) If a trial period for pre-finance is agreed between buyers and the ASMO, the trial period does not exceed two consignments. | No | | Yes | | | 0 | C |
| Gold 4.4.3 | Trader | 4.4.0.07 | (First buyer) (Gold) (Applicable in case of a filed "failed delivery report" or "non-conformity" filed by a buyer). If a new trial period for pre-finance is agreed between the buyer and the ASMO, the trial period does not exceed four consignments. | No | | Yes | | | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
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| Gold 4.4.2 | Trader | 4.4.0.08 | (First buyer) (Gold) (Applicable after a successful trial period) On request of the ASMO, you provide at least 40 percent of the value of each consignment as pre-finance to the ASMO; unless the requested amount is lower. | No | | Yes | | | 0 | C |
| 5.4.4 | Trader | 4.4.0.09 | (First buyer) (Not applicable to cane sugar, sports balls, vegetables incl. roots and tubers, but excluding pulses, fresh fruit, excluding wine grapes, fresh vegetables (HL), flowers and plants) You provide or facilitate pre-finance at zero interest rate. | The pre-finance was given at market rates or above. | The pre finance was given below market rates, but above zero. | Prefinance was given at zero interest rate. | | | 0 | V |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
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| 5.4.5 | Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader | 4.4.0.10 | <p>You provide or facilitate access, directly or through a third party, to credit (seasonal, harvest or in-kind or other types that are not the pre-finance of contracts) or loans for investment in order to respond to the financial needs of the producer, under the following conditions:</p> <ul style="list-style-type: none"> -The financial need is defined by the producer. -You agree with the producer and document transparently the terms and conditions of the credit or loan (including the amount, duration, repayment instalments and interest charges). | No provision or facilitation of access to credit or loans for investment. | Provision or facilitation of access to credit or loans for investment BUT terms and conditions intransparent or unclear. | Provision or facilitation of access to credit or loans for investment AND terms and conditions transparent and clear and agreed upon in writing. | | Provision or facilitation of access to credit or loans for investment AND terms and conditions transparent and clear and agreed upon in writing AND terms and conditions more beneficial than the ones of local lenders. | 0 | V |
| Tea (HL) 5.2.1 | Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader | 4.4.0.11 | (First buyer) (Tea from Hired Labour) Pre-finance is not required. If you provide pre-finance, you negotiate terms and conditions with the producer and include pre-finance mechanisms in the contract. If pre-finance is provided the negotiated terms and conditions are included in the contract (see requirement 4.1.2 Trader Standard). | In case pre-finance is provided: No agreement on terms and conditions in the contract | | In case pre-finance is provided: Agreement on terms and conditions in the contract | | | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
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| | | 4.5 | Sourcing and Market Information for Planning | | | | | | | |
| 5.5.1 | Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader | 4.5.0.01 | (Payer, Conveyor) (Not applicable to Fresh fruit except Wine grapes) You provide a sourcing plan to each producer or conveyor that you plan to buy from. | No sourcing plan provided. | Sourcing plan provided BUT only to some supplying producer organization s OR only done orally. | Written sourcing plan provided to all supplying producer organization s. | Written sourcing plan provided to all supplying producer organization s AND including a binding commitment to at least purchase 50% of the volumes indicated in the sourcing plan. | Written sourcing plan provided to all supplying producer organization s AND including a binding commitment to at least purchase 75% of the volumes indicated in the sourcing plan. | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
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| Product Standards on Sourcing Plans (Cereals 4.5.1, Honey 4.3.1, Prepared and preserved fruit and vegetables (SPO) 4.1.1, Prepared and preserved fruit (HL) 5.2.3) | Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader | 4.5.0.02 | (Applies to payers and conveyor for Fruit Juices, Honey, Cereals, Wine grapes) There is a sourcing plan in place to cover at least a period of 12 months. | Sourcing plan covers a period of less than 12 months. | | Sourcing plan covers a period of 12 months. | | Sourcing plan covers a period greater than 12 months. | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|--|--|----------|--|--|--------|---|--|---|------|---------------|
| Product Standards on Sourcing Plans (Cereals 4.5.1, Coffee 4.4.1., Fibre crops 4.1.1, Herbs, herbal teas and spices (SPO) 4.1.1, Herbs and herbal teas (HL) 5.2.1, Honey 4.3.1, Nuts 4.1.1, Oilseeds and oleaginous fruit 4.1.1, Prepared and preserved fruit an | Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader | 4.5.0.03 | (Applies to payers and conveyors for Dried fruit, Dried Vegetables, Fruit Juices, Herbs, herbal teas & spices, Honey, Nuts, Oilseeds & Oleaginous Fruit, Cereals, Fibre crops, Pulses, Wine grapes, Prepared & preserved fruits & vegetables (dried bananas) as well as Coffee first buyers) Sourcing plans are renewed at least three months before the previous sourcing plan expires. | Sourcing plan renewed later than three months before the previous sourcing plans expire. | | Sourcing plan renewed at the latest three months before the previous sourcing plans expire. | Sourcing plan renewed earlier than three months before the previous sourcing plans expire. | Sourcing plan renewed at regular intervals throughout the year or harvest season (rolling forecasting). | 0 | C |
| Tea (SPO) 4.4.1 & Tea (HL) 5.1.1 | Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader | 4.5.0.04 | (Payer, Conveyor) (Tea) You provide sourcing plans for at least a quarterly period. | Sourcing plan covers a period of less than a quarterly period. | | Sourcing plan covers a quarterly period. | | Sourcing plan covers a period greater than quarterly. | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|--|--|----------|--|---|--------|--|--------|--|------|---------------|
| Fresh Fruit 4.1.1, Veg SPO 4.1.1; Veg HL 5.1.1 | Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader | 4.5.0.05 | (Payer, Conveyor) (Vegetables) You provide a sourcing plan on either a seasonal (for seasonal fruit) or quarterly (for year round crops) basis. | No | | Yes | | | 0 | C |
| Fresh Fruit, Veg SPO 4.1.1; Veg HL 5.1.1, Tea (SPO) 4.4.1 & Tea (HL) 5.1.1 | Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader | 4.5.0.06 | (Payer, Conveyor) (Tea, Fresh vegetables from SPOs, incl. roots and tubers, Fresh vegetables from Hired Labour) You ensure that sourcing plans are renewed at least 2 weeks before the previous sourcing plan expires. | Sourcing plan renewed later than two weeks before the previous sourcing plans expire. | | Sourcing plan renewed at the latest two weeks before the previous sourcing plans expire. | | Sourcing plan renewed at regular intervals throughout the quarter (rolling forecasting). | 0 | C |
| Prepared and Preserved Fruit & Vegetables (SPO) 4.1.1 & Prepared and preserved fruit & vegetables (HL) 5.2.2 | Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader | 4.5.0.07 | (Payer, Conveyor) (Dried fruit, Dried vegetables, Dried bananas) Sourcing plans cover a period agreed by both parties. | Sourcing plan does not cover a period agreed by both parties. | | Sourcing plan covers a period agreed by both parties. | | | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
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| Flowers and Plants 5.2.1 | Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader | 4.5.0.08 | (Payer, Conveyor) (Flowers and Plants) There is a sourcing plan in place that clearly states : <ul style="list-style-type: none"> estimated volumes quality price transparent payment terms terms of delivery using international commercial terms and agreement on applicable jurisdiction | No written sourcing plans in place. | | Sourcing plans include all the points listed in the criterion | | | 0 | C |
| Flowers and Plants 5.1.1 | Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader | 4.5.0.09 | (Flowers and Plants) (Does not apply to regional auctions) You buy at least 60 % of the annual Fairtrade volume from producers which you identify as your "core producers". The sourcing plan covers a 12-month period (when there is a year-round supply) and describes a maximum additional volume on top of the total that can be retro-certified. | Less than 60% of Fairtrade volume come from the core producers | | More than 60% of Fairtrade volume comes from core producers AND the sourcing plan covers a 12-month period. | RANK 3 AND the sourcing plans are renewed before they expire. | | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|----------------------------------|-----------------|----------|--|--------|--------|--------|--------|--------|------|---------------|
| Tea (SPO) 4.4.1 & Tea (HL) 5.1.1 | Trader | 4.5.0.13 | <p>(Payer, Conveyor) (Tea) (Applicable if Fairtrade tea is sold through auctions)</p> <ul style="list-style-type: none"> - You communicate to the producer your intention to buy at auction using a Quarterly Sourcing Plan; - Within one week of the auction you as a buyer communicate comprehensive information relating to the sale to the producer, including an indication of all outstanding Fairtrade-related payments. - On a regular quarterly basis you make all outstanding Fairtrade-related payments. - You declare purchases of tea as Fairtrade at the time of purchase, or by exception you retrocertify. | No | | Yes | | | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
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| 5.5.2 | Trader | 4.5.0.14 | You provide a sourcing plan to your immediate supplier. | No sourcing plan provided to immediate supplier. | Sourcing plan provided BUT only to some immediate suppliers OR only done orally. | Written sourcing plan provided to all immediate suppliers AND includes a binding commitment to at least purchase 50% of the volumes indicated in the sourcing plan. | Written sourcing plan provided to all immediate suppliers AND includes a binding commitment to at least purchase 50% of the volumes indicated in the sourcing plan. | Written sourcing plan provided to all immediate suppliers AND includes a binding commitment to at least purchase 75% of the volumes indicated in the sourcing plan. | 0 | V |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
