



FLOCERT GmbH  
Public compliance Criteria List - Certified under Special Conditions TC

Reference	Applicable	CC N°	Compliance Criteria	Rank 1	Rank 2	Rank 3	Rank 4	Rank 5	Time	CC Type	Interpretation note	Audit type	Country	Product	Dependant checkpoint
0			Preparation Questions												
0			Preparation Questions												
NA		0.0.0.01	Is the customer Price and/or Premium Payer or Conveyor?						0	S		Additional Entity Audit;	ALL	ALL	
NA		0.0.0.02	Can physical traceability be checked during the audit of the site?						0	S		Additional Entity Audit;	ALL	ALL	
NA		0.0.0.03	Does the customer implement Mass Balance?						0	S		Additional Entity Audit;	ALL	Cane sugar;	
NA		0.0.0.04	Does the customer trade/manufacture composite products or composite ingredients?						0	S		Additional Entity Audit;	ALL	ALL	
NA		0.0.0.06	(Applicable if you are an auditor authorized for trader production audits.) Is there evidence of violations related to the Trader Standard Production chapter?						0	S		Additional Entity Audit;	ALL	ALL	
NA		0.0.0.07	Is the customer categorized as small licensee?						0	S		Additional Entity Audit;	ALL	ALL	
NA		0.0.0.08	Has the customer signed a licensee agreement with a National Fairtrade Organization (NFO) and is entitled to sell under the 'Point of Sale' scheme?						0	S	Additional information: The 'Point of Sale' scheme, applied by certain National Fairtrade Organizations (NFO), allows that Fairtrade-certified customers can sell unfinished Fairtrade products to non-certified customers. Certified traders have to sign a licensee agreement with the responsible NFO and only are allowed to sell to registered 'Point of Sale' customers only.	Additional Entity Audit;	ALL	Cocoa; Coffee; Flowers and Plants; Tea	
1			General Requirements												
1.1			Right to Trade Fairtrade Products												
1.1.1	Trader	1.1.0.01	You have a valid FLOCERT permission to trade or a valid FLOCERT certificate for each product bought and/or sold as Fairtrade.	No	1.1.0.01	You have a valid FLOCERT permission to trade or a valid FLOCERT certificate for each product bought and/or sold as Fairtrade.	Yes		0	M		Focused audit; Initial Audit;	ALL	ALL	
1.1.2	Trader	1.1.0.02	You accept announced and unannounced audits of your premises, including additional entities, by providing access to all the sites that are relevant for the audit, making available responsible staff for the audits and providing all information that is necessary to verify compliance.	Entry to the premises was denied.	1.1.0.02	You accept announced and unannounced audits of your premises, including additional entities, by providing access to all the sites that are relevant for the audit, making available responsible staff for the audits and providing all information that is necessary to verify compliance.	The information provided was not adequate to complete the audit OR access to certain required document, sites or interviewees was denied OR responsible staff were not available to provide the information needed to check compliance.	The information, support and access to site were adequate to complete the audit.	0	M	There was proper preparation for audit. All required documents, staff and access to sites were provided and the audit was facilitated such that, the auditor could make independent, quick and easy assessment of compliance/non compliance and confidential interviews were possible.	Additional Entity Audit;	ALL	ALL	
1.1.3	Trader	1.1.0.05	(Does not apply to entities that do not fall into the scope of certification) Your additional entities where Fairtrade activities take place comply with the definition of additional entity and are registered with FLOCERT (see Scope of Certification on the FLOCERT website).	All additional entities that are used to handle Fairtrade products are not registered with FLOCERT OR do not comply with the AE definition.	1.1.0.05	(Does not apply to entities that do not fall into the scope of certification) Your additional entities where Fairtrade activities take place comply with the definition of additional entity and are registered with FLOCERT (see Scope of Certification on the FLOCERT website).	Some of the additional entities that are used to handle Fairtrade products are not registered with FLOCERT OR do not comply with the AE definition.	All the additional entities that are used to handle Fairtrade products are registered with FLOCERT AND comply with the AE definition.	0	C		Additional Entity Audit;	ALL	ALL	
1.1.3	Trader	1.1.0.06	You have a contract with each additional entity that is not 100% owned by the master operator that requires compliance with the respective Fairtrade Standard, stating that FLOCERT is authorized to conduct on-site audits of the additional entity (does not apply to entities that do not fall into the scope of certification or have their own FLOCERT certification).	There are no contracts.	1.1.0.06	You have a contract with each additional entity that is not 100% owned by the master operator that requires compliance with the respective Fairtrade Standard, stating that FLOCERT is authorized to conduct on-site audits of the additional entity (does not apply to entities that do not fall into the scope of certification or have their own FLOCERT certification).	The contracts do not have all the requirements listed in this CC or some of the additional entities do not have contracts.	Complete contracts with all additional entities.	0	C		Focused audit; Initial Audit;	ALL	ALL	



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NA		0.0.0.02	Can physical traceability be checked during the audit of the site?					0	S		Additional Entity Audit; Associate audit: Focused	ALL	ALL	
1.1.4	Trader	1.1.0.07	You have purchased Fairtrade products only from Fairtrade producers or traders with a valid permission to trade or certification for the relevant product category issued by FLOCERT.	No	Yes			0	M	Applicability: Fairtrade-certified licensees buying a finished and labelled Fairtrade product with the aim of processing, re-packing and re-labelling it (double labelling), are not required to comply with this criterion, i.e. are not required to buy from a certified trader or from a licensee. However, traceability requirements as per 2.1.1 and 2.1.2 of the Fairtrade Trader Standard to enable to trace back the product to the licensee that originally labelled the product do apply to these licensees.	Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	ALL	
1.1.4	Trader	1.1.0.08	(Seed Cotton) (Applicable if the trader operates under the FSI model) You have purchased Fairtrade products only from Fairtrade producers or traders with a valid permission to trade or certification or verification issued by FLOCERT.	No	Yes			0	C		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Cotton	
1.1.5	Trader	1.1.0.09	(Not applicable for licensees under the 'Point of Sale' scheme.) Products sold as Fairtrade, not in consumer-ready packaging, are only sold to Fairtrade traders with a valid certification or permission to trade for the relevant product category issued by FLOCERT or the responsible National Fairtrade Organization (Japan, Australia and New Zealand).	No	Yes			0	C	Additional information: The 'Point of Sale' scheme, applied by certain National Fairtrade Organizations (NFO), allows that Fairtrade-certified customers can sell unfinished Fairtrade products to non-certified customers. Certified traders have to sign a licensee agreement with the responsible NFO and only are allowed to sell to registered 'Point of Sale' customers only.	Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	ALL	0.0.0.07
1.1.5	Trader	1.1.0.10	(Seed Cotton) (Applicable if the trader operates under the FSI model) FSI products not in consumer-ready packaging are only sold to Fairtrade traders with a valid verification.	No	Yes			0	C		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Cotton	0.0.0.07
1.1.6	Trader	1.1.0.11	(First buyers) (Not applicable if you purchase from contract set-ups) You purchase certified products from producer organizations and not from individual members of the organization OR you demonstrate the inability to buy from the producer organization by citing national/regional law(s) prohibiting this, or written request by the producer organization, citing the advantage to them on such an arrangement and this is accompanied by a framework contract between you and the producer organization, regulating the following: traceability, volume, price, delivery terms, payments terms and invoicing method.	The trader buys from the individual members, without establishing reasons on why it is not able to buy from the producer organization as given in the CC.	The framework contract required as per the CC is incomplete on details given in this CC.	The trader buys from the individual members, but is able to establish the reasons for its inability to buy from the producer organization as given in this CC.	Rank 3 and the trader provides periodic updates of the purchase of the organization with a copy of the purchase documents.	The trader only buys from the producer organization.	0	C	Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	ALL	0.0.0.01
1.1.7	Trader	1.1.0.12	(Only applicable if you were suspended since the last audit and/or any of your suppliers/buyers was suspended) You do not sign new Fairtrade contracts if you are suspended OR sign new contracts with suspended trade partners. For existing trade partners (suppliers/buyers) with whom you have had at least one Fairtrade business transaction in the 12 months preceding the suspension, you are allowed to sign new contracts within the suspension period. The volume (of new contracts signed during the suspension period), however, is limited to 50% of the total volume (with each partner) traded in the 12 months preceding the suspension.	The company exceeded volumes of the contracts allowed during suspension OR signed contracts with new trade partners.		The company has not exceeded volumes allowed during suspension NOR signed contracts with new trade partners.		0	C		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	ALL	
1.1.7	Trader	1.1.0.13	(Only applicable if you were suspended since the last audit and/or any of your suppliers/buyers was suspended) You have fulfilled existing Fairtrade contracts during the suspension period.	You have not fulfilled the contract, without the consent of your trade partner.		You have fulfilled the contract.		0	C		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	ALL	
1.1.8	Trader	1.1.0.14	(Only applicable if you were decertified in the past or any of your trading partners were/are decertified.) You stopped trading all Fairtrade products from the date of decertification even if there is a signed Fairtrade contract, but you accept Fairtrade products that were traded (delivered as per agreed INCOTERM) before the date of decertification.	No	Yes			0	C		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	ALL	
1.1.9	Trader	1.1.0.15	You designate one official contact for Fairtrade-related matters. This person keeps FLOCERT updated with contact details (including Fairtrade reporting contact) and any other relevant information that affects FLOCERT certification (new company structure, change in legal status, etc.).	No person has been designated as contact for Fairtrade related matters.	This person does not have the responsibility of compliance in the company OR does not have the adequate means to ensure compliance OR does not keep FLOCERT updated with relevant information.	Yes		0	C		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	ALL	



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NA		0.0.0.02	Can physical traceability be checked during the audit of the site?		0	S		Additional Entity Audit; Associate audit; Focused	ALL	ALL			
FLOCERT Requirement	Trader	1.1.0.16	(Applicable to Price and/or Premium Conveyors/Payers according to the following timelines: Cocoa since Q3 2017; Banana, Cane Sugar, Coffee, Flowers and Plants and Tea since Q1 2018; all other products since Q4 2018) You fulfill the following responsibilities in the assurance tool Fairtrace: 1) You report your direct Fairtrade purchases from producer organizations OR declare nothing to report (deadline: 30 calendar days after the end of each quarter) 2) You verify any other Fairtrade purchases that have been reported by your suppliers (deadline: 30 calendar days after transaction has been reported) 3) You report your Fairtrade sales OR declare nothing to report (deadline: 30 calendar days after the end of each quarter) 4) You ensure that all transactions, reported or verified, are correct.	Reporting/verification is not done.	Not all transactions are reported / verified OR transactions are not correct.	All transactions are reported / verified AND are correct.	0	C	Determining compliance: • Reporting/ verification is not done (rank 1), if there are any pending (overdue) "Reports", or "Purchases to verify" or "Sales to verify" in Fairtrace (visible when an audited company logs in to Fairtrace, under the "Home" tab). • The following are examples of incomplete or incorrect reporting/ verification of transactions (rank 2): Reports have been submitted for one product category/quarter, but not for the other(s); FT transactions sampled in the audit were reported/verified incorrectly (e.g. missing/wrong price or premium amounts); Fairtrade transactions are missing in a certain report in Fairtrace, when checked against the audited company's internal documentation. • In the event that the audited company had Fairtrade transactions yet was unable to verify these in Fairtrace due to a lack of reporting by their seller, the audited company is considered compliant with this requirement (rank 3) • Companies that already report sales of finished and labelled Fairtrade products into CONNECT do not have to report these sales in Fairtrace.	Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Banana; Cane sugar; Carbon Credits; Cereals; Cocoa; Coffee; Cotton; Dried fruit; Dried vegetables; Flowers and Plants; Fresh fruit; Fruit juices; Gold & associated Precious Metals; Herbs, herbal teas & spices; Honey; Nuts; Oilseeds and Oleaginous fruit; Pulp; Rice; Sports Balls; Tea; Timber; Vegetables;	0.0.0.01
FLOCERT Requirement	Trader	1.1.0.17	(Applicable to all non-payers as of Q1 2019) You fulfill the following responsibilities in the assurance tool Fairtrace: 1) You report your Fairtrade sales OR declare nothing to report (deadline: 30 calendar days after the end of each quarter) 2) You verify your Fairtrade purchases that have been reported by your suppliers (deadline: 30 calendar days after transaction has been reported) 3) You ensure that all transactions, reported or verified, are correct.	Reporting / verification is not done.	Not all transactions are reported / verified OR transactions are not correct.	All transactions are reported / verified AND are correct.	0	C	Determining compliance: • Reporting/ verification is not done (rank 1), if there are any pending (overdue) "Reports", or "Purchases to verify" or "Sales to verify" in Fairtrace (visible when an audited company logs in to Fairtrace, under the "Home" tab). • The following are examples of incomplete or incorrect reporting/ verification of transactions (rank 2): Reports have been submitted for one product category/quarter, but not for the other(s); FT transactions sampled in the audit were reported/verified incorrectly (e.g. wrong volumes); Fairtrade transactions are missing in a certain report in Fairtrace, when checked against the audited company's internal documentation. • In the event that the audited company had Fairtrade transactions yet was unable to verify these in Fairtrace due to a lack of reporting by their seller, the audited company is considered compliant with this requirement (rank 3) • Companies that already report sales of finished and labelled Fairtrade products into CONNECT do not have to report these sales in Fairtrace.	Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Banana; Cane sugar; Cereals; Cocoa; Coffee; Cotton; Dried fruit; Dried vegetables; Flowers and Plants; Fresh fruit; Fruit juices; Gold & associated Precious Metals; Herbs, herbal teas & spices; Honey; Nuts; Oilseeds and Oleaginous fruit; Pulp; Rice; Sports Balls; Tea; Timber; Vegetables; Wine grapes	
FLOCERT Requirement	Trader	1.1.0.18	(Only applicable for licensees under the 'Point of Sale' scheme) (Coffee, Cocoa, Flowers, Tea) Products sold as 'Fairtrade Point of Sales', not in consumer-ready packaging, are only sold to companies registered as 'Point of Sale' customers by the responsible National Fairtrade Organization (NFO).	No		Yes	0	C	Additional information: It is the onus of the certified licensee to demonstrate that the 'Point of Sale' customers are registered with the respective NFO.	Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Cocoa; Coffee; Flowers and Plants; Tea	0.0.0.08
<p><b>1.2 Use of Fairtrade Trademark</b></p>													
1.2.1; 1.2.2	Trader	1.2.0.01	(Only applicable if you have used any FAIRTRADE Mark or any other reference to Fairtrade as defined in the Fairtrade Standard on a finished or unfinished product, on any packaging or in any other communications.) You have a valid written contract with a National Fairtrade Organization or with Fairtrade International with details on the use of the Mark and you have written artwork approval (prior to use) for any use of the FAIRTRADE Mark or reference to Fairtrade on all product and promotional artwork.	Not contract nor artwork approval available.	There is a contract with the NFO / Fairtrade International but no artwork approval OR artwork but not for all products / promotional material.	Contract AND artwork approval for all Fairtrade Mark use available.	0	C		Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	ALL	