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| 5.5.3 | Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader | 4.5.0.15 | You provide relevant market information to the producer on a regular basis, to enable the producer to better understand the market context and make informed business decisions. | No provision of relevant market information to producer. | Provision of relevant market information to producer BUT only orally (e.g. at a fair or doing a telephone conversation) AND not on a regular basis. | Provision of written relevant market information to some producers AND on a regular basis (at least once a year / per harvest season). | Provision of written relevant market information to all producers AND on a regular basis (at least once a year / per harvest season). | Provision of written relevant market information to all producers AND at least twice year / per harvest season. | 0 | V |
| Tea (SPO) 4.5.1 & Tea (HL) 5.4.1 | Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader | 4.5.0.16 | (Payer, Conveyor) (Tea) (Applicable in case of retro-certification) Prior to commencing initial Retro-Certification, you inform FLOCERT of the intent to do so. | No | | Yes | | | 0 | C |
| Tea (SPO) 4.5.2 & Tea (HL) 5.4.2 | Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader | 4.5.0.17 | (Payer, Conveyor) (Tea) (Applicable in case of retro-certification) If the original invoice price for the Tea was below the applicable Fairtrade minimum price for that country/region you pay the difference along with the due Fairtrade Premium. | No | | Yes | | | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
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| Tea (SPO) 4.5.2 & Tea (HL) 5.4.2 | Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader | 4.5.0.18 | <p>(Payer, Conveyor) (Tea) (Applicable in case of retro-certification) Prior to retro-certifying tea, you have sent a signed and coded Retro Advice note to the certified producer to approve the availability of requested volumes.</p> <p>The Retro Advice Note includes all required information as outlined in the retro-advice form (see guidance).</p> <p>Each Retro Advice Note between two operators must be consecutively numbered, using Trader name and ID /Producer Name and ID/ Year/ transaction number (see example in guidance).</p> <p>You quote this code on all documents and Bank Transfers related to the specific retro transaction.</p> <p>The date the producer signs the Retro Advice Note is the 'declaration' date on which the Retro-certified volume becomes Fairtrade. You ensure to receive the signed retro-advice note prior to packing or selling the tea as Fairtrade.</p> <p>If you are not the first buyer, you also inform the exporter of this transaction and receive a confirmation that the exporter is taking on the responsibility to convey the additional price and Premium for the retro-certified volume.</p> | <p>Procedure for completion of Retro Advice Note not followed or incomplete OR tea converted into Fairtrade before receipt of countersigned Retro Advice Note from the producer OR no information of exporter (if applicable)</p> | | <p>Procedure for completion of Retro Advice Note followed AND tea converted into Fairtrade only after receipt of countersigned Retro Advice Note from producer AND information of exporter (if applicable)</p> | | | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
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| Tea (SPO) 4.5.1 & Tea (HL) 5.4.1 | Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader | 4.5.0.20 | (Payer, Conveyor) (Tea) (Applicable in case of retro-certification) For CTC African teas, you may retro-certify up to 30% of the volume purchased on the original invoice from a given Fairtrade certified producer. Teas may be retrospectively declared as Fairtrade up to 3 months after the month of the original purchase invoice. | More than 30% of the volume purchased on the original invoice retro-certified OR volumes retro-certified later than 3 months of the original purchase invoice. | | Up to 30% of the volume purchased on the original invoice retro-certified AND volumes retro-certified within 6 months of the original purchase invoice. | | | 0 | C |
| Tea (SPO) 4.5.1 & Tea (HL) 5.4.1 | Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader | 4.5.0.21 | (Payer, Conveyor) (Tea) (Applicable in case of retro-certification) For orthodox and non-African CTC teas, you may retro-certify up to 100% of volume purchased on the original invoice from a given Fairtrade certified producer. Teas may be retrospectively declared as Fairtrade up to 6 months after the month of the original purchase invoice. | Volumes retro-certified later than 6 months of the original purchase invoice. | | Volumes retro-certified within 6 months of the original purchase invoice. | | | 0 | C |
| Tea (SPO) 4.5.2 & Tea (HL) 5.4.2 | Premium Payer, Price Payer, Trader | 4.5.0.22 | (Payer) (Tea) If you are not the first buyer, you also inform the exporter of this transaction and receive a confirmation that the exporter is taking on the responsibility to convey the additional price and Premium for the retro-certified volume. | No written agreement between Fairtrade payer and Fairtrade conveyor in place. | | Written agreement between Fairtrade payer and Fairtrade conveyor in place. | | | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
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| Cane sugar 4.8.2 | Premium Payer, Price Payer, Trader | 4.5.0.24 | (Payer) (Cane sugar) (Applicable in case of retro-certification) You have received written confirmation from the exporter (or mill, if applicable) that Fairtrade eligible sugar is available prior to retro-certifying volumes in question. | No | | Yes | | | 0 | C |
| Cane sugar 4.4.3 | Premium Conveyor, Price Conveyor, Trader | 4.5.0.25 | (Conveyor) (Cane sugar) (Applicable in case of multiple producers supplying the same mill (or exporter, if applicable) and if no national scheme exists) You communicate to the payer the agreement reached by producers on premium distribution, or in case of no agreement among producers, the volumes of cane supplied by each producer organization. | Fairtrade payer not informed. | | Fairtrade payer informed in writing. | | | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
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| Fibre crops 4.1.2 | Premium Payer, Price Payer, Trader | 4.5.0.29 | <p>(Payer) (Seed Cotton) You have bought seed cotton as Fairtrade eligible and sold the lint or derived products as Fairtrade only if the conditions below are met:</p> <ul style="list-style-type: none"> • The seed cotton has been produced as Fairtrade. • The buyer has notified the supplying producers (SPO or PEB) within 15 calendar days of the sales. • The buyer has informed each of the supplying producers of the volumes of their Fairtrade eligible seed cotton sold as Fairtrade, the corresponding Fairtrade price adjustment (the difference between the market price and the Fairtrade price, if applicable) and of the Fairtrade Premium due. • You have transferred the corresponding Fairtrade Premium and price adjustment to producers according to Fibre Crops Standard requirement 4.3.4 • If you only sell a certain percentage of the total Fairtrade eligible seed cotton as Fairtrade, this percentage is applied to each producer's supplied volume, and the Premium and price adjustment (if applicable) are calculated pro rata. | No | | Yes | | | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
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| | | | <ul style="list-style-type: none"> If the second buyer (the buyer of the cotton lint or derived product) requests in writing for cotton from a specific producer, the Fairtrade price adjustment and Premium is to be paid to that specific producer. | | | | | | | |
| FLOCERT Requirement | Premium Payer, Price Payer, Trader | 4.5.0.30 | (Payer) (Cocoa from Ghana) You have notified FLOCERT in writing of the intent to source Fairtrade cocoa beans from (the COCOBOD in) Ghana. | No | | Yes | | | 0 | C |

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| Fairtrade Standard for Cocoa Explanatory note | Premium Payer, Price Payer, Trader | 4.5.0.31 | <p>(Payer) (Cocoa from Ghana) You sign a Memorandum of Understanding / purchase contract for Fairtrade products with the producer organization supplying cocoa beans through the COCOBOD / CMC. Contracts / MoU follow industry regulations, and as a minimum clearly indicate:</p> <ul style="list-style-type: none"> • agreed volumes • quality specifications • amount of Fairtrade Premium to be paid (indicated separately from the price) • who is responsible for paying the Fairtrade Premium (and the Fairtrade Minimum Price Differential when applicable), to the producer organisation: <ul style="list-style-type: none"> i. payment may be direct by the Fairtrade payer to the producer organisation and no later than 15 days after the receipt of documents transferring ownership of the cocoa. ii. payment may be indirect via the Cocoa Marketing Company (CMC) and no later than 15 days after receipt of the payment from the Fairtrade payer. iii. in both direct and indirect payments, the producer organisation received the Fairtrade Premium (and when applicable the Fairtrade Minimum Price differentials) in full. No discounting is permitted. • the form of payment, which must be transparent, and traceable • the terms of payment according to the Fairtrade product standards and the amount of | No | | Yes | | | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
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| | | | pre-finance, if applicable • definition or mentioning of 'Force Majeure' • agreement on applicable jurisdiction, and • an alternative dispute resolution mechanism to resolve conflicts. | | | | | | | |
| FLOCERT Requirement | Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader | 4.5.0.32 | (Payer, Conveyer) (Cane sugar) (Applicable in case of retro-certification) Secondary products and their derivatives are only retro-certified if the Fairtrade payer and/or exporter has documentary evidence confirming the origin of the product. | Secondary products and derivatives retro-certified without documentary evidence confirming the origin of the product existing. | | Documentary evidence confirming the origin of the product existing for retro-certified secondary products and derivatives. | | | 0 | C |
| Cane sugar 4.7.1 | Trader | 4.5.0.34 | (Mill/Exporter) (Cane sugar) You invite representatives of all producer organizations you buy from to regular meetings to discuss how to improve sustainable production of sugar cane, sugar and its secondary products. You share an annual report of Fairtrade sales as well as an overview of the premium to be received. These meetings take place at least twice a year and agreements are minuted. | No invitation made to the representatives of producer organization the customer buys from, nor is the annual report shared. | Invitations are made and the annual report is shared BUT these are made sporadically and are not minuted. | These meetings take place twice a year, are minuted and the annual report is shared. | | RANK 3 AND the meetings take place before the harvest and after Fairtrade sales are confirmed. | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