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NA		0.0.0.02	Can physical traceability be checked during the audit of the site?				0	S		Additional Entity Audit; Associate audit; Focused	ALL	ALL		
1.2.2	Trader	1.2.0.02	(Applicable if you are packing for a licensee) You have a copy of the applicable artwork approval.	No	Yes	Rank 3 and for cases, the trader has out sourced the packing to another entity in the supply chain, the trader has given a copy of the approval on use of the Mark to the packing entity.	0	C		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	ALL	0.0.0.07	
1.2.3	Trader	1.2.0.03	(Not applicable to coffee and banana) (Only applicable if you make claims on sourcing for FSI) All off-pack claims made on the sourcing of Fairtrade commodities (for example, as covered by the Fairtrade Sourced Ingredient (FSI) model are verified by a National Fairtrade Organization or Fairtrade International or a designated agent before these claims are communicated publicly.	No	Yes		0	C		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Cane sugar; Carbon Credits; Cereals; Cocoa; Cotton; Dried fruit; Dried vegetables; Flowers and Plants; Fresh fruit; Fruit juices; Gold & associated Precious Metals; Herbs, herbal teas & spices; Honey; Nuts; Oilseeds and Oleaginous fruit; Pulp; Rice; Sports Balls; Tea; Textile; Timber; Vegetables; Wine grapes		
Gold 2.4.3	Trader	1.2.0.04	(Gold) The label and/or stamp on the final consumer product clearly indicates that the precious metal is certified as Fairtrade Gold, Silver or Platinum, as defined in the Fairtrade International labelling rules for precious metals.	No	Yes		0	C		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Gold & associated Precious Metals		
Gold 2.2.2	Trader	1.2.0.05	(Gold) (Applicable if you sell final consumer products) You only use the Fairtrade Mark on a final product for which you have authorization by Fairtrade International or a National Fairtrade Organization.	No	Yes		0	C		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Gold & associated Precious Metals		
Gold 2.4.2	Trader	1.2.0.06	(Gold) (Applicable if you purchase Fairtrade Precious Metal under the Gold Sourcing Program) You conform with the labelling and communications policies stipulated in any contractual agreement with Fairtrade International or a National Fairtrade Organization.	No	Yes		0	C		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Gold & associated Precious Metals		
<b>1.3 FLOCERT Requirements</b>											<b>remote</b>			
FLOCERT requirement	Trader	1.3.0.01	All non-conformities of the previous audit have been corrected.	No actions taken to comply with the last NC as accepted in the CM or similar NC noticed in the current audit.	Some actions have been taken on previous NC, however, it falls short of full compliance.	Adequate actions taken to ensure compliance to the NC observed in the last audit.	Rank 3 and the organization has done a root cause analysis and measures have been put in place to avoid recurrence.	1	M		Focused audit; Remote Focused Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	ALL	
FLOCERT requirement	Trader	1.3.0.03	(Only applicable if you have received a complaint related to compliance with requirements of the applicable Fairtrade Standards or a Fairtrade product) You handle and document all complaints and follow-up actions relating to compliance with requirements of the applicable Fairtrade Standards. These records are made available to the auditor.	There are complaints received, which have not been followed up and documented as per this CC OR these have not been made available to the auditor.	Although there is a written procedure for complaint management, the complaint has not been followed up and documented OR these have not been made available to the auditor.	There is a proper documentation of the actions taken on the complaints and its follow up and is made available to the auditor.	There is a written procedure for complaint management and the complaints are handled as per the procedure and these are documented including the follow up and is made available to the auditor.	1	C	Additional information: Required as per ISO 17065 Fairtrade certified companies must keep records of any complaints submitted to them by trade partners about their Fairtrade products not being compliant with the Fairtrade Standards. Actions taken to address the complaints must also be recorded. Applicability: This requirement does not apply, if no complaints were filed in the audited period.	Focused audit; Remote Focused Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	ALL	



NA		0.0.0.02	Can physical traceability be checked during the audit of the site?				0	S		Additional Entity Audit; Associate audit; Focused	ALL	ALL
FLOCERT Requirement	Trader	1.3.0.04	(Applicable if you have been suspended since the last audit) Upon suspension of Fairtrade certification, you have discontinued the use of all advertising matter that contains any reference to your certified status.	No	Yes		1	C	Required as per ISO 17065 requirements.  Determining compliance: Fairtrade certified companies may not refer to their Fairtrade certified status in advertising or in communication with other clients while their certification status is suspended. This type of action will be considered non-compliant. The Fairtrade certified companies may however continue to include a reference to Fairtrade certification on their website during suspension of their certification. This will be considered compliant.	Focused audit; Remote Focused Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	ALL
FLOCERT Requirement	Small Licensee	1.3.0.05	(Small Licensee) You comply with the definition of small licensees according to the FLOCERT Small Licensee definition.	No	Yes		0	C		Focused audit; Initial Audit; Remote Focused Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	ALL
FLOCERT Requirement	Trader	1.3.0.07	You have a countersigned copy of the latest version of the FLOCERT certification contract (version 46 or newer).	No contract available.	Contract available but not all parties have signed OR not the latest version.	Yes	0	C	Due to a ISO 17065 requirement FLOCERT had to amend its certification contract. The audit serves as an additional means to check if all customers have the current version.	Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	ALL
FLOCERT Requirement	Trader	1.3.0.08	(Trader Corporate Certification) You comply with the conditions of Trader Corporate Certification according to the FLOCERT Explanatory Document.	No	Yes		0	C		Unannounced Audit; Focused audit; Initial Audit; Remote Focused Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	ALL
FLOCERT requirement	Trader	1.3.0.02	(Only applicable if you are benefitting from an exception) You have applied for an exception and the conditions of granted exceptions are met.	No	Yes		0	C		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	ALL
FLOCERT Requirement	Non-Payer, Premium Conveyor, Premium Payer, Price Conveyor, Small Licensee, Trader	1.3.0.09	(Ivory Coast ) (Cocoa) You comply with all obligations made by the Conseil du Café Cacao (CCC) and their regulations (décrets) as they apply to sustainable cocoa.	There is a violation of the regulations.	The organisation cannot demonstrate full compliance with the regulations.	The organisation complies with the regulations of the CCC and its undertakings related to sustainable cocoa.	0	M	Determining compliance: This refers to any obligations for accreditation with the CCC and supplying of sustainable cocoa as issued by the CCC from time to time and includes but is not limited to: allowing the CCC access for control checks, reporting requirements to the CCC; using only trainers accredited by the CCC; additional contractual obligations to members beyond those of this standard, not having members in protected areas or sourcing cocoa from protected areas; setting aside premium for purchase of fertilizers and realising social projects.	Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	Ivory Coast	Cocoa
<b>2 Trade</b>											<b>remote</b>	
<b>2.1 Traceability</b>											<b>remote</b>	
<b>2.1.1 Documentary Traceability Requirement</b>											<b>remote</b>	
2.1.1.	Trader	2.1.1.01	You clearly identify all Fairtrade products as Fairtrade in all trade documentation (e.g. invoices, delivery notes and purchase orders), including all relevant documentation sent to and received from the additional entity.	Fairtrade references (i.e. term "Fairtrade" or similar terms) are missing in all documents.	Fairtrade references are identifiable but are incomplete. Fairtrade references exist, but not in all purchase and sales documentation. (i.e. Term "Fairtrade" or similar in invoices BUT it is not possible to find a reference in other documents).	Fairtrade references are identifiable and complete in all purchase and sales documentation. ( i.e. term "Fairtrade" or similar on all invoices AND it is possible to find a reference in other documents.)	0	M	Fairtrade references are recorded and kept up-to-date within the ERP system (management - system in place). (i.e. Term "Fairtrade" or similar consistently in all contracts, invoices, B/L, delivery notes, packing lists, etc.).	Additional Entity Audit; Associate audit; Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	ALL



NA	0.0.0.02	Can physical traceability be checked during the audit of the site?						0	S		Additional Entity Audit; Associate audit; Focused	ALL	ALL		
2.1.1.	Trader	2.1.1.02	Your Fairtrade related documents allow tracing the following information:  • the name and FLO-ID of the traders involved in a Fairtrade transaction (FLO-ID of the supplier and FLO-ID of the buyer) • the applicable dates of the transaction;  • the quantities and physical form of the product when transacted (purchase and sale);  • the details of Fairtrade Price and Fairtrade Premium and pre-financing (only applicable to transactions between producer organizations, conveyors and payers)	All details are missing.	Some of the listed requirements are missing in all or some transactions.	All details are documented and can be traced.	All details are included in a database and directly linked to Fairtrade article descriptions.	Details are included in the database and directly linked to Fairtrade article descriptions and are fully up-to-date. (Details of the complete supply chain are mentioned in purchase and sales documentation.)	0	C	Determining compliance: Certified companies are compliant with this requirement, if the required information is available and traceable. Certified companies are not required to include these pieces of information in every single piece of documentation that pertains to the transactions and/or trading partners. Applicability: This requirement also applies to manufacturers selling finished products to licensees, in order to document the party that has the license to release the product in the market with the Fairtrade label.	Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	ALL	
Gold 2.1.3	Trader	2.1.1.03	(Gold) You additionally indicate the following in the trade documents  • alterations performed and relevant yields  • disposals	All details are missing.	Some of the listed requirements are missing in all or some transactions.	The details can be tracked during audit.	These details are included in a database a directly linked to Fairtrade article descriptions.	Details are included in a database a directly linked to Fairtrade article descriptions and are fully up-to-date. (Details of the complete supply chain are mentioned in purchase and sales documentation.)	0	C	Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Gold & associated Precious Metals	0.0.0.07	
2.1.1.	Premium Conveyor, Price Conveyor, Trader	2.1.1.04	(Conveyor) When mixing and selling product from more than one producer organization, conveyors clearly indicate in written format to the buyer the percentage composition of the product from each producer organization.	No		Yes			0	C	Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	ALL	0.0.0.01	
2.1.1./ FLOCERT requirement	Trader	2.1.1.05	You ensure that Fairtrade certified products sold as non-Fairtrade clearly indicate that the product is not Fairtrade by removing any existing reference to Fairtrade on product, packaging or documents or where not possible by clearly indicating to your customer that the product is not Fairtrade.	Term "Fairtrade" or similar is associated with non Fairtrade sales in all documents/packing etc.	Term "Fairtrade" or similar has been removed from invoices/packaging/products BUT it is possible to find references in other documents OR term "Fairtrade" has been mentioned in some invoices.	Term "Fairtrade" has been removed in all invoices, product, packaging AND other purchase and sales documents OR where it is not possible clear indication is given to the buyer that the sale is not Fairtrade.			0	M	Additional information: - Sales to customers registered with Fair Trade USA are to be considered as non-FT sales. - Sales under the 'Fairtrade Point of Sale' scheme are to be considered as Fairtrade sales, as long as the required terminology ('Fairtrade Point of Sale') is used on sales documentation (see requirement 2.1.1.18).	Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	ALL	
2.1.1./FLOC ERT requirement	Trader	2.1.1.06	You do not imply, directly or indirectly, that an ordinary sale is in any way associated with sales of Fairtrade certified products.	Clear linking of ordinary sale with Fairtrade certified products is noticed.		There is no evidence of a linkage between a Fairtrade sale and a normal sale.			0	C	Additional information: Sales under the 'Fairtrade Point of Sale' scheme are to be considered as Fairtrade sales, as long as the required terminology ('Fairtrade Point of Sale') is used on sales documentation (see requirement 2.1.1.18).	Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	ALL	
Fresh Fruit 2.1.2 (SPO), 5.1.2. (HL)	Premium Conveyor, Price Conveyor, Trader	2.1.1.07	(Oranges for juice) (Conveyor, exporter, processor) You keep records of the volumes of oranges for juice bought and processed from each producer organization, the date of delivery, and the quantity of orange juice sold.	Records of the volumes of Fairtrade oranges bought and processed from each producer organization are missing.	Records of the volumes of Fairtrade oranges bought and processed from each producer organization exist but are incomplete.	Records of the volumes of Fairtrade oranges bought and processed from each producer organization are available. Complete processing and Fairtrade sales records with a reference to sales documents.		There is a management system in place that allows records to be always up-to-date and available upon request. Complete records as part of a database of stock, processed volumes, purchases and sales and fully up to date.	0	C	Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Oranges	0.0.0.01	
Cane Sugar 4.4.3	Premium Conveyor, Price Conveyor, Trader	2.1.1.08	(Cane sugar) (Applicable to traders exporters and mills buying directly from individual farmers and if no national scheme exists) You keep delivery notes/tickets with the name of the individual cane producer, the producer organization of the individual cane producer, the volume, and the date of the delivery. You have requested confirmation of the volume of cane supplied by each producer (i.e. producer organization). In case you identify that individual cane producers deliver cane for more than one producer organization, you bring this to notice of the respective producer organizations.	Delivery notes/tickets and confirmation of volumes are not available or completely missing.	Delivery notes/tickets and confirmation of volumes are not complete or partially missing.	Delivery notes/tickets and confirmation of volumes are available.	Delivery notes/tickets and confirmation of volumes are available and clearly identifiable.	There is a management system in place that ensures that the documentation and data required are always available, correct and fully up-to-date.	0	C	Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Cane sugar	0.0.0.01	
Seed Cotton 2.1.1	Trader	2.1.1.09	(Seed cotton) (Applicable if you operate under the FSI model) Besides the Fairtrade reporting requirements set in 1.1.0.16 (Payers) or 1.1.0.17 (Non-Payers), you provide additional traceability information allowing the certification body to trace all FSI cotton sales (output) back to the corresponding Fairtrade purchases (input).	No additional traceability information provided		Additional traceability information provided			0	C	Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Cotton	0.0.0.07	



NA		0.0.0.02	Can physical traceability be checked during the audit of the site?			0	S		Additional Entity Audit; Associate audit; Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	ALL	
Seed Cotton	Trader	2.1.1.10	(Seed cotton) (Applicable to spinners for their activities under the FSI model) A purchase contract for the corresponding Fairtrade cotton lint has been signed with the ginner before the Fairtrade equivalent yarn is sent out for delivery.	No	Yes	0	C		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Cotton	0.0.0.07
Seed Cotton	Trader	2.1.1.11	(Seed cotton) (Applicable to spinners for their activities under the FSI model) The physical receipt of the Fairtrade cotton lint takes place at the latest within 6 months after the Fairtrade equivalent yarn has been sent out for delivery.	No	Yes	0	C		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Cotton	0.0.0.07
2.1.2.	Trader	2.1.1.12	You keep records of all entries, processing and sales of Fairtrade products. Records allow the certification body to trace back from any given Fairtrade output to the Fairtrade inputs.	The trader does not keep records or they are not available at the time of the audit AND/OR there are estimated excessive sales by more than 5% OR there is no system that allows calculations.	The trader keeps records, but they are incomplete or not fully available at the time of the audit AND/OR There are estimated excessive sales by 1-5%.	The trader keeps records and makes them available to the auditor during the audit. Records are correct. No excessive sales except possible mistakes estimated up to 1% of sales.	The trader keeps records and makes them available to the auditor during the audit. Records are correct. No excessive sales with no mistakes.	There is a management system in place that easily allow the certification body to trace back from any given Fairtrade output to the Fairtrade inputs, including alterations performed, relevant recipes and yields. The trader keeps records and makes them available to the auditor during the audit. Records are always correct. No mix up of product and no mistakes and compliant with sourcing record system.	Associate audit; Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	ALL	
2.1.13	Trader	2.1.1.14	(As of 01/01/2018) (Cocoa, cane sugar, fruit juices & pulp/puree, tea) (Applicable only if you are selling raw material and/or semi-finished products) You indicate in your sales documentation whether the product was segregated (physically traceable) or traded under mass balance.	No indication can be found in the sales documentation (contract or invoice, or delivery note).	A clear indication can be found in the sales documentation (contract, or invoice, or delivery note).	0	C	Applicability: This requirement also applies to companies that buy semi-finished Fairtrade ingredients that contain cocoa, cane sugar, fruit juices & pulp/puree or tea as an ingredient.	Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Cane sugar; Cocoa; Fruit juices; Pulp; Tea	0.0.0.07
FLOCERT Requirement	Trader	2.1.1.15	(Cocoa, cane sugar, fruit juices & pulp/puree, tea) (Applicable only if you are buying raw material and/or semi-finished products) You indicate in your purchase documentation whether the product is traded as segregated (physically traceable) or under mass balance.	No indication can be found in the purchase documentation (contract or purchase order).	A clear indication can be found in the purchase documentation.	0	C	See above Applicability: This requirement also applies to companies that buy semi-finished Fairtrade ingredients that contain cocoa, cane sugar, fruit juices & pulp/puree or tea as an ingredient.	Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Cane sugar; Cocoa; Fruit juices; Pulp; Tea	
FLOCERT Requirement	Non-Payer, Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Small Licensee, Trader	2.1.1.16	(Applicable only if you are selling Fairtrade food composite ingredients or Cotton / Textiles) You indicate in your sales documentation under which program (food composite ingredients: ATCB or FSI) (Cotton: ATCB, FSI or Textiles) the product is traded.	No indication can be found in the sales documentation (contract, or invoice, or delivery note).	A clear indication can be found in the sales documentation (contract, or invoice, or delivery note).	0	C	Additional information: The use of the abbreviations ATCB and/or FSI is recommended. If neither ATCB nor FSI is mentioned, it is understood as being traded as ATCB.	Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Cane sugar; Carbon Credits; Cereals; Cocoa; Cotton; Dried fruit; Dried vegetables; Flowers and Plants; Fresh fruit; Fruit juices; Gold & associated Precious Metals; Herbs, herbal teas & spices; Honey; Nuts; Oilseeds and Oleaginous fruit; Pulp; Rice; Sports Balls; Tea; Textile; Timber; Vegetables; Wine grapes	0.0.0.04



NA		0.0.0.02	Can physical traceability be checked during the audit of the site?					0	S		Additional Entity Audit; Associate audit; Focused	ALL	ALL	
Herbs & herbal teas (HL) 5.1.1	Non-Payer, Premium Conveyor, Payer, Price Conveyor, Price Payer, Small Licensee, Trader	2.1.1.17	(Dried herbs) (Only applicable if you sell or buy dried herbs grown by Hired Labour companies) You clearly indicate on purchase and sales documentation that these herbs are 'eligible for tea products only'.	No indication can be found in the sales documentation (contract, or invoice, or delivery note).	A clear indication can be found in the sales documentation (contract, or invoice, or delivery note).			0	C		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Herbs, herbal teas & spices	
FLOCERT Requirement	Trader	2.1.1.18	(Only applicable for licensees under the 'Point of Sale' scheme) (Coffee, Cocoa, Flowers, Tea) You clearly identify all Fairtrade 'Point of Sale' sales as 'Fairtrade Point of Sale' on all sales documentation (e.g. sales contracts, sales invoices, delivery notes).	'Fairtrade Point of Sale' references are missing on all sales documentation	'Fairtrade Point of Sale' references are identifiable but are incomplete. 'Fairtrade Point of Sale' references exist, but not on all sales documentation. (i.e. term 'Fairtrade Point of Sale' on invoices BUT it is not possible to find a reference in other documents)	'Fairtrade Point of Sale' references are identifiable and complete on all sales documentation	'Fairtrade Point of Sale' references are recorded and kept up-to-date within the ERP system (i.e. term 'Fairtrade' or 'Fairtrade Point of Sale' on all sales documentation)	0	C	Additional information: Abbreviations such as 'FT PoS' are allowed, as long as they are clearly identifiable and linked to 'Fairtrade Point of Sale' sales.	Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Cocoa; Coffee; Flowers and Plants; Tea	
<b>2.1.2 Physical Traceability Requirements</b>														
<b>2.1.3 Mass Balance Requirements</b>														
2.1.8	Trader	2.1.3.01	(Cocoa, cane sugar, fruit juices & pulp/puree and tea (camellia sinensis) traders, refined gold trading activities under the Gold Sourcing Programme and for activities carried out under FSI cotton model after ginning stage) You ensure that your mass balance is positive, i.e. -- the amount of outputs sold as Fairtrade is not more than the amount of inputs sourced as Fairtrade taking into account the processing yields and all losses (decreases in weight); -- the Fairtrade inputs are purchased before the sale of the Fairtrade outputs	Mass balance went repeatedly negative or there is no system that allows calculations. There are estimated excessive sales by more than 5%.	Mass balance went negative on limited occasions. There are estimated excessive sales by 1-5%.	Mass balance is positive or might have gone negative in a certain month, but overall the balance is still positive.	Mass balance is always positive and easy to calculate. No excessive sales with no mistakes.	Mass balance is always positive and easy to calculate. Mass balance worksheets are constantly up-to-date (management system in place). No mix up of product and no mistakes and compliant with sourcing record system.	0	M	Additional information: Traders may include only those purchases into the Mass Balance calculation, that were delivered within a 3 months period after the contract was closed. In case of framework contracts, invoices serve as evidence for purchase.	Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Cane sugar; Cocoa; Cotton; Fruit juices; Gold & associated Precious Metals; Pulp; Tea
2.1.10	Trader	2.1.3.03	(Single Site Mass Balance) (Cocoa, cane sugar, fruit juices & pulp/puree and tea (camellia sinensis) traders, refined gold trading activities under the Gold Sourcing Programme and for activities carried out under FSI cotton model after ginning stage with no physical traceability.) You receive and process Fairtrade inputs at the same site where the Fairtrade output is processed.	No	Yes			0	C		Associate audit; Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Cane sugar; Cocoa; Cotton; Fruit juices; Gold & associated Precious Metals; Pulp; Tea	
2.1.11	Trader	2.1.3.04	(Cocoa, cane sugar, fruit juices & pulp/puree and tea (camellia sinensis) traders, refined gold trading activities under the Gold Sourcing Programme and for activities carried out under FSI cotton model after ginning stage with no physical traceability.) You ensure that Fairtrade inputs are of the same kind and quality as the inputs used to process the Fairtrade output (like for like).	No	Yes			0	C	Additional information: In the case of Fairtrade cotton, 'like for like' is defined as follows: The input must have the same characteristics as the output regarding variety, status, and staple length. However, at the spinner level, a higher staple length Fairtrade cotton input used as a lint input may be balanced with a lower staple length used as a yarn output. However, a lower staple length lint cannot be balanced with a higher staple length yarn.	Associate audit; Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Cane sugar; Cocoa; Cotton; Fruit juices; Gold & associated Precious Metals; Pulp; Tea	
Cane Sugar 2.1.2	Trader	2.1.3.05	(Cane sugar) (Applicable if you sell white refined sugar under Mass Balance and mix or substitute cane sugar with beet sugar) You clearly indicate in your sales documentation that the sugar sold as Fairtrade is made from beet sugar or is a mix of beet and cane sugar.	No	Yes			0	C		Associate audit; Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Cane sugar	





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NA		0.0.0.02	Can physical traceability be checked during the audit of the site?					0	S		Additional Entity Audit; Associate audit; Focused	ALL	ALL
2.1.12	Trader	2.1.3.06	(Until December 31st 2017) (Cane sugar, Cocoa except first buyer in country of origin) You have notified FLOCERT of the interest to have Group Mass Balance before implementing it and you keep FLOCERT informed of all the sites involved as well as of the products and volumes processed at these sites.  (As of 01/01/2018) You have obtained permission from FLOCERT to apply Group Mass Balance before implementing it and for any changes in the sites involved in the Group Mass Balance and you ensure that the below conditions are met: - All sites involved in GMB belong to the same group. - There is one central administration site where all relevant information on purchases and sales is available. - You have a system in place that centralizes Fairtrade purchase and sales information and enables verifying that the Fairtrade output sold by the group does not exceed the equivalent Fairtrade input purchased by the group.	No	Permission has been granted but the condition for GMB are not met (anymore).	Yes		0	C		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Cane sugar; 0.0.0.03 Cocoa
Cocoa 2.1.1	Trader	2.1.3.10	(Cocoa) You apply following conversion rates for mass balance cocoa in the direction that is physically possible (beans to liquor, liquor to butter and powder):  1 MT beans -> 0.82 MT liquor 1 MT liquor -> 0.5 MT butter and 0.5 MT powder 1 MT beans -> 0.41 MT butter and 0.41 MT powder	Current conversion rates are not applied.	Current conversion rates are still not applied or fully applied but the trader started a transition phase.	Current conversion rates are applied or transition is being finalized.	Current conversion rates have been applied CORRECTLY since the date of announcement.	0	C		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Cocoa 0.0.0.03
Sugar 2.1.3	Trader	2.1.3.11	You do not use genetically-modified beet sugar in a Fairtrade product.	No		Yes		0	C		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Cane sugar 0.0.0.03
Cocoa 2.1.2	Trader	2.1.3.12	(As of 01/01/2018) (Cocoa) (Mass balance) You sell the Fairtrade equivalent output within 3 years of purchasing the Fairtrade input.	No		Yes		0	C		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Cocoa 0.0.0.03
Cocoa 2.1.3 & 2.1.4	Trader	2.1.3.13	(As of 01/01/2018) (Cocoa) (Applicable if you sell cocoa outputs with a claim regarding a specific category, status or origin) You have purchased the equivalent cocoa input with the same or higher specifications (standard vs. fine flavour; conventional vs. organic) and/ or from the same origin (as indicated in the purchase documentation).	No purchase of equivalent Fairtrade cocoa input OR the specifications regarding category, status and/ or origin do not match.		The equivalent Fairtrade cocoa input has been purchased.		0	C	Additional information: An origin claim for chocolate is possible if only the cocoa liquor is from that particular origin. The cocoa butter as well as all non-cocoa ingredients can be sourced from any origin. The decision on an origin claim for a consumer-ready chocolate bar lies with the responsible NFO or Fairtrade International.	Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Cocoa 0.0.0.03
Cocoa 2.1.6	Trader	2.1.3.14	(As of 01/01/2018) (Cocoa) (Applicable in case of multi certification) If you purchase a certain volume of cocoa beans or semi-processed cocoa as "dual" or "multi-certified" under Fairtrade as well as under additional sustainability certification schemes, and sell it under a certification scheme other than Fairtrade, then you do not sell the same volume as Fairtrade certified cocoa.	There are clear indications that the same cocoa volume has been sold as Fairtrade.		No indication that the same volume has been sold as Fairtrade.	Rank 3 AND there is a system in place to make sure there are no multiple sales of certified product.	0	C	Additional information: This does not include organic certification. Sales as "Fairtrade organic" are common.	Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Cocoa 0.0.0.03
<b>2.2 Product Composition</b>											<b>remote</b>		