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| Cocoa 4.5.1 | Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader | 4.5.0.35 | (Cocoa) (Payer, conveyor) You provide sourcing plans to the producers (or the conveyors, if applicable) that <ul style="list-style-type: none"> - cover each harvest - are provided at a minimum one month in advance of the cocoa trading season and - are renewed at least annually. | No sourcing plans are provided. | Sourcing plans but they are not in line with the requirements. | Sourcing plans are provided in line with the requirements. | | | 0 | C |
| Fresh Fruit 4.5.15 (SPO) & FF 5.5.15 (HL) | Trader | 4.5.0.36 | (Importer) (Fresh fruits, including banana) (Applicable in case of retro-certification) You only retro-certify unlabelled fruit and ensure that labelling of retro-certified fruit is done by a certified trader on behalf of the licensee. | No | | Yes | | | 0 | C |
| Fresh fruit (SPO) 4.5.16 & 5.5.16 (HL) | Trader | 4.5.0.37 | (Importer) (Fresh fruits, including banana) (Applicable in case of retro-certification) You inform the producer and, if applicable, also the exporter of the retro-certified transactions within 5 working days. | No information was provided to the producer or buyer/ exporter. | The information was provided but not within 5 working days AND/ OR no written confirmation from the exporter was received. | The information was provided on time and (if applicable) the exporter's written confirmation was received. | | | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|---|-----------------|----------|--|---|---|--|--------|---|------|---------------|
| Fresh fruit (SPO) 4.5.15/16 & 5.5.16 (HL) | Trader | 4.5.0.38 | (Importer) (Fresh fruits, including banana) (Applicable in case of retro-certification) You pay the Fairtrade Premium and any price adjustments to the producer or exporter, if applicable, within 15 calendar days having labelled and sold the fruit as Fairtrade. If you are not the first buyer, you have a written confirmation from the exporter that he is willing to take on the responsibility to convey the additional price and premium for the retro-certified fruits. | Price and premium adjustment s are not paid OR there is no confirmation of the exporter to take on this responsibility. | Price and premium adjustment s are paid but payments are not correct. | Price and premium adjustment s are paid correctly OR there is confirmation of the exporter to take on this responsibility. | | Rank 3 AND there is proof available for the payment done by the exporter. | 0 | C |
| Fresh fruit (SPO) 4.5.17 & 5.5.17 (HL) | Trader | 4.5.0.39 | (Importer) (Fresh Fruit, incl. banana) You keep records of all retro-certified transactions including: <ul style="list-style-type: none"> the date of purchase of the fruit from the producer organisation the transaction identification the container/ shipment information the seller and buyer identification the volume of fruit being retro-certified the Fairtrade Premium amount due the Fairtrade Price adjustment (if applicable) the party responsible for paying/ conveying price differential and premium to the producer. | There are no records available. | Records do not contain all required details. | Complete records are available. | | | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|---------------------|--|----------|---|--|--------|---|--------|--------|------|---------------|
| FLOCERT Requirement | Premium Conveyor, Price Conveyor, Trader | 4.5.0.40 | (Conveyor) (Fresh fruits, including banana) (Applicable in case of retro-certification) You confirm in writing that you are willing to take on the responsibility to convey any price adjustment and Fairtrade Premium for the retro-certified fruits and you convey the price adjustment (if applicable) and the Fairtrade Premium to the producer organization. | No written confirmation in place AND/OR price adjustment and Fairtrade Premium not conveyed. | | Written confirmation in place AND price adjustment and Fairtrade Premium is conveyed. | | | 0 | C |
| Cane sugar 4.8.3 | Premium Conveyor, Price Conveyor, Trader | 4.5.0.41 | (Conveyor) (Cane sugar) (Applicable in case of retro-certification) You keep track of volumes of retro-certified sugar. | No | | Yes | | | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|--------------------|--|----------|--|--|--------|--|--------|--------|------|---------------|
| Tea (SPO) 4.4.2 | Premium Conveyor, Price Conveyor, Trader | 4.5.0.42 | <p>(Tea) (Only applicable to traders buying and processing green tea leaves) If you buy green tea leaves, you buy as Fairtrade eligible to process and sell as Fairtrade, provided that the conditions below are met:</p> <ul style="list-style-type: none"> - Fairtrade eligible means green tea leaves were produced as Fairtrade - You act as price and / or premium conveyor, the entity buying tea from you acts as a Fairtrade minimum price and premium payer and this arrangement is outlined in the contract between you and producer. - You purchase the Fairtrade eligible green tea leaves at least at the prevailing market prices and you pay the producer promptly (not later than 7 days from transfer of ownership). Any alternate arrangement can be made provided it is beneficial to the producers and agreed on in a contract. - When the processed/made tea is sold as Fairtrade you inform promptly (within 7 days of signing a sales contract with the buyer) each of the supplying producers of the volumes of their Fairtrade eligible green tea leaves tea sold as Fairtrade - You pay the producer price differential (if applicable) and the Fairtrade Premium no later than 15 days after receipt of payment from payer (see requirement 5.3.2) <p>When you sell only the percentage of total</p> | Conditions are not met OR no payment of Fairtrade Premium and price adjustment on a pro rata basis (if applicable) | | Conditions are met AND payment of Fairtrade Premium and price adjustment on a pro rata basis (if applicable) | | | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|----------------------------------|--|----------|---|---|--------|---|--------|--------|------|---------------|
| | | | volume bought as Fairtrade eligible green tea leaves, this percentage is applied to each producer's supplied volume and the Premium and price adjustment (if applicable) are calculated pro rata. If the second buyer (the buyer of processed/made tea) requests in writing for tea from a specific producer, the Fairtrade price adjustment and Premium is to be paid to that specific producer. | | | | | | | |
| Tea (SPO) 4.5.3 & Tea (HL) 5.4.3 | Premium Conveyor, Price Conveyor, Trader | 4.5.0.43 | (Tea) (Only applicable to exporters in the country of origin) You keep track of volumes of retro-certified tea. If you are conveyor, you inform producers of the retro-certified transaction within 5 working days after the 'declaration date' and provide the copy of retro-advice note to the producer. | No tracking of volumes OR no (or late) information sent to producers OR no provision of copy of retro-advice note to producer | | Tracking of volumes AND information sent to producers on time AND copy of retro-advice note provided to producer. | | | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|--------------------------|--|----------|--|------------------------|---|--|--------|--------|------|---------------|
| Cocoa 4.5.3 | Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader | 4.5.0.44 | (Applicable as of 1 July 2023) (Cocoa) (Payer, conveyor) You have a publicly available, documented process in place to allocate the purchase of Fairtrade cocoa volume to producers supplying you. The document includes the principles and/or conditions relevant for your purchase decisions. You share the document with producers at the beginning of the cocoa buying season. | No process in place. | Process in place but not documented OR not publicly available OR not shared with producers at the beginning of the cocoa buying season. | Process is documented, publicly available and shared with producers at the beginning of the cocoa buying season. | | | 0 | C |
| Flowers and Plants 5.6.1 | Premium Payer, Price Payer, Trader | 4.5.0.45 | (Flowers and Plants) (Applicable as of 1 January 2024) (Payer) (Applicable in case of retro-certification) You comply with the following conditions: • Flowers come from a Fairtrade producer • You pay the correct purchase price (if the Fairtrade price is different to the non-Fairtrade price) with the additional Fairtrade Premium. | Conditions are not met | | Conditions are met | | | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|--------------------------|------------------------------------|----------|--|---|--|--|--------|--------|------|---------------|
| Flowers and Plants 5.6.3 | Premium Payer, Price Payer, Trader | 4.5.0.46 | (Flowers and Plants) (Applicable as of 1 January 2024) (Payer) (Applicable in case of retro-certification) You notify the producer weekly of any retro-certification so that an invoice can be raised for additional price / premium. You keep a monthly overview of retro-certification sales for auditing by the Certification Body. | The producers are not notified AND/OR there is no overview. | The producers are notified but not weekly AND there is no overview of those sales. | The producers are notified weekly of retro-certification AND the organisation keeps an overview of those sales AND reporting is done in Fairtrace. | | | 0 | C |
| Flowers and Plants 5.6.2 | Premium Payer, Price Payer, Trader | 4.5.0.47 | (Flowers and Plants) (Applicable as of 1 January 2024) (Payer) (Applicable in case of retro-certification) Prior to commencing retro-certification, you have informed FLOCERT of your intent to do so and demonstrate that the producer is willing to have their flowers retro-certified. | No | | Yes | | | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|--------------------------|------------------------------------|----------|---|---|---|--|--------|--------|------|---------------|
| Flowers and Plants 5.6.2 | Premium Payer, Price Payer, Trader | 4.5.0.48 | (Flowers and Plants) (Applicable as of 1 January 2024) (Payer) (Applicable in case of retro-certification) You have not retro-certified products for a value of more than 25% of the Fairtrade purchased value per quarter (Jan-Mar, Apr-June, July-Sept, Oct-Dec). Flowers for bouquets can be retrospectively declared as Fairtrade up to 7 days after delivery. | More than 25% of the quarterly value was retro-certified OR volumes retro-certified later than 7 days after delivery. | | Up to 25% of the quarterly value was retro-certified AND volumes retro-certified within 7 days after delivery. | | | 0 | C |