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NA		0.0.0.02	Can physical traceability be checked during the audit of the site?			0	S		Additional Entity Audit; Associate audit: Focused	ALL	ALL	
2.2.1 and 2.2.4	Trader	2.2.0.01	(Food composite ingredients & Food composite products) (Not applicable for FSI) You ensure that food composite ingredients and food composite products contain as many Fairtrade ingredients as available unless you have been granted an exception.	Some ingredients, for which Fairtrade standards exists, have been used in its conventional form and no exception have been granted. (ATCB all that can be - Any product or ingredient for which Fairtrade standards exists should be made or consist of only Fairtrade certified products or ingredients).	ATCB is not fully and correctly applied, i.e. only one ingredient is not Fairtrade or special quality specs are needed but no exception has been granted.	0	C	ATCB is correctly applied or a valid exception has been granted either by FLOCERT or by a National Fairtrade Organization and approval forms are available during the audit.	Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Banana; Cane sugar; Cereals; Cocoa; Coffee; Dried fruit; Dried vegetables; Fresh fruit; Fruit juices; Herbs, herbal teas & spices; Honey; Nuts; Oilseeds and Oleaginous fruit; Pulp; Rice; Sports Balls; Tea; Timber; Vegetables; Wine grapes	0.0.0.04
2.2.2	Trader	2.2.0.02	(Food composite products) (Not applicable for FSI) Food composite products contain at least 20% Fairtrade content. You express the percentages of the Fairtrade ingredient/s in weight (or volume) relative to the total weight (or volume) of all the initial ingredients before processing. For products with >50% added water or dairy, you are allowed to exclude all added water and/or dairy from the percentage calculations. This also applies to juices from concentrate but not fresh juices.	No	Yes	0	C	Additional Information: Powdered milk is considered dairy and can thus be excluded from the percentage calculation if more than 50 % of the volume/weight is powder milk.	Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Banana; Cane sugar; Cereals; Cocoa; Coffee; Dried fruit; Dried vegetables; Fresh fruit; Fruit juices; Herbs, herbal teas & spices; Honey; Nuts; Oilseeds and Oleaginous fruit; Pulp; Rice; Sports Balls; Tea; Timber; Vegetables; Wine grapes	0.0.0.04
2.2.3	Trader	2.2.0.03	(Food composite products) (Not applicable for FSI) You declare the minimum percentage of Fairtrade content on the back of the pack, unless this contradicts national law.	No	Yes	0	C		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Banana; Cane sugar; Cereals; Cocoa; Coffee; Dried fruit; Dried vegetables; Fresh fruit; Fruit juices; Herbs, herbal teas & spices; Honey; Nuts; Oilseeds and Oleaginous fruit; Pulp; Rice; Sports Balls; Tea; Timber; Vegetables; Wine grapes	0.0.0.04



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NA		0.0.0.02	Can physical traceability be checked during the audit of the site?			0	S		Additional Entity Audit; Associate audit; Focused audit; Initial Audit;	ALL	ALL	
Cotton 2.2.1	Trader	2.2.0.04	(Seed Cotton) All cotton in Fairtrade Cotton products is sourced originally from certified producers. Where there is insufficient availability of Fairtrade cotton combers, it is permitted to make cotton wool pass out of up to 20% non-Fairtrade cotton combers, provided that the manufacturer subsequently purchases an equivalent volume of Fairtrade cotton and uses it in the manufacture of a non-Fairtrade product. Any such substitution is clearly reported in the quarterly flow of goods report.	No	Yes	0	C		Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Cotton 0.0.0.04	
Cotton composition policy	Trader	2.2.0.05	(Seed Cotton) Fairtrade cotton makes up 50% or more of the finished weight of the fabric. For workwear and uniforms: Fairtrade cotton makes up 30% or more of the finished weight of the fabric.	No	Yes	0	C		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Cotton 0.0.0.04	
2.2.5	Trader	2.2.0.06	(Applicable for FSI for all products except cotton and gold) (Applicable to food composite ingredients & food composite products) For products sold under the FSI 100% of the relevant commodity in the product (if you apply physical traceability) or an equivalent volume (if you apply mass balance for cane sugar, cocoa, fruit juice, tea (Camellia sinensis)) is Fairtrade certified. Finished products carrying the FAIRTRADE Program Mark are composite products.	No	Yes	0	C		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Cane sugar; 0.0.0.04 Carbon Credits; Cereals; Cocoa; Cotton; Dried fruit; Dried vegetables; Flowers and Plants; Fresh fruit; Fruit juices; Gold & associated Precious Metals; Herbs, herbal teas & spices; Honey; Nuts; Oilseeds and Oleaginous fruit; Pulp; Rice; Sports Balls; Tea; Textile; Timber; Vegetables; Wine grapes	
Gold 2.2.1	Trader	2.2.0.07	(Gold) The final consumer products you sell labelled as Fairtrade and/or Fairtrade Ecological Gold, Silver or Platinum are one of the following:  • jewellery;  • coins, ingots and bullion products of commemorative and/or financial nature;  • medals and trophies;  • religious artefacts • (only for Master Licensees in the Goldsmith Registration Scheme) semi-finished jewellery components	No	Yes	0	C		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Gold & associated Precious Metals	
Gold 2.2.3	Trader	2.2.0.08	(Gold) You prove that the minimum caratage of Fairtrade certified precious metal used in your Fairtrade products complies with the national legislation of the country in which they are being sold and with the internationally recognised product description of the World Jewellery Confederation (BLUE Book - Precious Metals Book - terminology and classification).	No	Yes	0	C		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Gold & associated Precious Metals	
Gold 2.2.4	Trader	2.2.0.09	(Gold) (Applicable only in case you cannot fully source jewellery components from a certified precious metal source) You use non-certified metals only in the below listed components of the final piece of jewellery: • earring scrolls; • catches (bolt rings, trigger clasps, swivels, bayonets); • chain (as long as it does not exceed fifteen per cent of the total precious content on the finished jewellery items); • brooch backs, catches, joints and pins; • solder and alloys	There is no proof that jewellery components could not be sourced as Fairtrade AND non-certified metal is used in other than the listed items.	There is no proof that jewellery components could not be sourced as Fairtrade OR non-certified metal is used in other than the listed items.	There is proof that jewellery components could not be sourced as Fairtrade AND non-certified metal is only used in the listed items.	RANK 3 AND the trader takes effort in improving Fairtrade supply of certified jewellery components.	0	C	Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Gold & associated Precious Metals



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NA		0.0.0.02	Can physical traceability be checked during the audit of the site?					0	S		Additional Entity Audit; Associate audit; Focused	ALL	ALL	
Gold 2.2.5	Trader	2.2.0.10	(Gold) You ensure that certified precious metals constitute 100 % of the metal used in commemorative coins, ingots, medals, trophies and religious artefacts.	No approval was granted from the responsible NFO.	Approval was granted from the responsible NFO, but was not available during the audit.	Approval was granted from the responsible NFO and was made available during the audit.		0	C		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Gold & associated Precious Metals	
Flowers and plants 1.2.1	Trader	2.2.0.11	(Flowers) You ensure that all the flowers and plants to be sold as Fairtrade are Fairtrade certified. For bouquets, you ensure they contain as many Fairtrade fillers and flowers as available. Non-certified flowers and fillers which are not available as Fairtrade can be included in a Fairtrade bouquet not exceeding 50% of the total number of stems.	No	Yes	Rank 3 AND non-Fairtrade flowers are locally sourced.		0	C		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Flowers and Plants	
											remote			
											remote			
											remote			
											remote			
											remote			
											remote			
											remote			
											remote			
Flowers and Plants 4.4	Trader	3.2.0.08	(Plants) You take at least 20% of the volume of the growing media used for Fairtrade plants from alternative sources to peat.	No efforts made to use alternative sources to peat.	Peat-free alternatives used are significantly less than 20%.	At least 20% of the growing media used is peat-free.	RANK 3 AND the company has implemented measures towards further increasing peat-free growing media.	The company implemented a completely peat-free growing media.	0	C		Additional Entity Audit; Associate audit; Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Flowers and Plants
											remote			
3.2.2	Trader	3.2.0.09	3.1.6 Occupational Health and Safety You only use synthetic materials that are officially registered and permitted for the crop/product in the country of use.	No	Yes			0	C		Additional Entity Audit; Associate audit; Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Flowers and Plants	
											remote			
											remote			
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FTS 4.1.1, Fresh Fruit (SPO) 4.2.2 & 4.2.4, Fresh Fruit (HL) 5.4.2 & 5.4.4	Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader	4.1.0.01	(Payer, conveyor) You fulfil your role as Fairtrade price and/or Fairtrade Premium payer and/or conveyor as defined in Annex 1 of the Fairtrade Trader Standard or in the relevant product Standard or in an alternative arrangement (if this is allowed in Annex 1) that is agreed between all affected parties (including the producer), documented in writing and reported to the certification body.	The company is practicing an alternative arrangement, but has not agreed upon it in writing with all affected parties.	Role fulfilled as defined in the Trader Standard annex 1 OR in the relevant product Standard OR in an alternative arrangement, agreed in writing by all affected parties and reported to the certification body.	Alternative arrangement is documented in a countersigned tripartite contract, agreed upon by all affected parties AND reported to certification body.		0	M	Additional information: Independent of annex 1, alternative agreements that define the exporter as the Fairtrade price and premium payer are allowed in the case of FOB prices (as long as they fulfil all requirements). As general rules for certain product categories: - for fresh fruit & fruits for processing, the first buyer is usually the Fairtrade price and premium payer. If the producer sells to an exporter/processor, the exporter/processor may act as the premium conveyor and the importer as the premium payer. - for orange juice, the importer is always the Fairtrade price and premium payer. - for oranges for juice, the processor/exporter is the Fairtrade price and premium conveyor.	Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	ALL	0.0.0.01