| Flowers and Plants 5.6.2 | Premium Payer, Price Payer, Trader | 4.5.0.49 | (Flowers and Plants) (Applicable as of 1 January 2024) (Payer) (Applicable in case of retro-certification) You clearly record on a monthly basis: <ul style="list-style-type: none"> - The total value of Fairtrade stems and plants. - A breakdown of the retro-certified stems and plants and the producers from which these came. - A breakdown of the value of premium of retro-certified stems and plants and the FPC that is paid. | There are no records available. | The records are available but not complete. | The records are available for each month and complete. | | | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|---|--|----------|---|---|--------|------------------------------------|--------|--------|------|---------------|
| Product Standards on Sourcing Plans (Coffee 4.4.1, Fibre crops 4.1.1, Herbs, herbal teas and spices (SPO) 4.1.1, Herbs and herbal teas (HL) 5.2.1, Nuts 4.1.1, Oilseeds and oleaginous fruit 4.1.1, Vegetables (SPO) 4.1.2) | Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader | 4.5.0.50 | (Payer, Conveyor for Fibre crops, Herbs, herbal teas and spices, Nuts, Oilseeds and oleaginous fruit, Pulses as well as Coffee First buyers) Sourcing plans cover each harvest. | Sourcing plans do not cover each harvest. | | Sourcing plans cover each harvest. | | | 0 | C |
| | | 4.6 | Sharing Risks | | | | | | | |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|-----------|-----------------|----------|---|--|---|--|--------|--------|------|---------------|
| 5.6.1 | Trader | 4.6.0.01 | (First buyer) Quality claims are documented in full detail and communicated to the producer as soon as they are identified. | Quality claims not documented in full detail OR not communicated to producer as soon as they are identified. | Quality claims documented in full detail AND communicated to producer as soon as they are identified. | Quality claims documented in full detail AND communicated to producer as soon as they are identified AND no quality claims for quality problems generated beyond the responsibility of the producer made AND producer is not charged for quality claims. | | | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|--|-----------------|----------|---|---|--------|--|--------|--------|------|---------------|
| 5.6.1 | Trader | 4.6.0.02 | (First buyer) You do not make quality claims for quality problems generated beyond the responsibility of the producer. | Quality claims for quality problems generated beyond the responsibility of the producer made. | | No quality claims for quality problems generated beyond the responsibility of the producer made. | | | 0 | C |
| Fresh fruit 4.5.2, 4.5.3, 4.5.4 (SPO) & 5.5.2, 5.5.3, 5.5.4 (HL) | Trader | 4.6.0.03 | (Fresh fruits, incl. banana) (Only applicable in case you issue a quality claim) If the shipment does not comply with the quality definition agreed, the quality claim takes place in one of the following forms: <ul style="list-style-type: none"> • (importer) within 2 working days after release of the fruit at port of destination. • (ripening) within 8 working days after receipt of the fruit but not later than 15 calendar days after arrival of the fruit at the port of destination. • (trader buying from an importer or another trader) within 2 working days of receipt of the fruit, but not later than 30 calendar days after arrival of the fruit at the port of destination. | No | | Yes | | | 0 | C |
| Fresh fruit 4.5.5 (SPO) & 5.5.5 (HL) | Trader | 4.6.0.04 | (Fresh fruits, incl. banana) (Only applicable in case you receive a quality claim) In case you do not take responsibility for and handle the quality claim yourself, you transfer the quality claim to the previous actor in the supply chain within 36 hours (excluding weekends and public holidays). | No | | Yes | | | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|--|-----------------|----------|--|-----------------------------|--|---------------------------------|--------|---|------|---------------|
| Fresh Fruit 4.5.8 (SPO) & Fresh Fruit 5.5.8 (HL) | Trader | 4.6.0.05 | (Fresh Fruits, incl. banana)(Only applicable in case you issue a quality claim. You facilitate the counter quality inspection within 5 calendar days after the seller received the quality claim. | No | | Yes | | | 0 | C |
| Fresh Fruit 4.5.1 (SPO) & 5.5.1 (HL) | Trader | 4.6.0.06 | (Fresh fruit, incl. banana) (Only applicable in case you issue a quality claim) The quality claim contains at least the following information: <ul style="list-style-type: none"> precise data on the shipment: date of loading, name of ship, total Fairtrade volume (number of boxes and kilos), port of destination and if available container identification. a description of quality problems including photos documenting the defect, codes of all pallets affected, and the extend of a specific quality defect (number of affected boxes per pallet or per container) | No | | Yes | | The quality claim also includes the date when fruit (or the quality claim) was received and the date of (passing on) the quality claim. | 0 | C |
| Fresh Fruit (SPO) 4.5.7 & (HL) 5.5.7 | Trader | 4.6.0.07 | (Fresh fruit, incl. banana) (Only applicable in case you receive a quality claim) If you do not accept refusal of the fruit, you notify the buyer (or ripener) in writing within 2 working days after receiving the quality claim that you will arrange counter-inspection by an authorised surveyor. You contract and pay for the services provided by the surveyor, if not otherwise agreed with your buyer. | The buyer was not informed. | The buyer was informed but not within the 2 working days after receipt of the quality claim. | The buyer was informed on time. | | | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|--|------------------------------------|----------|---|---|--------|---|--------|--------|------|---------------|
| Fresh Fruit 4.5.10 (SPO) & Fresh Fruit 5.5.10 (HL) | Premium Payer, Price Payer, Trader | 4.6.0.08 | (Fresh fruits, incl. banana) (Importer) (Applicable in case part of the shipment cannot be sold as Fairtrade as a result of shortfalls in orders) You have applied non-Fairtrade conditions to not more than a maximum of 10% of the volume of a shipment. | The company applied non-Fairtrade conditions for more than 10% of the volume of each shipment OR cannot justify that the non-Fairtrade conditions were applied as a result of shortfalls in orders. | | The company applied non-Fairtrade conditions for a max. of 10% of the volume of each shipment due to shortfalls in orders and assumed any financial losses related to shortfalls above this percentage. | | | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|--|------------------------------------|----------|---|---|--------|---|--------|--------|----------|---------------|
| Fresh Fruit 4.5.11 (SPO) & Fresh Fruit 5.5.11 (HL) | Premium Payer, Price Payer, Trader | 4.6.0.09 | (Fresh fruits, incl. banana) (Payer) (Importer) (Applicable in case of shortfalls in orders or quality claims) You sell fruit that you paid under non-Fairtrade conditions as a result of shortfalls in sales or quality claims as non-Fairtrade fruit and clearly mark the declassified fruit as "non-Fairtrade" on all documentation. If you cannot remove the Fairtrade reference, you use disclaimers that state that the product is sold under non-Fairtrade conditions. | The Fairtrade reference was not removed and the fruit was sold on as Fairtrade. | | The Fairtrade reference was removed OR a disclaimer was made clearly identifying the declassified fruit as "non-Fairtrade". | | | 0 | C |
| Fresh Fruit 4.5.11 (SPO) & FF 5.5.11 (HL) | Premium Payer, Price Payer, Trader | 4.6.0.10 | (Fresh fruits, incl. banana) (Payer) (Importer) Fairtrade labeled fruit paid under non-Fairtrade conditions (e.g. declassified fruit) is not sold to clients dealing with Fairtrade fruits. | No | | Yes | | | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|--|--|----------|---|--|---|---|--------|--|------|---------------|
| Honey 4.4.1 | Trader | 4.6.0.11 | (Honey) You take action to support producers to mitigate weather-related risks. | No action taken to support producers to mitigate weather-related risks | Erratic actions taken to support the producers but are insufficient to alleviate weather-related risks. | Reasonable actions taken to support the producers mitigate weather-related risks. | | Regular action is taken to support producers to mitigate weather-related risk AND records of training and/or other support is evident. | 0 | V |
| Fresh fruit 4.5.6 (SPO) & Fresh fruit 5.5.6 (HL) | Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader | 4.6.0.12 | (Payer, conveyor) (Fresh fruits, incl. banana) (Applicable in case you issue a quality claim to a producer) If the producer accepts the responsibility for a quality problem, you charge only the costs of the fruit and the packing (FOB price), the cost of the transport (shipment until port of destination) and the relevant import duties already paid for the affected part of the shipment to the producer. The costs are transparently proven and the date of the loading in the country of origin is used for the currency conversion rate. | No transparent proof of the costs charged to the producer and/ or no proof of the acceptance of the quality problem by the producer. | | Quality claim accepted by the producer and transparent proof of costs. | | | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|--|-----------------|----------|--|--|--|---|--------|--------|------|---------------|
| Fresh Fruit 4.5.9 (SPO, HL) | Trader | 4.6.0.13 | (Fresh fruits, incl. banana) (Only applicable in case you are involved in a quality claim: You accept the results of the authorized independent surveyors as binding and as ultimate basis of settlement of any dispute over the quality of the fruit.) | No | | Yes | | | 0 | C |
| Fresh fruit 4.5.13 (SPO) & Fresh fruit 5.5.13 (HL) | Trader | 4.6.0.14 | (Importer) (Fresh fruits, incl. banana) (Only applicable in case of declassified Fairtrade transactions due to shortfalls in sales and/ or quality claims) You inform all operators in the supply chain (including the producer) within six weeks after arrival of the fruit in the port of destination about declassified Fairtrade transactions including the reason for the non-Fairtrade sales (quality claim or shortfalls in sales). | Actors were not informed, | The actors were informed but not within the six weeks after arrival of the fruit in the port of destination. | The actors were informed on time and the reasons were provided. | | | 0 | C |