NA	0.0.0.02	Can physical traceability be checked during the audit of the site?	0	S			Additional Entity Audit; Associate audit: Focused	ALL	ALL				
4.1.2; 4.1.3 Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader	4.1.0.02	(Payer, conveyor) (Not applicable to Flowers and Plants) You sign a purchase contract for Fairtrade products with the producer (or with the conveyor, if applicable). Contracts follow industry regulations, and as a minimum clearly indicate:  <ul style="list-style-type: none"> <li>• agreed volumes</li> <li>• quality specifications</li> <li>• price, defined according to the requirements of the pricing section</li> <li>• a transparent breakdown of the price and premium adjustment calculations</li> <li>• amount of Fairtrade Premium to be paid (indicated separately from the price)</li> <li>• who is responsible for paying the Fairtrade price and the Fairtrade Premium</li> <li>• the form of payment, which must be transparent, and traceable</li> <li>• the date of the exchange rate to be used in case the payment of the price and the premium is made in a different currency than the one defined in the Fairtrade price table</li> <li>• the terms and the amount of pre-finance, if applicable</li> <li>• procedures in case of quality problems</li> </ul>	No written purchase contract or purchase order exists	Written purchase contract or purchase order exists BUT is incomplete.	Written and complete purchase contract or purchase order exists BUT is not confirmed by producer/conveyor (purchase order) or not countersigned by both parties (purchase contract).	Written and complete purchase contract or purchase order exists AND is confirmed in writing by producer/conveyor (purchase order) or countersigned by both parties (purchase contract).	0	M	Additional information: <ul style="list-style-type: none"> <li>- For fresh fruits: If the Fairtrade Minimum Price includes packaging, this also includes the cost of labelling the fruit with the Fairtrade label, even if labelling is not specifically mentioned in the fresh fruit product standard.</li> <li>- For coffee: Stop loss clauses are not allowed in coffee contracts as this would overrule the price to be fixed at the seller's call clause. All contracts need to include a breakdown of the differential, clearly mentioning the prevailing differential, the organic differential (if applicable) and the Fairtrade premium.</li> </ul> Determining compliance: <ul style="list-style-type: none"> <li>- It is the responsibility of the buyer to provide the seller with a purchase contract.</li> <li>- Purchase contracts do not need to contain a clause on equal termination rights. If, however, any contract party cancels the contract unilaterally and without any valid justification, it may lead to a non-conformity.</li> </ul>	Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Banana; Cane sugar; Carbon Credits; Cereals; Cocoa; Coffee; Cotton; Dried fruit; Dried vegetables; Fresh fruit; Fruit juices; Gold & associated Precious Metals; Herbs, herbal teas & spices; Honey; Nuts; Oilseeds and Oleaginous fruit; Pulp; Rice; Sports Balls; Tea; Textile; Timber; Vegetables; Wine grapes	0.0.0.01
Prepared and preserved Fruit and Vegetables, Fresh 2.3.1 (SPO), 5.3.1 (HL) Vegetables 2.1.1 (SPO), 5.2.1 (HL)	Premium Payer, Price Payer, Trader	4.1.0.03	(Payer) (Fresh Vegetables and roots and tubers (excluding pulses), dried fruit, dried vegetables): You ensure that the contract with the producer (or with the conveyor, if applicable) includes in addition to the requirements under 4.1.0.02: <ul style="list-style-type: none"> <li>• operator's FLO ID number</li> <li>• reference to Fairtrade as an integral part of the contract</li> <li>• date of the contract</li> <li>• duration of the contract</li> <li>• product description</li> <li>• Volumes of Fairtrade products (minimum and maximum or fixed volume)</li> <li>• Reference to sourcing plans</li> <li>• Description of how the system of orders will function</li> <li>• Description of the liability of each party and the quality check procedure</li> </ul>	No written purchase contract for Fairtrade products with producer or with exporter existing.	Written purchase contract or purchase order exists BUT is incomplete.	Written and complete purchase contract or purchase order exists BUT is not confirmed in writing by producer (purchase order) or not countersigned by both parties (purchase contract).	Written and complete purchase contract or purchase order exists AND is confirmed in writing by producer (purchase order) or countersigned by both parties (purchase contract).	0	M	Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Dried fruit; Dried vegetables; Vegetables	0.0.0.01
Fresh Fruit 4.1.1 (SPO), 5.2.1 (HL)	Premium Payer, Price Payer, Trader	4.1.0.04	(Payer) (Fresh Fruit, incl. banana, oranges for juice (excluding wine grapes)) You ensure that the contract with the producer (or with the conveyor, if applicable) includes in addition to the requirements under 4.1.0.02: <ul style="list-style-type: none"> <li>• operator's FLO ID number</li> <li>• minimum volume to be purchased and delivered on a weekly basis for perennial fruits and on a seasonal basis for seasonal fruits and volume projection for the duration of the contract</li> <li>• description of how the system of orders will function (when and how weekly/ single orders are confirmed)</li> <li>• responsible party for product labelling</li> <li>• rules for dead freight</li> <li>• non-Fairtrade payment terms and price mechanism in case of short falling sales and quality problems for each product</li> <li>• if applicable, a reference to additional or special packing material and services and related costs not included in the Fairtrade minimum price (e.g. for "clusterbags" or "parallim").</li> </ul>	No written purchase contract for Fairtrade products with producer or with exporter existing.	Written purchase contract or purchase order exists BUT is incomplete.	Written and complete purchase contract or purchase order exists BUT is not confirmed in writing by supplier (purchase order) or not countersigned by both parties (purchase contract).	Written and complete purchase contract or purchase order exists AND is confirmed in writing by supplier (purchase order) or countersigned by both parties (purchase contract).	0	C	Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Banana, Fresh fruit	0.0.0.01
Coffee 2.3.3	Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader	4.1.0.05	(Payer, conveyor) (Coffee) (Only applicable if you have requested an extension of shipping schedule beyond the timings of normal commercial practices) You ensure that the costs of storage, interest and insurance are paid by you and are detailed in the contract.	(Coffee) NO payment of costs of storage, interest and insurance and NO detailed agreement on costs in the purchase contract for cases where the company has requested an extension of the shipping schedule beyond the timings of normal commercial practices.	(Coffee) Payment of costs of storage, interest and insurance and detailed agreement on costs in the purchase contract for cases where the company has requested an extension of the shipping schedule beyond the time-lines of normal commercial practices.		0	C	Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Coffee	0.0.0.01	



NA	0.0.0.02	Can physical traceability be checked during the audit of the site?					0	S		Additional Entity Audit; Associate audit; Focused	ALL	ALL	
Coffee 2.3.1	Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader	4.1.0.06 (Payer, conveyor) (Coffee) (Only applicable for Price to be fixed contract) You have ensured that for Price to be fixed Contracts, the price fixation was set at the seller's call. You have not used stop loss order to fix the price unless this happened during the harvest and in consultation with the producer as a means to manage risk for both sides.	Price fixation set at buyer's call.	Confirmation that the seller has fixed the price OR the buyer has fixed the price in the event that the seller has not fixed the price within the defined timeline in the contract.			0	C	Additional information: Stop loss clauses are not allowed in coffee contracts as this would overrule the price to be fixed at the seller's call clause.	Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Coffee	0.0.0.01
Coffee 2.3.1	Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader	4.1.0.07 (Payer, conveyor) (Coffee) (Only applicable for Outright Priced Contract) You have used an Outright Priced Contract only if: a) buying via the auction a Price to be fixed contract is considered invalid b) at the time of signing the contract the seller has Fairtrade coffee in stock (e.g. immediate shipment within 3 months after signature of purchase contract or approval of pre-shipment sample before signature of contract) c) You and the seller have jointly agreed in writing to have such a contract and also commonly agreed upon a risk management strategy.	No written evidence that any of the three conditions apply although an outright-priced contract has been signed.	Written evidence for each any of the three conditions contract that one of three conditions applies			0	C	Additional information: If the seller is the exporter (and the producer organisation sells through an exporter), then the producer organisation gives fixing instructions to the exporter.	Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Coffee	0.0.0.01
Coffee 2.3.2	Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader	4.1.0.08 (Payer, conveyor) (Coffee) (Only applicable if a broker has been used) (Coffee) The use of a broker has been made explicit in the contract between the seller and the buyer.	(Coffee) Evidence existing that broker was involved BUT no explicit mentioning in contract between seller and buyer.	(Coffee) Existence of written purchase contract which was set up by the broker and mentions the buyer and the seller BUT is only signed by the broker and the buyer or seller.	(Coffee) Existence of written purchase contract between seller and buyer which explicitly mentions the broker.	(Coffee) Existence of tripartite purchase contract, signed by seller, broker and buyer.	0	C		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Coffee	0.0.0.01
Coffee 2.3.2	Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader	4.1.0.09 (Payer, conveyor) (Coffee) (Only applicable if a broker has been used) You, (who is requiring the services of the broker mentioned in the contract) have paid the broker's commission.	(Coffee) Broker's commission is not paid the by the party requiring the services of the broker	(Coffee) Broker's commission is paid the by the party requiring the services of the broker.			0	C		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Coffee	0.0.0.01
Coffee 2.3.2	Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader	4.1.0.10 (Payer, conveyor) (Coffee) (Only applicable if a broker has been used) You have not deducted the broker's commission, from the Fairtrade price.	(Coffee) Broker's commission has been deducted from the Fairtrade price.	(Coffee) No deduction of broker's commission from Fairtrade price.			0	C		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Coffee	0.0.0.01
Flowers and Plants 5.3.2	Premium Payer, Price Payer, Trader	4.1.0.11 (Payer) (Flowers and Plants) Final purchase orders are confirmed in writing.	Final purchase orders are not confirmed in writing.	Final purchase orders are confirmed in writing (e.g. by e-mail).			0	C		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Flowers and Plants	0.0.0.01
Oil Seeds and Oleaginous fruit 2.3.1	Premium Payer, Price Payer, Trader	4.1.0.12 (Payer) (Soybeans) Contracts with producers indicate that unavoidable GMO contamination is only tolerated up to the levels defined by the applicable national or international regulations (whichever is stricter) and specify which regulation(s) apply.	(Soybeans) Contracts with producers do NOT indicate that unavoidable GMO contamination is only tolerated up to the levels defined by the applicable national or international regulations (whichever is stricter) and do NOT specify which regulation(s) apply.	(Soybeans) Contracts with producers indicate that unavoidable GMO contamination is only tolerated up to the levels defined by the applicable national or international regulations (whichever is stricter) and specify which regulation(s) apply.			0	C		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Soybeans	0.0.0.01



NA	0.0.0.02	Can physical traceability be checked during the audit of the site?				0	S	Additional Entity Audit; Associate audit; Focused	ALL	ALL	
Fresh Fruit 4.1.2 (SPO) & 5.2.1 (HL)	Premium Payer, Price Payer, Trader	4.1.0.13 (Payer, conveyer) (Oranges for Juice) Contracts between producers and buyers additionally include price to be paid and calculation used for defining price for orange juice equivalent. The contract mentions that the price calculation for oranges for juice will be defined according to the yield, as identified in the preliminary analysis report, and the name of the responsible party paying the Fairtrade Premium to producers.	(Oranges for Juice) Contracts between producers and buyers do NOT additionally include price to be paid and calculation used for defining price for orange juice equivalent. The contract does NOT mention that the price calculation for oranges for juice will be defined according to the yield, as identified in the preliminary analysis report.	(Oranges for Juice) Contracts between producers and buyers do additionally include price to be paid and calculation used for defining price for orange juice equivalent. The contract does mention that the price calculation for oranges for juice will be defined according to the yield, as identified in the preliminary analysis report.		0	C	Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Oranges	0.0.0.01
Fresh Fruit 4.1.2 (SPO) & 5.2.1 (HL)	Premium Payer, Price Payer, Trader	4.1.0.14 (Payer, conveyer) (Oranges for juice) Once available, the preliminary analysis reports from each delivery of oranges for juice is attached to the contract. In addition, this report is given to the producer within 7 days after the delivery of fruit.	(Oranges for juice) Although available, the preliminary analysis reports from each delivery of oranges for juice was NOT attached to the contract AND was NOT given to the producer within 7 days after the delivery of fruit.	(Oranges for juice) Once available, the preliminary analysis reports from each delivery of oranges for juice was attached to the contract. In addition, this report was given to the producer 7 days after the delivery of fruit.		0	C	Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Oranges	0.0.0.01
Gold 2.3.14	Premium Conveyer, Premium Payer, Price Conveyer, Price Payer, Trader	4.1.0.15 (Payer, conveyer) (Gold) Shipment orders specify the Fairtrade volume and purity of metal per shipment.	Volume and assumed purity of metal not specified.	Volume and assumed purity of metal only specified in some shipment orders.	Volume and assumed purity of metal specified per shipment in shipment orders.	0	C	Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Gold & associated Precious Metals	0.0.0.01
Gold 4.5.8	Premium Conveyer, Premium Payer, Price Conveyer, Price Payer, Trader	4.1.0.16 (Payer, conveyer) (Gold) (Applicable if you act as pro-bono intermediary for Fairtrade price and/or premium payments in case the ASMO does not have a trade function or export permit) The contract between the ASMO and trader/exporter specifies the trader's/exporter's function as pro bono intermediary for Premium payments.	Function as pro-bono intermediary for Premium payments NOT specified in contract between ASMO and trader/exporter AND there is no proof that the ASMO does not have a trade function or export permit.	Function as pro-bono intermediary for Premium payments NOT specified in contract between ASMO and trader/exporter BUT there is proof that the ASMO does not have a trade function or export permit.	Function as pro-bono intermediary for Premium payments specified in contract between ASMO and trader/exporter AND there is proof that the ASMO does not have a trade function or export permit.	0	C	Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Gold & associated Precious Metals	0.0.0.01
Gold 2.3.11	Premium Conveyer, Premium Payer, Price Conveyer, Price Payer, Trader	4.1.0.17 (Payer, conveyer) (Gold) Purchase contracts with suppliers additionally define the precious metals content determination and arbitration procedures in line with existing practice in the sector.	Contracts do not specify this.	Contracts specify the precious metals content determination and arbitration procedures BUT these are not in line with practice in the sector.	The precious metals content determination and arbitration procedures are defined in the contract and in line with practice in the sector.	0	C	Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Gold & associated Precious Metals	0.0.0.01
Fresh Fruits 4.3.3	Premium Conveyer, Premium Payer, Price Conveyer, Price Payer, Trader	4.1.0.19 (Payer, conveyer) (Wine grapes) (Applicable if the purchase is made in order to submit a tender) You have agreed with the producer that the purchase is made for a tender. This is clearly reflected in the purchase contract. You confirm whether it is a Fairtrade transaction or not once the tender process has been finalized.	The agreement is not reflected in the contract AND the FT status is not confirmed.	The agreement is not reflected in the contract OR the FT status is not confirmed.	The agreement is reflected in the contract AND the FT status has been confirmed	0	C	Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Wine grapes	0.0.0.01
Sugar 4.1.1	Premium Conveyer, Premium Payer, Price Conveyer, Price Payer, Trader	4.1.0.20 (Mills/ exporters)(Cane sugar) You have signed contracts / supply agreements with producers prior to or as soon as possible during the harvest. This also applies to Fairtrade eligible cane.	No written supply agreements signed with producers.	No contract offered (to certified organisation delivering cane to the mill) OR contract offered to the producers after harvest time/ harvest has been finished.	Contracts/supply agreements are signed prior to or as soon as possible during the cane harvest, including for Fairtrade eligible cane.	0	C	Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Cane sugar	0.0.0.01



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NA	0.0.0.02	Can physical traceability be checked during the audit of the site?	0	S	Additional Entity Audit; Associate audit: Focused	ALL	ALL					
4.1.4	Premium Conveyor, Price Conveyor, Trader	4.1.0.22 (Conveyor) You sign a Fairtrade purchase contract with the producer which includes all elements mentioned in Standard requirement 4.1.2., and additionally the modalities of payment of the price differential (if applicable) and Fairtrade Premium, including the timelines, and the system of reporting.	No written purchase contract or purchase order for Fairtrade products with producer existing.	Written purchase contract or purchase order exists BUT is incomplete.	Written and complete purchase contract or purchase order exists BUT is not confirmed in writing by supplier (purchase order) or not countersigned by both parties (purchase contract).	Written and complete purchase contract or purchase order exists AND is confirmed in writing by supplier (purchase order) or countersigned by both parties (purchase contract).	0	M	Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Banana; Cane sugar; Carbon Credits; Cereals; Cocoa; Coffee; Cotton; Dried fruit; Dried vegetables; Fresh fruit; Fruit juices; Gold & associated Precious Metals; Herbs, herbal teas & spices; Honey; Nuts; Oilseeds and Oleaginous fruit; Pulp; Rice; Sports Balls; Tea; Textile; Timber; Vegetables; Wine grapes	0.0.0.01
4.1.5	Premium Conveyor, Price Conveyor, Trader	4.1.0.23 (Conveyor) On quarterly basis (unless other timelines are agreed between both parties) you send the producer a report for each purchase contract, including the exact volumes that have been sold, the price differential (if applicable) and premium due, and FLO ID of the buyer it was sold to.	No reports sent to producer.	Reports are sent BUT are incomplete OR are sent on an irregular basis.	Reports sent to producer are complete AND are sent on a quarterly basis or at timelines agreed.	Reports sent to producer are complete AND are sent on a quarterly basis AND are always compiled in a transparent manner, agreed upon with the producer.	0	C	Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Banana; Cane sugar; Carbon Credits; Cereals; Cocoa; Coffee; Cotton; Dried fruit; Dried vegetables; Fresh fruit; Fruit juices; Gold & associated Precious Metals; Herbs, herbal teas & spices; Honey; Nuts; Oilseeds and Oleaginous fruit; Pulp; Rice; Sports Balls; Tea; Textile; Timber; Vegetables; Wine grapes	0.0.0.01





NA	0.0.0.02	Can physical traceability be checked during the audit of the site?	0	S	Additional Entity Audit; Associate audit: Focused	ALL	ALL					
4.1.6	Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader	4.1.0.24 (Payer, conveyor) You ensure that all elements of the transaction fixed in the contract are honored, unless you and the other party agree to a change.	The contract (or any agreed changes to the contract) has not been honoured OR the result of an arbitration or court process has not been respected OR the customer has not consented to the arbitration process.	Contracts are honoured OR in case of any disputes, the dispute resolution mechanism has been/is being used to solve the conflict.	Contracts are honoured without any changes.	0	M	Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Banana; Cane sugar; Carbon Credits; Cereals; Cocoa; Coffee; Cotton; Dried fruit; Dried vegetables; Fresh fruit; Fruit juices; Gold & associated Precious Metals; Herbs, herbal teas & spices; Honey; Nuts; Oilseeds and Oleaginous fruit; Pulp; Rice; Sports Balls; Tea; Textile; Timber; Vegetables; Wine grapes	0.0.0.01	
4.1.6	Trader	4.1.0.25 (First buyer) (Applicable if exceptional and unforeseen circumstances prevent you from buying the volume stated in the contract) You promptly notify the supplier and actively seek a solution.	No notification of supplier / buyer.	Only notification has been made, and not consented to reach contract resolution.	Evidence that oral notification (e.g. during a meeting or telephone conversation) has been provided to supplier / buyer AND has renegotiated on the contract or is actively seeking to reach contract resolution.	Written notification of supplier / buyer AND has renegotiated on the contract or is actively seeking to reach contract resolution.	0	C	Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Banana; Cane sugar; Carbon Credits; Cereals; Cocoa; Coffee; Cotton; Dried fruit; Dried vegetables; Fresh fruit; Fruit juices; Gold & associated Precious Metals; Herbs, herbal teas & spices; Honey; Nuts; Oilseeds and Oleaginous fruit; Pulp; Rice; Sports Balls; Tea; Textile; Timber; Vegetables; Wine grapes	0.0.0.01
Sugar 4.1.2	Trader	4.1.0.29 (Mill / exporter) Twice a year, you send a report for each purchase contract to the producer including the exact volumes that have been sold and the Fairtrade Premium due.	No report is sent to the producer.	Reports are sent BUT are incomplete OR are sent on an irregular basis.	A complete report is sent to the producer twice a year.	0	C	Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Cane sugar	0.0.0.01	
Cereal 4.1.1	Premium Conveyor, Price Conveyor, Trader	4.1.0.30 (Cereals) (Conveyors) (Applicable if you buy rice paddy from producers as Fairtrade eligible) The purchase contract with the producer is clearly identified as a 'Fairtrade eligible contract'.	No	Yes	Rank 3 AND information on FT sales is provided as soon as a Fairtrade sale is made.	0	C	Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Rice	0.0.0.01	
Cereal 4.1.1	Premium Conveyor, Price Conveyor, Trader	4.1.0.31 (Cereals) (Conveyors) (Applicable if you buy rice paddy from producers as Fairtrade eligible) You provide information to the producer on the prospects for selling the rice as Fairtrade and you report to the producer upon confirmation of any Fairtrade sales.	No information on forecasted sales nor reports on confirmation of FT sales shared with the producer.	Information on forecasted sales or reports on confirmation of FT sales only sometimes shared with the producer.	Information on forecasted sales and reports on confirmation of FT sales shared with the producer.	0	C	Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Rice	0.0.0.01	



NA	0.0.0.02	Can physical traceability be checked during the audit of the site?	0	S			Additional Entity Audit; Associate audit; Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	ALL			
Cocoa 4.1.1	Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader	4.1.0.33 (Cocoa) (Payer, conveyor) You ensure that a reference on the market price, either New York (ICE FUTURES US) or London (ICE FUTURES EUROPE) is included in your contract with the producer (or the conveyor) unless official prices have been set by the national government.	No reference on the market price, if not set by the national government.	Evidence (e.g. e-mails) that agreement on source of information but not included in contract.	Written agreement on source of information in countersigned purchase contract.	0	C	Additional information: In the following countries, official prices have been set by the national government:  - For Ghana, the market price reference is the FOB value of the contracted prices with the Cocoa Marketing Company for the relevant delivery period. - For Côte d'Ivoire, the market price reference is the guaranteed FOB value ("valeur FOB garanti") as published by the Conseil Café Cacao for the relevant delivery period.	Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Cocoa	0.0.0.01
4.1.7	Trader	4.1.0.34 (Applicable if you provide any services such as training or other support activities to producers) You agree in advance and in writing with the producer, on all terms and conditions, including fees.	No agreement in advance and/or not in writing and/or not including all terms and conditions, including fees	Evidence that agreement has been reached in advance and in writing, on all terms and conditions, including fees.		0	C		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	ALL	
4.1.7	Trader	4.1.0.35 (Applicable if you provide any services such as training or other support activities to producers) You do not put pressure on producers to accept the services (such as training or other support activities) and the fee, nor do you make it a condition of purchase.	Evidence exists that producer has been pressured to accept the services and fee and/or that acceptance of services was a condition of purchase.	No evidence exists that producer has been pressured to accept the services and fee and/or that acceptance of services was a condition of purchase.		0	C		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	ALL	
Cocoa 4.1.3	Trader	4.1.0.36 (Cocoa) (Applicable as of October 2019) Whenever you sell cocoa products as Fairtrade, you indicate in the sales contract the amount of Fairtrade Premium to be paid, and Fairtrade organic differential when applicable, separately from the agreed sales price of the Fairtrade cocoa products.	No separate indication of amount of Fairtrade Premium and of Fairtrade organic differential (if applicable) in sales contracts.	Clear and separate indication of amount of Fairtrade organic differential in sales contracts.		0	C	Additional information: Fairtrade cocoa products include: cocoa beans, cocoa liquor/mass, cocoa butter, and cocoa powder. The requirement does not apply to semi-finished FT food composite ingredients containing cocoa, e.g. liquid chocolate.	Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Cocoa	
Cocoa 4.1.4	Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader	4.1.0.37 (Cocoa) (Payer, conveyor) (Applicable as of October 2019) (Applicable only if you buy organic FT cocoa products from a producer organization or conveyor) You clearly indicate the amount of Fairtrade organic differential to be paid, separately from the price, in the purchase contract with the producer organization (or conveyor, if applicable).	No separate indication of amount of Fairtrade organic differential in purchase contracts.	Clear and separate indication of amount of Fairtrade organic differential in purchase contracts.		0	C	Additional information: Fairtrade cocoa products include: cocoa beans, cocoa liquor/mass, cocoa butter, and cocoa powder.	Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Cocoa	0.0.0.01
Prepared & preserved fruit (HL) 5.3.3, Prepared and preserved fruits and vegetables (SPO) 2.3.2, Fresh fruit (HL) 5.2.3, Fresh fruit (SPO) 4.1.3	Premium Conveyor, Price Conveyor, Trader	4.1.0.38 (Conveyor) (Oranges for juice) (Applicable as of January 2020) You sign a tripartite contract between the producer, the Fairtrade price & premium payer and you as conveyor or you share a copy of your sales contract with the Fairtrade price & premium payer with the producer.	No tripartite contract between producer, Fairtrade conveyor and Fairtrade payer in place OR the contract between conveyor and payer is not shared with the producer.	No tripartite contract between producer, Fairtrade conveyor and Fairtrade payer in place OR the contract between conveyor and payer is not shared with the producer. Fairtrade conveyor shares information indicated in the requirement with the producer.	Written tripartite contract between producer, Fairtrade conveyor and Fairtrade payer OR the contract between conveyor and payer is shared with the producer.	0	C		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Dried fruit; Fresh fruit	0.0.0.01
Coffee 4.3.2	Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader	4.1.0.39 (Payer) (Coffee) You clearly indicate in your purchase contracts the prevailing quality and/or country differential, the organic differential (if applicable) and the Fairtrade premium.	No	Yes		0	C		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Coffee	0.0.0.01
<b>4.2 Price and Fairtrade Premium</b>										<b>remote</b>		



NA	0.0.0.02	Can physical traceability be checked during the audit of the site?	0	S						Additional Entity Audit; Associate audit; Focused	ALL	ALL		
FTS 4.2.1 & 4.2.2, Cocoa 4.2.1	Premium Payer, Price Payer, Trader	4.2.0.01 (Payer, conveyor) You pay at least the relevant market price or the Fairtrade Minimum Price - whichever is higher - to the producer (or the conveyor) (as defined in the Fairtrade pricing database or the relevant product Standard).	Payment below Fairtrade minimum price or relevant market price.	Though the price calculated for the product form purchased from the producer is based on the correct FMP (or market price), the price offered to the producer for the actual form purchased from them is below the local market price applicable for the form.	Payment of Fairtrade minimum price or relevant market price (whichever is higher)		0	M	Additional information: The relevant market price is the price that prevails on the non-Fairtrade market for equivalent products. For cocoa: Cocoa contracts must include a market price reference; the market price reference is the international market price, either New York (ICE FUTURES US) or London (ICE FUTURES EUROPE) market. In the following countries, official cocoa market prices have been set by the national government: - For Ghana, the market price reference is the FOB value of the contracted prices with the Cocoa Marketing Company for the relevant delivery period. - For Côte d'Ivoire, the market price reference is the guaranteed FOB value ("valeur FOB garanti") as published by the Conseil Café Cacao for the relevant delivery period. (Fresh Fruit) Please refer to the product Standard for costs included in the Minimum Price. (Cocoa) If legislation requires payment of a differential/premium for farmers or SPOs, this value is included in the market price reference. (Cocoa) (Côte d'Ivoire & Ghana) If a Living Income Differential (LID) is implemented.	Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	ALL	0.0.0.01	
4.2.1	Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader	4.2.0.02 (Payer, conveyor) You agree with the producer on the source of information for the market price. If available, you use the market price reference indicated in the product standard.	No exchange of information between buyer and producer regarding market price information.	Evidence (e.g. e-mails) that regular exchanges & agreement on the source of information for market price exists.	Rank 3 and Written agreement on source of information for market price in countersigned purchase contract or written purchase order confirmed by supplier.		0	C		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	ALL	0.0.0.01	
4.2.1	Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader	4.2.0.03 (Payer, conveyor) If the price you pay for the Fairtrade product significantly deviates from the relevant market price, you are able to provide a rationale/justification.	No rationale/justification for significant deviations from relevant market price exists.	The rationale/justification for deviation is not adequate or is not agreed by the producer.	Evidence (e.g. e-mails) that rationale/justification for significant deviations from relevant market price was given to supplier and is adequate.	Written rationale/justification provided to supplier in countersigned purchase contract or written purchase order confirmed by supplier and is adequate.	0	C		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	ALL	0.0.0.01	
4.2.2	Premium Payer, Price Payer, Trader	4.2.0.04 (Payer) (Applicable to conveyors when calculating the differential) Discounts for quality cannot be made on the Fairtrade Minimum Price. The Fairtrade Minimum Price is an absolute minimum.	Payment below Fairtrade minimum price.	Payment of FT minimum price with application of discounts for quality.	Payment of Fairtrade minimum price AND no application of discounts for quality.	Payment of Fairtrade minimum price AND payment of positive differential for high quality.	0	M		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	ALL	0.0.0.01	
4.2.2	Premium Payer, Price Payer, Trader	4.2.0.05 (Payer) (Applicable to conveyors when calculating the differential) New Fairtrade Minimum Prices apply to contracts signed after the date of validity indicated in the Fairtrade pricing database. Contracts signed prior to that date are to be honoured at the price defined in the contract.	New Fairtrade minimum prices NOT applied to contracts signed after the date of validity indicated in the pricing database.	New Fairtrade minimum prices applied to some but not all contracts signed after the date of validity indicated in the pricing database.	New Fairtrade Minimum Prices applied to all contracts signed after the date of validity indicated in the pricing database.	New Fairtrade Minimum Prices applied to some contracts signed after the date of publication of new prices but before date of validity indicated in the pricing database.	New Fairtrade Minimum Prices applied to all contracts signed after the date of publication of new prices but before date of validity indicated in the pricing database.	0	C	Additional information: (Cocoa) (1) For price regulated markets (Ghana, Côte d'Ivoire), the new Fairtrade Minimum Price and Premium is to be paid on deliveries from producer organisations as of 1 October 2019. / (2) For non-regulated markets (worldwide except Ghana, Côte d'Ivoire), the new Fairtrade Minimum Price and Premium is to be paid on producer contracts signed as of 1 October 2019. For producer contracts signed between 1 March and 30 September 2019 in non-regulated markets, cocoa deliveries at the current Fairtrade Minimum Price and Premium are to be made by 31 December 2019. Otherwise the new Fairtrade Minimum Price and Premium applies.	Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	ALL	0.0.0.01