| Fresh fruit 4.5.14 (SPO) & Fresh fruit 5.5.14 (HL) | Trader | 4.6.0.15 | (Importer) (Fresh fruits, incl. Banana) (Only applicable in case of non-Fairtrade sales) You inform the certification body of every transaction of non-Fairtrade sales that were originally ordered as Fairtrade within six weeks after arrival of the fruit in the port of destination. In the case of quality claims, you also include the costs related to the claim that were charged back to the producer. | The certification body was not informed about non-Fairtrade sales or claims (in case of quality issues). | | The certification body was informed and claims were provided (in case of quality issues). | | | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|--------------|--|----------|---|---|--|--|--|---|------|---------------|
| Coffee 4.5.1 | Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader | 4.6.0.16 | (Payer, conveyor) (Coffee) There are no indications that a fixed-price contract agreed with the producer organisation imposes cost of hedging upon the producer organisation that supplies the coffee. | Indications that cost of hedging are imposed upon the producer organisation | | No indications that cost of hedging are imposed upon the producer organisation | | | 0 | C |
| | | 4.7 | Capacity Building | | | | | | | |
| 5.7.1 | Trader | 4.7.0.01 | You support the producers' or workers' Fairtrade development plan or Premium plan, or provide support for other operational, production or organizational capacity building activities that producers or workers chose. | No support of producers' Fairtrade development plan or Premium plan or any other support. | Irregular / one-off support of producers' Fairtrade development plan or Premium plan or other support for some producer organizations BUT area of support not chosen by producers / workers. | Irregular / one-off support of producers' Fairtrade development plan or Premium plan or other support for some producer organizations AND area of support chosen by producers / workers. | Regular support of producers' Fairtrade development plan or Premium plan or other support for some producer organizations AND area of support chosen by producers / workers. | Regular support of producers' Fairtrade development plan or Premium plan or other support for all producer organizations AND area of support chosen by producers / workers. | 0 | V |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|-------------|-----------------|----------|--|--|--|--|---|---|------|---------------|
| 5.7.2 | Trader | 4.7.0.02 | (Applies to both buyers making sourcing requests to their suppliers and buyers who directly source from producers) You source Fairtrade products from vulnerable producer organizations. | No sourcing of Fairtrade products from vulnerable producer organizations. | Irregular / one-off sourcing of Fairtrade products from vulnerable producer organizations. | Regular sourcing of Fairtrade products from at least one vulnerable producer organization. | Regular sourcing of Fairtrade products from more than one vulnerable producer organization. | Regular sourcing of all Fairtrade products from vulnerable producer organizations only. | 0 | V |
| 5.7.3 | Trader | 4.7.0.03 | You act as a market liaison for the producer. | No acting as market liaison for the producer. | Irregular / one-off acting as market liaison for the producer. | Regular acting as market liaison for at least one producer. | Regular acting as market liaison for more than one producer. | Regular acting as market liaison for all producers. | 0 | V |
| Cocoa 4.1.2 | Trader | 4.7.0.04 | (Cocoa) (Applicable if you provide a service (e.g. training) or support activity to the producers.) You agree in advance and in writing with the producer organisation on all terms and conditions (including fees) of the service provided. | No written agreement in place with the producers on the terms and conditions of the services provided. | | There is a written agreement on the terms and conditions of the service. | | | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|-------------|--|----------|---|--|--|--|--------|--|------|---------------|
| Cocoa 4.1.2 | Trader | 4.7.0.05 | (Cocoa) (Applicable if you provide a service (e.g. training) or support activity to the producers.) You do not put pressure on producers to accept the services and the fee, nor do you make it a condition of purchase. | Producers are pressured to accept the offer. | | There is no pressure put on producers. | | | 0 | C |
| | | 4.8 | Trading with Integrity | | | | | | | |
| 5.8.1 | Trader | 4.8.0.01 | There are no indications that you engage in unfair practices that clearly damage the producers' or other traders' capacity to compete or in the imposition of trading conditions on suppliers that would make it difficult for them to comply with Fairtrade Standards. | Unfair trading practices are common. | There are isolated instances of unfair trade practices noticed in the audit. | There are no identified instances of engagement in unfair trading practices. | | No indications of engagement in unfair practices AND prohibition of any unfair practices is an integral part of the company's compliance policy. | 0 | M |
| Gold 2.3.15 | Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader | 4.8.0.02 | (Gold) You do not make the purchase of certified precious metals conditional to the sale of a quantity of non-certified product under terms that are distinctly disadvantageous to the miners. | There is an allegation related to or proof of the practice of conditional contracts. | | No indication of conditional contracting taking place AND no related allegation. | | | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
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| Gold 2.3.1 | Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader | 4.8.0.03 | (Gold) You do not provide direct or indirect support to armed groups or their affiliates, neither in your own Fairtrade operations nor the ones of your suppliers. | There are indications of direct or indirect support to armed groups or their affiliates AND no actions are taken to change the situation. | There are indications of direct or indirect support to armed groups or their affiliates BUT first steps are taken to change the situation. | There are no indications of direct or indirect support to armed groups or their affiliates. | | RANK 3 AND there is a system in place to prevent direct or indirect support of armed groups and their affiliates. | 0 | M |
| Gold 2.3.1 | Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader | 4.8.0.04 | (Gold) You do not directly or indirectly cause, tolerate or benefit from any acts of armed violence or of serious human right abuses such as human trafficking and slavery, neither in your own operations nor the ones of your suppliers. | There are indications of causing, tolerating or benefitting from acts of armed violence or of serious human right abuse AND no actions are taken to change the situation. | There are indications of causing, tolerating or benefitting from acts of armed violence or of serious human right abuse BUT actions are taken to change the situation. | There are no indications of causing, tolerating or benefitting from acts of armed violence or of serious human right abuse. | | RANK 3 AND there is a system in place to prevent causing, tolerating or benefitting from acts of armed violence or of serious human right abuse. | 0 | M |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
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| Gold 2.3.2, Gold 2.3.3 | Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader | 4.8.0.05 | (Gold) You have a conflict mineral and human rights policy in place, covering a) information about the due diligence measures to be conducted to ensure its application; b) a procedure (or reference to it) regulating control of the gold and precious metal during transport; c) and the following aspects: • No abuses, torture, cruel or inhumane treatment • No forced or compulsory labour • No worst forms of child labour • No human rights abuses and violations such as sexual violence • No war crimes, crimes against humanity, genocide or other serious violations of international humanitarian law, • No direct or indirect or indirect support of non-state armed groups • No direct or indirect support of private or public security forces illegally controlling the | There is no conflict mineral and human rights policy in place. | A conflict mineral and human rights policy is in place BUT it does not cover all required details. | A conflict mineral and human rights policy is in place, covering all required details. | RANK 3 AND it is publicly available for all miners. | RANK 4 AND it is written in a language understood by miners. | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|------------|--|----------|---|-------------------------------------|---|---|--|---|----------|---------------|
| | | | mines sites <ul style="list-style-type: none"> • No bribery, or money laundering • No fraudulent misrepresentation of origin of minerals | | | | | | | |
| Gold 2.3.4 | Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader | 4.8.0.06 | (Gold) You have identified and assessed <ul style="list-style-type: none"> • whether you purchase Fairtrade gold or precious metal from a supplier located in a conflict-affected or high risk area • whether there are risks regarding the direct or indirect financing or support to armed groups or of illegal activities within their own operations. | No assessment has been carried out. | The assessment only covers one of the requested points. | A complete assessment has been carried out. | RANK 3 AND sources used for the assessment are documented. | RANK 4 AND these sources of information are those or similar to those listed in the Fairtrade Standard. | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type | |
|------------|--|----------|--|--|--|--|--------|---|--|---------------|----------|
| Gold 2.3.5 | Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader | 4.8.0.07 | (Gold) (Applicable in case any risks were identified in the assessment) You have put in place a risk management system including <ul style="list-style-type: none"> • assignment of a senior staff member responsible for managing the due diligence on direct or indirect support of conflicts and of human rights abuses • conducting regular risk assessments (see Standard requirement 2.3.4, CC 4.8.0.06) • conducting of unannounced spot-checks of all areas and facilities their supply chains • conducting regular awareness-raising sessions with staff on how to report on potential conflict-related issues or human rights abuses | No actions were taken to set up a risk management system. | First steps to setup a risk management system were taken but system is not in place yet. | A risk management system covering all required aspects is in place. | RANK 3 | RANK 4 AND actions taken are well documented. | RANK 4 AND there is a system to follow up on results of the spot-checks. | 1 | C |
| Gold 2.3.6 | Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader | 4.8.0.08 | (Gold) (Applicable in case any risks were identified in the assessment) You have reported to interested stakeholders and commercial partners on the due diligence and risk management measures taken. The report does not contain commercially sensitive or confidential business information and is in line with any non-disclosure agreement signed (if applicable) | Although there were requests from partners no report was provided. | A report was provided BUT it does not ensure confidentiality or what was agreed in a non-disclosure agreement. | Reports that respect confidentiality are provided to interested partners on request. | | Reports that respect confidentiality are provided pro-actively. | 1 | C | |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|--------------------------|--|----------|---|-----------------------------------|---------------------------------------|--|--------|--------|----------|---------------|
| Gold 2.3.7 | Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader | 4.8.0.09 | (Gold) You support the ASMO in setting up preventive measures or appropriate remediation projects related to conflict areas and human rights violations (see Standard requirement 1.3.12). | No support was given. | | The trader and the ASMO work together on this topic OR support was offered but no feedback received yet from the ASMO. | | | 3 | V |
| Fibre Crops 2.4.1, 2.4.2 | Trader | 4.8.0.10 | (Seed cotton) (Not applicable to spinners operating only under the FSI model) You and all your additional entities and / or subcontractors have a valid Social Indicator that demonstrates efforts to comply with the ILO Conventions as defined in the Fibre Crops Standard. | No social indicator is available. | Social indicator is not valid anymore | Valid social indicator available. | | | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|--|-----------------|----------|---|---|---|--|--------|--|------|---------------|
| Standard interpretation for 5.8.1, Coffee 4.1.3 | Trader | 4.8.0.11 | (Orange juice; Coffee, applicable to green bean sales and purchases) You do not sell or buy Fairtrade products below the Fairtrade minimum price, the Fairtrade premium and the organic differential (if applicable). | Selling or buying Fairtrade products below the Fairtrade minimum price, the Fairtrade premium and the organic differential (if applicable) is common. | There are isolated instances of selling or buying Fairtrade products below the Fairtrade minimum price, the Fairtrade premium and the organic differential (if applicable). | There are no identified instances of selling or buying Fairtrade products below the Fairtrade minimum price, the Fairtrade premium and the organic differential (if applicable). | | Rank 3 AND prohibition of selling or buying Fairtrade products below the Fairtrade minimum price, the Fairtrade premium and the organic differential (if applicable) is an integral part of the company's compliance policy. | 0 | C |
| Fresh fruit 4.5.12 (SPO) & Fresh fruit 5.5.12 (HL) | Trader | 4.8.0.12 | (Importer) (Fresh Fruit) There are no indications that you use the practice of shortfalls in sales to supply a Fairtrade or non-Fairtrade trade partner with fruit labelled as Fairtrade that was bought under non-Fairtrade conditions on a regular basis. | This practice is common. | There are isolated instances of this practice. | There are no identified instances of this practice. | | | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|-----------------------------------|-------------------------------------|----------|--|---|--------|--|--------|--|------|---------------|
| Standard Interpretation for 5.8.1 | Price Conveyor, Price Payer, Trader | 4.8.0.14 | (Cocoa) (Ivory Coast, Ghana) (Applicable only if you buy and sell cocoa beans) If you charge your customer the applicable Fairtrade minimum price differential for the respective cocoa season, you demonstrate that you have purchased cocoa beans from the producer organization at the same Fairtrade minimum price differential. | No evidence available to link Fairtrade minimum price differential charged to customers to Fairtrade minimum price differential paid to producer organization . | | Evidence available to link Fairtrade minimum price differential charged to customers to Fairtrade minimum price differential paid to producer organization . | | You have a Transparent Pricing Policy with your customers which details when the cocoa beans were purchased from producer organizations (e.g. total volumes purchased per cocoa season with the applicable Fairtrade minimum price differential for each cocoa season), and how this impacts the prices of | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
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| | | | | | | | | the cocoa beans being charged during a particular time period. | | |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
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| 5.8.1 | Trader | 4.8.0.15 | (Cocoa) (Applicable only if you buy cocoa beans from Ivory Coast or Ghana, via your supplier) If you charge your customer the applicable Fairtrade minimum price differential for the respective cocoa season, you demonstrate that you have purchased cocoa beans from your supplier at the same Fairtrade minimum price differential. | No evidence available to link Fairtrade minimum price differential charged to customers to Fairtrade minimum price differential paid to supplier. | | Evidence available to link Fairtrade minimum price differential charged to customers to Fairtrade minimum price differential paid to supplier. | | You have a Transparent Pricing Policy with your customers which details when the cocoa beans were purchased from suppliers (e.g. total volumes purchased per cocoa season with the applicable Fairtrade minimum price differential for each cocoa season), and how this impacts the prices of the cocoa | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
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| | | | | | | | | beans or semi-finished cocoa products being charged during a particular time period. | | |
| 5.8.1 | Trader | 4.8.0.16 | (Cocoa) (Applicable only if you buy cocoa beans from Ivory Coast or Ghana, via your supplier) You do not pressurize your supplier by refusing to pay the applicable Fairtrade minimum price differential for the respective cocoa season. | Evidence available that customer refused to pay the applicable Fairtrade minimum price differential for the respective cocoa season. | | No evidence available that customer refused to pay the applicable Fairtrade minimum price differential for the respective cocoa season. | | | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
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| Coffee 4.6.1 | Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader | 4.8.0.17 | (Payer, conveyor) (Coffee) You do not buy Fairtrade certified products from a producer organisation on the condition that the producer organisation sells a quantity of non-certified product at a discount. | Purchases of Fairtrade certified products from a producer organization on the condition that the producer organization sells a quantity of non-certified product at a discount | | No purchases of Fairtrade certified products from a producer organization on the condition that the producer organization sells a quantity of non-certified product at a discount | | | 0 | C |
| | | 4.9 | Auction sales | | | | | | | |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|--------------------------|-----------------|----------|--|--|---|---|--|--------|------|---------------|
| Flowers and Plants 5.5.1 | Trader | 4.9.0.01 | (Flowers and Plants) (Applicable as of 1 January 2024) (Only applicable to regional auctions buying directly from producers, without an importer in between) You, as the auction, are the Fairtrade payer. You pay the Fairtrade Premium at 6% on top of the gross sales (clock) value, to the producer of the Fairtrade flowers in addition to the gross sales price. You sell at least 70% of the Fairtrade volume is to the target customers. On a yearly basis, you show that you have sold at least 70% of the Fairtrade volumes to the Fairtrade target group. | The Fairtrade Premium has not been paid in addition to the price of the product AND/OR the 70% rule has not been adhered to. | The Fairtrade Premium is paid but for an amount under 6% of the gross sales amount OR the 70% rule has not been adhered to. | The Fairtrade Premium is paid for the correct amount under 6% of the gross sales amount AND the 70% rule has been adhered to. | The Fairtrade Premium is higher than what is requested AND the 70% rule has been adhered to. | | 0 | C |
| Flowers and Plants 5.5.1 | Trader | 4.9.0.02 | (Flowers and Plants) (Applicable as of 1 January 2024) (Only applicable to regional auctions buying directly from producers, without an importer in between) You, as the auction, keep all flowers sold in their original packaging (packed at producer level) with the Fairtrade Certification Mark and producer FLO ID to permit clear traceability. | No | | Yes | | | 0 | C |
| | | 5 | Follow up Audit | | | | | | | |
| | | 5.1 | Follow up Audit Information - Generic | | | | | | | |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|-----------------|-----------------|----------|---|--|---|---|--------|--------|------|---------------|
| Follow up audit | Trader | 5.1.0.01 | <p>As a result of the evaluation decision on non-conformities and corrective measures for the last Fairtrade audit, a follow up audit is conducted in order to verify implementation of the corrective measures (objective evidences) onsite.</p> <p>The audit order number (AO-xxxxx) of the original Fairtrade audit is noted in the NC text field for reference.</p> | | | Yes. Number of the original Fairtrade audit is: | | | 0 | F |
| Follow up audit | Trader | 5.1.0.02 | <p>All objective evidences (OEs) (to be verified during the Follow up audit) have been reviewed.</p> <p>In the contrary case mark the missing NCs in the Follow up xls and note the reason in the start tab of the Ecert audit order, field: "Deviation from audit procedure / Tors"</p> | No | | Yes | | | 0 | F |
| | | 5.2 | Status of CM/OE- Result of Follow up Audit | | | | | | | |
| Follow up audit | Trader | 5.2.0.01 | All corrective measures (CMs) were implemented successfully / status of all required objective evidences (OEs) is 'fulfilled' (click 'N.a.' for not applicable). | No. None of the corrective measures (CMs) were implemented / status of all required objective evidences (OEs) 'Not fulfilled'. | No. Only some of the corrective measures (CMs) were implemented and/or CMs were implemented partially only. | Yes. All corrective measures (CMs) were implemented successfully / status of all required objective evidences (OEs) is 'fulfilled'. | | | 0 | F |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|-----------------|-----------------|------------|---|--|--|---|--------|--------|------|---------------|