NA	0.0.0.02	Can physical traceability be checked during the audit of the site?						0	S		Additional Entity Audit; Associate audit; Focused	ALL	ALL	
4.2.3; 4.1.3	Premium Payer, Price Payer, Trader 4.2.0.06	(Payer)(Applicable to conveyors when calculating the price difference)(Not applicable to cocoa purchases from Ghana or Ivory Coast and not to oranges for juice) In case the Fairtrade Minimum Price is set at a different level in the supply chain (different product form, different incoterm) than the one you are buying at, you adjust the Fairtrade Minimum Price accordingly. The calculations are transparent and reflect real costs.	No adjustments made although the Fairtrade Minimum Price is set at a different level in the supply chain than the one the company is buying at.	Adjustments made to Fairtrade Minimum Price BUT calculations are transparent and do not reflect real costs.	Adjustments made to Fairtrade Minimum Price AND calculations are transparent and reflect real costs.	Adjustments made to Fairtrade Minimum Price AND calculations are transparent and reflect real costs AND calculations are agreed upon in writing (e.g. e-mail) with supplier.	Adjustments made to Fairtrade Minimum Price AND calculations are transparent and reflect real costs AND calculations are agreed upon in purchase order confirmed by supplier.	0	C	Additional information: The requirement does not apply in case the trader is buying wine directly from a producer organization who is growing wine grapes, but is selling wine.	Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	ALL	0.0.0.01
4.2.3	Premium Payer, Price Payer, Trader 4.2.0.07	(Payer) (Applicable to conveyors when calculating the differential)(Not applicable to cocoa purchases from Ghana or Ivory Coast) In case the producer is responsible for some additional costs that are NOT included in the Fairtrade Minimum Price (e.g. packing), you take these costs into account when calculating the Fairtrade Minimum Price. Then the applicable Fairtrade Minimum Price is the Fairtrade Minimum Price plus the costs that the producer is responsible for.	Additional costs the producer is responsible for are NOT taken into account when calculating the Fairtrade Minimum Price.	Additional costs the producer is responsible for are taken into account when calculating the Fairtrade Minimum Price BUT calculations are transparent and do not reflect real costs.	Additional costs the producer is responsible for are taken into account when calculating the Fairtrade Minimum Price AND calculations are transparent and reflect real costs.	Additional costs the producer is responsible for are taken into account when calculating the Fairtrade Minimum Price AND calculations are transparent and reflect real costs AND calculations are agreed upon in writing (e.g. e-mail) with supplier.	Additional costs the producer is responsible for are taken into account when calculating the Fairtrade Minimum Price AND calculations are agreed upon in purchase order confirmed by supplier.	0	C		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Unannounced Audit	ALL	ALL	0.0.0.01
4.2.4	Premium Payer, Price Payer, Trader 4.2.0.08	(Payer)(Applicable if you buy Fairtrade products from producers for sale within the producer country)(Not applicable cocoa purchases from Ghana or Ivory Coast) You pay at least the Ex Works Fairtrade Minimum Price, if it exists. In case there is only a FOB Fairtrade Minimum Price available, you may deduct reasonable transport and export costs from the price to calculate the Fairtrade Minimum Price at the level at which the producer sells.	Payment below Ex Works Fairtrade Minimum Price OR payment of FOB Fairtrade Minimum Price BUT deductions for transport and export costs are not transparent and do not reflect real costs.	Payment of Ex Works Fairtrade Minimum Price OR payment of FOB Fairtrade Minimum Price AND deductions for transport and export costs are transparent and reflect real costs.	Rank 3 and The price given for FT certified product is consistently more than the applicable Fairtrade Prices (applicable or derived).			0	C		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Unannounced Audit	ALL	ALL	0.0.0.01
4.2.5 & Cocoa 4.2.4	Premium Payer, Price Payer, Trader 4.2.0.09	(Payer) (Applicable if you are buying a processed product from a producer and a Fairtrade Minimum Price only exists for the raw product but not for the processed product) You take into account the producer's processing costs and processing ratio in the calculation of the price of the processed product. This price covers at least the Fairtrade Minimum Price of all Fairtrade inputs and the processing costs.	Producer's processing costs and processing ratio not taken into account AND price does not cover the Fairtrade Minimum Price of all Fairtrade inputs and the processing costs OR the correct FMP of the processed form not applied (where it exists).	Producer's processing costs and processing ratio taken into account AND price covers the Fairtrade Minimum Price of all Fairtrade inputs and the processing costs OR the correct FMP of the processed form is applied (where it exists).	Rank 3 and Producer's processing costs and processing ratio taken into account AND price covers the Fairtrade Minimum Price of all Fairtrade inputs and the processing costs AND calculations are agreed upon in purchase order confirmed by supplier.			0	C	Additional information: For semi-processed cocoa, the cocoa beans reference values of USD 1750/MT (for conventional and USD 2050/MT (for organic at producers' level plus all relevant processing costs apply). The requirement does not apply in case the trader is buying wine directly from a producer organization who is growing wine grapes, but is selling wine.	Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	ALL	0.0.0.01
FTS 4.2.6, Fresh Fruit (SPO) 4.2.5 & (HL) 4.5.4	Premium Conveyer, Price Conveyer, Trader 4.2.0.10	(Conveyer) (Not applicable to cocoa purchases from Ghana or Ivory Coast) You pay the producer the price difference between the Fairtrade Minimum Price and the price at which you initially bought the product from the producer in case the Fairtrade Minimum Price (or the adjusted price if set at a different level) is higher. (Oranges for juice) You pay the producer the differential if there is a difference between the price paid to producers for the oranges for juice based on the preliminary analysis report and the FOB orange juice price received when selling the orange juice.	No payment of price difference in case the Fairtrade Minimum Price is higher.	Payment of price difference in case the Fairtrade Minimum Price is higher.	The buyer pays a part of the margin to the producer as part of profit sharing.			0	C	Additional information: For oranges for juice: Conveyors make a first payment of the EXW price (% of the FOB orange juice price received) as indicated in the pricing database and convey the differential (if applicable) to the producer.	Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	ALL	0.0.0.01
Gold 4.5.7	Premium Conveyer, Premium Payer, Price Conveyer, Price Payer, Trader 4.2.0.11	(Payer, conveyer) (Gold) you have indicated Fairtrade Premium payments on a separate line on all invoices.	No	Yes				0	C		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Gold & associated Precious Metals	0.0.0.01
Nuts 4.3.6	Premium Payer, Price Payer, Trader 4.2.0.12	(Payer) (First buyer in producing country) (Cashew Nuts from Africa) (Applicable if the Fairtrade Minimum Price is higher than the Market Price) You pay the Fairtrade Minimum Price for at least 80% of the nuts purchased. The remaining volume (maximum 20% of the total volume purchased as Fairtrade) may be sourced at market prices. If you sell more than 80% of the nuts (or the kernels derived thereof) as Fairtrade, you pay difference between Minimum and paid market price to the producers on the volume sold on as Fairtrade.	No	Yes				0	C		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Cashew Nut	0.0.0.01



NA		0.0.0.02	Can physical traceability be checked during the audit of the site?				0	S		Additional Entity Audit; Associate audit; Focused	ALL	ALL		
Nuts 4.3.6	Premium Payer, Price Payer, Trader	4.2.0.13	(Payer) (Cashew Nuts from Africa) If you import Raw Cashew Nuts you pay the Fairtrade Minimum Price or market price, whichever is higher and the Premium on the whole raw cashew nut quantity purchased. In case corresponding FOB prices for kernel outputs sold as Fairtrade minus transportation and processing costs lead to a figure higher than the paid raw cashew nut Fairtrade Minimum Price, you pay the producers the difference.	No	Yes		0	C		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Cashew Nut	0.0.0.01	
Fresh Fruit (SPO) 4.2.3 & Fresh Fruit (HL) 5.4.3	Premium Conveyer, Price Conveyer, Trader	4.2.0.14	(Conveyor) (Oranges for juice) You pay the producer the price for the equivalent quantity of juice that their oranges produce (FCOJ or NFC, depending on what is sold to the importer) according to the yield in the preliminary analysis report. The price paid to the producer for the oranges for juice is calculated using the percentages based on the FMP or market price, whichever is higher, as defined in 5.4.3 of the Fresh fruit (HL) standard and 4.2.3 of the Fresh fruit (SPO) standard.	No payment of price for equivalent quantity of juice according to yield in preliminary analysis report or according to indicated percentages.		Payment of price for equivalent quantity of juice according to yield in preliminary analysis report or according to indicated percentages.	0	C		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Oranges	0.0.0.01	
4.2.7; 4.1.3	Premium Payer, Price Payer, Trader	4.2.0.16	(Payer) On top of the price for the Fairtrade product, you pay the relevant producer (or the conveyor, or Fairtrade Premium Committee, if applicable) a Fairtrade Premium as defined in the Fairtrade pricing table for the Fairtrade product bought. No discounts are made to the Fairtrade Premium.	No payment of Fairtrade Premium OR payment of Fairtrade Premium to a decertified supplier.	Incomplete payment of Fairtrade Premium.	Complete payment of Fairtrade Premium.	Complete payment of a higher Fairtrade Premium than defined in pricing table.	0	M	Additional information: (Fruits for processing): If fruits (e.g. pineapples) are sold by producers and later in the chain processed into juice (e.g. pineapple juice), the Fairtrade premium has to be calculated and paid on the fresh fruits (e.g. pineapples) as sold by the producer. Fairtrade Premium can furthermore only be paid to certified producer organizations. If the producer organization, when the Fairtrade Premium is being paid, is decertified, the product in question becomes non-Fairtrade.	Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	ALL	0.0.0.01
FTS 4.2.8, Fresh Fruit (SPO) 4.2.5 & (HL) 5.4.5	Premium Conveyer, Price Conveyer, Trader	4.2.0.17	(Conveyor) You pay the Fairtrade Premium to the producer (or Fairtrade Premium Committee, if applicable), if the Fairtrade Premium is conveyed via your company. No discounts are made to the Fairtrade Premium payment.	No payment (conveying) of Fairtrade Premium OR application of discounts to Fairtrade Premium payments.	Incomplete payment (conveying) of Fairtrade Premium.	Payment (conveying) of Fairtrade Premium AND application of discounts to Fairtrade Premium payments.	Complete payment (conveying) of a higher Fairtrade Premium than defined in pricing table.	0	M		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	ALL	0.0.0.01
4.2.8	Premium Conveyer, Price Conveyer, Trader	4.2.0.18	(Conveyor) In case the Fairtrade Premium you receive from the Fairtrade payer was set for a different product form than the one you are buying, you apply a conversion ratio in order to calculate the premium due to the producer. Calculations made are fair, transparent and shared with the producer.	No application of conversion rate.	Application of conversion rate BUT calculations are not fair, transparent and shared with producer.	Application of conversion rate AND calculations are fair, transparent and shared with producer.	Application of conversion rate AND calculations are fair, transparent and shared with producer AND calculations are agreed upon in countersigned purchase contract or in purchase order confirmed by supplier.	0	C		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	ALL	0.0.0.01
4.2.9	Premium Payer, Price Payer, Trader	4.2.0.19	(Payer) If you are buying a processed product from a producer, and the premium is only defined for the raw product, you pay a premium amounting to the sum of premiums of all of the Fairtrade product inputs.	Fairtrade Premium paid does not amount to premiums of all of the Fairtrade inputs.		Fairtrade Premium paid amounts to total premiums of all of the Fairtrade inputs.		0	C	Additional information: For cocoa: If the input amount / processing yield is not known, the general conversion rates as indicated in Cocoa requirement 4.2.5 can be used as basis for the calculation.	Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	ALL	0.0.0.01
Nuts 4.3.6	Premium Payer, Price Payer, Trader	4.2.0.20	(Payer) (First buyer in the producing country) (Cashew Nuts from Africa) You pay the Fairtrade Premium for at least 80% of the raw nuts purchased. If you sell more than 80% of the nuts (or the kernels derived thereof) as Fairtrade, you pay the producers the outstanding Fairtrade Premium based on the volume sold on as Fairtrade.	No	Yes			0	C		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Cashew Nut	0.0.0.01
Fresh Fruit 4.2.3 (SPO) & 5.4.3 (HL)	Premium Payer, Price Payer, Trader	4.2.0.21	(Payer) (Oranges for juice) The Fairtrade Premium defined for orange juice at FOB level applies as the Fairtrade Premium for producer organizations selling oranges for juice. The Fairtrade Premium is paid for orange juice at FOB level to producer organizations based on the total amount of orange juice sold by the processor/exporter.	No	Yes			0	C		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Oranges	0.0.0.01
Gold 4.5.2	Premium Payer, Price Payer, Trader	4.2.0.22	(Payer) (Gold) You ensure that the price and Fairtrade premium is paid based on the estimation of purity no later than the next business day after confirmed shipment. The final liquidation is made no later than the next business day after the exact purity is known after refinement.	No	Yes			0	C		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Gold & associated Precious Metals	0.0.0.01
Gold 4.5.3	Premium Payer, Price Payer, Trader	4.2.0.23	(Payer) (Silver and platinum) You ensure that the first price down payment of Fairtrade price and/or Premium - based on the least expected fine metal content before assay - is made no later than the next business day after confirmed shipment. The final payment of Fairtrade price and/or Premium is made no later than the next business day after all elements (price, assay results) have been verified by the parties.	No	Yes			0	C		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Gold & associated Precious Metals	0.0.0.01