| Follow up audit | Trader | 5.2.0.02 | The Excel list of all corrective measures (CMs) / objective evidence (OEs) to be verified during this follow up audit is attached to the Ecert audit order. It includes the individual result for each OE as assessed during the Follow up audit and was explained to the customer during the closing meeting. (If 'No' state reason / explanation in NC text field) | No, because... | | Yes | | | 0 | F |
| Follow up audit | Trader | 5.2.0.03 | (Applicable if the follow up audit is a consequence of a suspension decision) The suspension rules are complied with by the customer. | No | | Yes | | | 0 | F |
| | | 5.3 | Reason for Follow up Audit | | | | | | | |
| Follow up audit | Trader | 5.3.0.01 | Reason for follow up audit | The reason for the follow up audit was a Major NC. | The reason for the follow up audit was a high number of NCs. | The follow up audit was due to another reason: state the reason in the NC text. | | | 0 | F |
| | | 6 | Combined Audit | | | | | | | |
| | Trader | 6.0.0.01 | The Fairtrade audit was a combined audit with another certification/verification scope, e.g. NFO audits. (if yes, please document the scope in the comment field). | | | Yes | | | 0 | |
| | | 7 | Human Rights and Environmental Due Diligence | | | | | | | |
| | | 7.1 | Commit | | | | | | | |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|-----------|-----------------|----------|---|------------------------------------|--|---|--------|--------|------|---------------|
| 3.1.1 | Trader | 7.1.0.01 | <p>(Applicable as of 1 January 2025) (For customers certified before 1 January 2025 only applicable as of 1 January 2026) You have a written commitment to respect human rights and environmental sustainability, to conduct due diligence, and to recognise the importance of:</p> <ul style="list-style-type: none"> - Collaboration with suppliers and rights holders in addressing human rights and environmental challenges - Having sustainable purchasing practices which favour long-term business relationships - Working towards living incomes and living wages - Providing for, or co-operating in, the remediation of identified harms you cause or contribute to. <p>Your written commitment refers to internationally recognised human rights, including environmental rights, and is signed off by senior management.</p> | No written commitment is in place. | There is a written commitment, but it does not entail all the points listed. | There is a written commitment which covers all the points listed AND it is signed off by senior management. | | | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|-----------|-----------------|----------|--|--|--|---|--------|--------|----------|---------------|
| 3.1.2 | Trader | 7.1.0.02 | <p>(Applicable as of 1 January 2025) (For customers certified before 1 January 2025 only applicable as of 1 January 2027)</p> <p>You align your operational policies and procedures with the commitment and embed the commitment into your existing management systems.</p> <p>You assign a responsible person(s) for due diligence related functions.</p> | <p>Operational policies and procedures not aligned with commitment AND/OR not embedded into existing management systems AND/OR no responsible person(s) assigned</p> | <p>Responsible person(s) assigned BUT operational policies and procedures not aligned with commitment AND/OR not embedded into existing management systems</p> | <p>Operational policies and procedures aligned with commitment AND embedded into existing management systems AND responsible person(s) assigned</p> | | | 3 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|-----------|-----------------|----------|--|--|---|--|--|--------|----------|---------------|
| 3.1.3 | Trader | 7.1.0.03 | <p>(Applicable as of 1 January 2025) (For customers certified before 1 January 2025 only applicable as of 1 January 2027)</p> <p>You raise the awareness to your management and staff about your commitment to respecting human rights and the environment, and its implications for your operations.</p> <p>Your commitment is publicly available and you inform your immediate suppliers and subcontractors.</p> | No awareness raised to management and staff AND commitment not publicly available AND immediate suppliers and subcontractors not informed about commitment | Awareness raised to management and staff BUT commitment not publicly available (e.g., online) AND/OR immediate suppliers and subcontractors not informed about commitment | Awareness raised to management and staff AND commitment publicly available (e.g., online) AND immediate suppliers and subcontractors informed about commitment | RANK 3 AND all employees trained on how HREDD relates to their own work. | | 1 | C |
| | | 7.2 | Identify | | | | | | | |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|-----------|-----------------|----------|---|---|--|---|---|--------|------|---------------|
| 3.2.2 | Trader | 7.2.0.01 | <p>(Medium and large traders) (Applicable as of 1 January 2025) (For traders certified before 1 January 2025, applicable as of 1 January 2026)</p> <p>You have, or participate in, a grievance mechanism which allows workers, suppliers and other individuals and groups to anonymously raise complaints of injustice, harm or fraud linked to your company, including environmental harms.</p> <p>The grievance mechanism:</p> <ul style="list-style-type: none"> • is accessible in the language(s) spoken in your operations and the language(s) most common in your supply chain • supports both written and verbal complaints • respects the anonymity of the complainants and protects them from retaliation, threats or harm • ensures resolutions are decided and remediation implemented by trained staff or an appointed expert in a timely manner • ensures that all parties are kept informed about progress • allows for an appeals process • ensures confidential handling of sensitive grievances, such as sexual harassment • aligns with internationally recognised human rights and national laws <p>Where you are unable by yourself to remediate the harm in full, you seek to engage other private, public or civil society actors.</p> | There is no grievance mechanism in place. | There is a grievance mechanism in place, but it does not cover all the points listed in the criterion. | There is a grievance mechanism in place which complies with all the points listed AND complaints are handled in line with it. | RANK 3 AND the grievance mechanism is reviewed and updated regularly. | | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|-----------|-----------------|----------|--|---|--|--|--------|--------|------|---------------|
| | | | If relevant, you report human rights violations to the appropriate national agencies | | | | | | | |
| 3.2.3 | Trader | 7.2.0.02 | (Small traders) (Applicable as of 1 January 2025) (For traders certified before 1 January 2025 applicable only as of 1 January 2026) You have a written procedure for managing any complaints of injustice, harm or fraud relating to your company. The procedure specifies timeframes. You handle and document complaints in line with this procedure and communicate your follow-up actions to all parties involved. Your procedure includes appointing a specific person responsible for the complaints process in the company and includes provisions to prevent retaliation, threats or harm against people who file complaints. | There is no written procedure in place. | There is a written procedure BUT it does not specify timeframes or is not applied. | There is a written procedure in place which contains all elements listed in the requirements AND complaints are handled in line with it. | | | 0 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type | |
|-----------|-----------------|----------|--|--|--|---|--------|--------|------|---------------|----------|
| 3.2.1 | Trader | 7.2.0.03 | <p>(Applicable as of 1 January 2025) (For traders certified before 1 January 2025 applicable only as of 1 January 2026 (mapping of most common risks and challenges in own operations and supply chains & assessment which risks and challenges are the most serious) or as of 1 January 2027) (compliance will full requirement)</p> <p>You conduct a human rights and environmental risk assessment for your own operations and supply chains at least every three years, and you do the following:</p> <ul style="list-style-type: none"> Map the most common risks and challenges in your own operations and in the supply chains of your main commodities and countries, considering external data and research. Assess which risks and challenges are most serious. Identify which Fairtrade suppliers may have the highest risks and assess their root causes. Identify the vulnerable groups of people who are or could be impacted more than others. Identify which of your company's practices cause or contribute to these issues. Consult your staff and your immediate suppliers (that include Fairtrade suppliers) to prioritize which risks and challenges to be addressed first. <p>You strengthen your assessment methods</p> | <p>No human rights and environmental risk assessment conducted AND/OR not all aspects covered (staged approach) AND/OR assessment methods not strengthened over time</p> | <p>Human rights and environmental risk assessment conducted BUT not all aspects covered AND/OR assessment methods not strengthened over time</p> | <p>Human rights and environmental risk assessment conducted AND all aspects covered (staged approach) AND assessment methods strengthened over time</p> | | | | 1 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|-----------|-----------------|----------|---|--|--------|---|--|--------|------|---------------|
| | | | over time. | | | | | | | |
| 3.2.1 | Trader | 7.2.0.04 | (Applicable as of 1 January 2025) (For traders certified before 1 January 2025 applicable only as of 1 January 2026) You cannot use the results of your risk assessments to put pressure on supplier(s) to immediately address identified risks, nor do you make it a condition of purchase. | There are indications that results of the risk assessment are used to put pressure on suppliers. | | There are no indications that results of the risk assessment are used to put pressure on suppliers. | | | 1 | C |
| 3.2.4 | Trader | 7.2.0.05 | (Applicable as of 1 January 2025) (For traders certified before 1 January 2025 applicable only as of 1 January 2027) You raise awareness to your staff and inform immediate suppliers about the grievance mechanism, and take annual measures to make the mechanism known and accessible to staff, suppliers and other stakeholders. | No awareness raising to staff AND/OR no information to immediate suppliers AND/OR no annual measures to make the mechanism known | | Awareness raising to staff AND information of immediate suppliers AND annual measures to make the mechanism known | RANK 3 AND information about grievance mechanism is available online | | 1 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|-----------|-----------------|------------|---|--|--|--|--|--------|-----------------|---------------|
| 3.2.4 | Trader | 7.2.0.06 | <p>(Applicable as of 1 January 2025) (For traders certified before 1 January 2025 applicable only as of 1 January 2027)</p> <p>You conduct regular analysis of grievance cases and consult your immediate suppliers and your worker representatives or, where applicable, trade unions. You use the results of the analysis of the grievance cases to improve your grievance mechanism and your operations.</p> | <p>No regular analysis of grievance cases conducted AND/OR no consultation of immediate suppliers, worker representatives or trade unions AND/OR no improvement of grievance mechanism or operations</p> | <p>Regular analysis of grievance cases BUT no consultation of immediate suppliers, worker representatives or trade unions OR no improvement of grievance mechanism or operations</p> | <p>Regular analysis of grievance cases conducted AND consultation of immediate suppliers, worker representatives and trade unions AND improvement of grievance mechanism or operations</p> | <p>RANK 3 AND analysis of grievance cases conducted every year</p> | | <p>1</p> | C |
| | | 7.3 | | | | | | | | |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|-----------|-----------------|----------|--|---|--|---|--|--------|-----------------|---------------|
| 3.3.1 | Trader | 7.3.0.01 | <p>(Medium and large traders) (Applicable as of 1 January 2025) (For traders certified before 1 January 2025 applicable only as of 1 January 2027)</p> <p>You develop and implement policies:</p> <ul style="list-style-type: none"> - to prevent, mitigate and remediate at least three of the most salient issues identified through your risk assessment, and - on sustainable purchasing <p>You communicate the policies to your senior management, staff and suppliers.</p> <p>You review and revise the policies at least every three years.</p> | <p>No policies developed and/or implemented AND/OR policies not communicated to senior management, staff, and suppliers AND/OR policies not reviewed and revised at least every three years</p> | <p>Policies developed BUT not implemented OR not communicated to senior management, staff, and suppliers OR policies not reviewed and revised at least every three years</p> | <p>Policies developed and implemented AND policies communicated to senior management, staff, and suppliers AND policies reviewed and revised at least every three years</p> | <p>RANK 3 AND policy communicated to subcontractors AND environmental objectives are in line with private sector climate targets</p> | | <p>1</p> | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|-----------|-----------------|----------|--|--|--|--|--------|--------|----------|---------------|
| 3.3.2 | Trader | 7.3.0.02 | <p>(Medium and large traders) (Applicable as of 1 January 2025) (For traders certified before 1 January 2025 applicable only as of 1 January 2027)</p> <p>You consult your staff, internal experts and immediate Fairtrade suppliers to identify effective activities. You develop and implement an action plan to prevent and mitigate the identified salient issues, and to co-operate in remediation of cases found.</p> <p>Your action plan includes at least two of the following activities:</p> <ul style="list-style-type: none"> • dialogue and collaboration with Fairtrade suppliers, other companies, public authorities and/or civil society actors • raising awareness to your management and staff on the salient human rights and environmental issues • support Fairtrade suppliers and/ or producers (see Support for producers on HREDD) | <p>No consultation with staff, internal experts and immediate Fairtrade suppliers to identify effective activities</p> <p>AND/OR no action plan developed and implemented</p> <p>OR action plan does not contain at least two of the required activities</p> | <p>Consultation with staff, internal experts and immediate Fairtrade suppliers to identify effective activities</p> <p>BUT no action plan developed and implemented</p> <p>OR action plan does not contain at least two of the required activities</p> | <p>Consultation with staff, internal experts and immediate Fairtrade suppliers to identify effective activities</p> <p>AND action plan developed and implemented</p> <p>AND action plan contains at least two of the required activities</p> | | | 3 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|-----------|-----------------|----------|--|--|---|--|--------|--------|----------|---------------|
| 3.3.2 | Trader | 7.3.0.03 | <p>(Medium and large traders) (Applicable as of 1 January 2025) (For traders certified before 1 January 2025 applicable only as of 1 January 2027)</p> <p>Your action plan is informed by dialogue with at least one immediate Fairtrade supplier.</p> <p>Your plan is approved by senior management and revised annually to keep it up to date.</p> | Action plan not informed by dialogue with at least one immediate Fairtrade supplier AND not approved by senior management AND not revised annually | Action plan informed by dialogue with at least one immediate Fairtrade supplier BUT not approved by senior management OR not revised annually | Action plan informed by dialogue with at least one immediate Fairtrade supplier AND approved by senior management AND revised annually | | | 3 | C |
| 3.3.3 | Trader | 7.3.0.04 | <p>(Small traders) (Applicable as of 1 January 2025) (For traders certified before 1 January 2025 applicable only as of 1 January 2027)</p> <p>You develop and implement an action plan to mitigate and prevent the salient issues.</p> <p>You revise the plan annually to keep it up to date.</p> | Action plan not developed and implemented AND not revised annually | Action plan is developed and implemented BUT not revised annually | Action plan developed and implemented AND revised annually | | | 3 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|-----------|-----------------|----------|--|---|--|--|---|--------|-----------------|---------------|
| 3.3.4 | Trader | 7.3.0.05 | <p>(Applicable as of 1 January 2025) (For traders certified before 1 January 2025 applicable only as of 1 January 2027)</p> <p>You have a written procedure that guides your remediation work and includes measures on providing for, or co-operating in the remediation of any case found.</p> <p>If you have identified cases of human rights or environmental harms which your company has caused or contributed to, you remediate according to your procedure.</p> | <p>No written remediation procedure in place AND no measures on providing remediation included AND (if applicable) no remediation of identified cases</p> | <p>Written remediation procedure in place BUT no measures on providing remediation included AND (if applicable) no remediation of identified cases</p> | <p>Written remediation procedure in place AND measures on providing remediation included AND (if applicable) remediation of identified cases</p> | <p>RANK 3 AND suppliers, buyers and/or public authorities, non-governmental organisations, human rights and expert environmental groups engaged</p> | | <p>1</p> | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|-----------|-----------------|----------|--|--|---|---|--------|--------|----------|---------------|
| 3.3.5 | Trader | 7.3.0.06 | (Medium and large traders who are first buyers) (Applicable as of 1 January 2025) (For traders certified before 1 January 2025 applicable only as of 1 January 2027) You share information about your prevention, mitigation and remediation activities with your Fairtrade producers (at least one producer), and invite producers to share theirs in order to reach a common agreement on collaboration and support | Information about prevention, mitigation and remediation activities not shared with any Fairtrade producer AND producers not invited to share their activities | Information about some prevention, mitigation and remediation activities shared with at least one Fairtrade producer, BUT producers not invited to share their activities | Information about prevention, mitigation and remediation activities shared with at least one Fairtrade producer AND producers invited to share their activities | | | 3 | C |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|-----------|-----------------|------------|--|--|--|--|--------|--------|----------|---------------|
| 3.3.6 | Trader | 7.3.0.07 | <p>(Large traders) (Applicable as of 1 January 2025) (For traders certified before 1 January 2025 applicable only as of 1 January 2027) (Not applicable to cocoa)</p> <p>You support at least one Fairtrade producer with their efforts and the costs of prevention, mitigation and remediation. You agree on a mutually acceptable type of support. Your support is either direct or through a partnership.</p> <p>You do not put pressure on producers to accept the type of support, nor do you make this support a condition of purchase</p> | <p>No Fairtrade producer supported AND no agreement on mutually acceptable type of support AND pressure put on producers AND support made as condition of purchase</p> | <p>At least one Fairtrade producer supported BUT no agreement on mutually acceptable type of support AND pressure put on producers AND support made as condition of purchase</p> | <p>At least one Fairtrade producer supported AND agreement on mutually acceptable type of support AND no pressure put on producers AND support not made as condition of purchase</p> | | | 3 | C |
| | | 7.4 | | | | | | | | |

| Reference | Applicable for: | CC No. | FLOCERT Compliance Criteria | Rank 1 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Time | Criteria Type |
|-----------|-----------------|----------|---|--|--|---|---|--------|----------|---------------|
| 3.4.1 | Trader | 7.4.0.01 | <p>(Applicable as of 1 January 2025) (For traders certified before 1 January 2025 applicable only as of 1 January 2027) You define and implement annual measures to track the implementation and effectiveness of your due diligence activities.</p> <p>You amend and improve your HREDD policies, actions plans and activities according to results and lessons learned.</p> <p>You report key lessons learned to your senior management annually.</p> | <p>No annual tracking measures defined and implemented AND/OR HREDD policies, actions plans and activities not amended and improved according to results and lessons learned AND/OR key lessons learned not reported to senior management annually</p> | <p>Annual tracking measures defined and implemented BUT HREDD policies, actions plans and activities not amended and improved according to results and lessons learned OR key lessons learned not reported to senior management annually</p> | <p>Annual tracking measures defined and implemented AND HREDD policies, actions plans and activities amended and improved according to results and lessons learned AND key lessons learned reported to senior management annually</p> | <p>RANK 3 AND feedback sought from suppliers and other internal and external sources AND particular attention given to impacts on vulnerable groups or people</p> | | 3 | C |