NA		0.0.0.02	Can physical traceability be checked during the audit of the site?					0	S		Additional Entity Audit; Associate audit: Focused	ALL	ALL	
Gold 4.5.5	Premium Payer, Price Payer, Trader	4.2.0.24	(Payer) (Gold) Fairtrade Premium payments are made in full to the ASMO and not individual miners.	No	Yes			0	C		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Gold & associated Precious Metals	0.0.0.01
Gold 4.5.6	Premium Payer, Price Payer, Trader	4.2.0.25	(Payer) (Gold) Transportation and insurance costs from point of export are paid by the importer (buyer), unless the ASMO (or the miner) and the importer agree on other arrangements that are not detrimental to the ASMO (or the miner).	The buyer does not pay these costs AND there is no agreement with the ASMO.	The buyer does not pay these costs AND the agreement is detrimental for the ASMO.	The buyer pays these costs OR Costs are paid by the ASMO under non-detrimental conditions as per agreement.		0	C		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Gold & associated Precious Metals	0.0.0.01
Prepared and preserved Fruit and Vegetables (4.3.1)	Premium Payer, Price Payer, Trader	4.2.0.26	(Payer) (Only applies to organic and conventional dried apricots and conventional dried wild apricots sourced from Contract Production set-ups) The Price at Ex Works level includes the Certification Costs (GBP 0.01 per kg of conventional produce and GBP 0.03 per kg of organic produce) which are paid to the Promoting Body. The Certification Costs are deducted from the Price paid to the individual producers, i.e. from the Fairtrade Minimum Price or the market Price, whichever is higher.	No	Yes			0	C		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Dried fruit	0.0.0.01
Nuts 4.3.2	Premium Payer, Price Payer, Trader	4.2.0.27	(Payer) (Only applies to almonds, apricot seeds and walnuts sourced from Contract Production set-ups in Pakistan) The price at Ex Works level includes the Certification Costs (GBP 0.01 per kg of conventional produce and GBP 0.03 per kg of organic produce) which are paid to the Promoting Body. The Certification Costs are deducted from the price paid to the individual producers, i.e. from the Fairtrade Minimum Price or the market price, whichever is higher.	No	Yes			0	C		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Nuts	0.0.0.01
Sugar 4.2.1	Premium Conveyor, Price Conveyor, Trader	4.2.0.31	(Conveyor) (Not applicable if prices are defined by national authorities through mechanisms such as revenue sharing systems or if the price is set by the government) You agree the price and payment terms with the producer.	No	Yes			0	C		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Cane sugar	0.0.0.01
Sugar 4.4.4	Premium Payer, Price Payer, Trader	4.2.0.32	(Payer) (Applicable when payment of premium is made to multiple producers supplying the same mill.) You pay the premium according to the information provided by the mill/exporter.	Premium is not paid.	Premium payment is made but not according to the information provided.	Premium payment is made according to the information provided.	RANK 3 AND the company proactively requests the necessary information if not directly provided by the mill/exporter.	0	C		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Cane sugar	0.0.0.01
Product Standards	Premium Payer, Price Payer, Trader	4.2.0.33	(Payer) Prices for secondary products (products that come out of a production process in addition to the main product) and their derivatives are negotiated and a Fairtrade premium of 15% of the negotiated price is paid in addition. For all secondary products (orange juice), a Fairtrade premium of 10% of the negotiated price is paid in addition.	No	Yes			0	M		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	ALL	0.0.0.01
4.2.1	Premium Conveyor, Price Conveyor, Trader	4.2.0.34	(Conveyor) You pay at least the relevant market price to the producer.	No	Yes			0	M	Additional information: The relevant market price is the price that prevails on the non-Fairtrade market for equivalent products. For cocoa: In the following countries, official cocoa market prices have been set by the national government: - For Ghana, the market price reference is the FOB value of the contracted prices with the Cocoa Marketing Company for the relevant delivery period. - For Côte d'Ivoire, the market price reference is the guaranteed FOB value ("valeur FOB garanti") as published by the Conseil Café Cacao for the relevant delivery period.	Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	ALL	0.0.0.01
Cocoa 4.2.2 & 4.2.3	Premium Payer, Price Payer, Trader	4.2.0.35	(Payer, conveyor) (Cocoa) (Applicable for purchases from Ghana and Ivory Coast) You pay the producer (or the conveyor, if applicable) the difference between the Fairtrade Minimum Price and the market price reference (for conveyors: received from the payer) in case the market reference price (as per the Cocobod or CCC) is below the Fairtrade Minimum Price.	No payment of price differential in case the Fairtrade Minimum Price is higher OR price differential deducted from Fairtrade Premium.	Payment of price differential in case the Fairtrade Minimum Price is higher AND no deduction from payment of Fairtrade Premium.			0	C	Additional information: - For Ghana, the market price reference is the FOB value of the contracted prices with the Cocoa Marketing Company for the relevant delivery period. - For Côte d'Ivoire, the market price reference is the guaranteed FOB value ("valeur FOB garanti") as published by the Conseil Café Cacao for the relevant delivery period. - The amount of the Fairtrade minimum price differential, as published by Fairtrade International for the respective cocoa season, is binding. No other calculations are allowed.	Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Cocoa	0.0.0.01



NA	0.0.0.02	Can physical traceability be checked during the audit of the site?					0	S		Additional Entity Audit; Associate audit; Focused	ALL	ALL			
Fresh Fruit (SPO) 4.2.6	Premium Conveyor, Price Conveyor, Trader	4.2.0.36	(Conveyor) (Oranges for juice) You do not make any deductions from the indicated percentage of the FOB price, whether applied to the Fairtrade Minimum Price (FMP) or the market price.	Payment of price with deductions.	Payment of the correct price without deductions.		0	C	Additional information: The (purchase) price level is based on EXW which depends on the FOB price for juice. No discount can be made below the percentage of the FOB price and no cost or margin deductions/ charges apply.	Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Oranges	0.0.0.01		
Cocoa 4.2.5	Premium Payer, Price Payer, Trader	4.2.0.37	(Cocoa) (Payer) (Applicable as of October 2019) (Applicable only if you are buying semi-processed cocoa products from producer organizations) You negotiate the price of the semi-processed product with the producer. This negotiated price is based on, at least, the cocoa beans reference values of USD 2150/MT (for conventional) and USD 2450/MT (for organic) at producers' level plus all relevant processing costs. The Fairtrade Minimum Price is calculated using the average processing yield calculated by the producer. It is only if this information is not available to the producer that the processing yields from beans in 4.2.6 (Cocoa product standard) apply.	Negotiated price is below cocoa beans reference values of USD 2150/MT (for conventional) and USD 2450/MT (for organic) at producers' level plus all relevant processing costs (using average processing yield calculated by the producer).	Negotiated price is based on cocoa beans reference values of USD 2150/MT (for conventional) and USD 2450/MT (for organic) at producers' level plus all relevant processing costs (using average processing yield calculated by the producer).	Negotiated price is above cocoa beans reference values of USD 2150/MT (for conventional) and USD 2450/MT (for organic) at producers' level plus all relevant processing costs (using average processing yield calculated by the producer).	0	C	Additional information: Until 1 October 2019, the following cocoa beans reference values apply: USD 1750/MT (for conventional) and USD 2050/MT (for organic) at producers' level plus all relevant processing costs.	Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Cocoa	0.0.0.01		
Cocoa 4.2.6	Premium Payer, Price Payer, Trader	4.2.0.38	(Cocoa) (Payer) (Applicable as of October 2019) (Applicable only if you are buying semi-processed cocoa products from producer organizations) You pay a Fairtrade Premium which is derived from the average processing yield calculated by the producer. Only if this information is not available to the producer, the rules as per 4.2.6 (Cocoa product standard) apply.	Fairtrade Premium paid is below calculated average processing yield OR below figures as per 4.2.6 (Cocoa product standard).	Fairtrade Premium paid is based on calculated average processing yield OR based on figures as per 4.2.6 (Cocoa product standard).		0	C		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Cocoa	0.0.0.01		
<b>4.3 Timely Payment</b>															
Cane Sugar 4.3.2 4.1.2, 4.3.6, 4.3.7, Honey 4.1.1	Premium Payer, Price Payer, Trader	4.3.0.02	(Payer) You pay the producer (or the conveyor, if applicable) the price, the Fairtrade Premium, the organic differential (if applicable) and the price differential (if applicable) for the Fairtrade products in a timely manner but no later than • (cane sugar, honey) 30 calendar days after the receipt of the documents transferring ownership • (retro-certified sugar) (Fairtrade Premium) 30 calendar days after Fairtrade payer has informed the producer(s) of the retro-certification details unless quarterly payment is agreed with the producer • (cocoa, coffee) 15 calendar days after the receipt of the documents transferring ownership.	No payment within stipulated timeframes.	Payment within stipulated timeframes BUT with more than 10% cases, which deviate from standards OR there are payments, which have been delayed for more than twice the time limit set in the standards.	Payment within stipulated timeframes BUT with less than 10% cases, with deviations and there is no payment which is delayed for more than twice the time limit set in the standards.	Payment within stipulated timeframes AND for all purchase transactions.	Payment made immediately upon receipt of Fairtrade product or receipt of documents transferring ownership, whichever comes first AND for all purchase transactions.	0	C	Additional information: If there is an agreement between the payer and the producer that the auditor assess as truly beneficial to the producer or both parties, then a different timeframe can be accepted. (This may for example be the case when bank transfer costs of the producer are disproportionate and eat up the invoice amount). - The organic differential applies to cocoa and coffee only, the price differential only to cocoa coming from Ghana and Cote d'Ivoire.	remote Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Cane sugar; Cocoa; Coffee; Honey	0.0.0.01
Tea 4.1.1, 4.3.3, 4.3.4	Premium Payer, Price Payer, Trader	4.3.0.03	(Payer)(Tea) You pay the producer (or the conveyor, if applicable) the price and/or the Fairtrade Premium for the Fairtrade products in a timely manner but no later than -- 30 calendar days after the receipt of the documents transferring ownership - (tea purchased at auctions ) Quarterly basis -- (Fairtrade Premium) if agreed with the producer, by the end of the following month after receipt of the invoice or confirmation of Retro Advice Note	No payment within stipulated timeframes.	Payment within stipulated timeframes BUT with more than 10% cases, which deviate from standards OR there are payments, which have been delayed for more than twice the time limit set in the standards.	Payment within stipulated timeframes BUT with less than 10% cases, with deviations and there is no payment which is delayed for more than twice the time limit set in the standards.	Payment within stipulated timeframes AND for all purchase transactions.	Payment made immediately upon receipt of Fairtrade product or receipt of documents transferring ownership, whichever comes first AND for all purchase transactions.	0	C	Additional information: If there is an agreement between the payer and the producer that the auditor assess as truly beneficial to the producer or both parties, then a different timeframe can be accepted. (This may for example be the case when bank transfer costs of the producer are disproportionate and eat up the invoice amount).	Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Tea	0.0.0.01
Fresh Veg (HL) 5.5.1, 4.3.7 (SPO) 4.3.2-4.3.4; Prep./Pres. Fruit/Vegetables 4.3.4-4.3.7 (SPO), 5.5.2-5.5.3 (HL)	Premium Payer, Price Payer, Trader	4.3.0.04	(Payer) You pay the producer (or the conveyor, if applicable) the price and/or the Fairtrade Premium for the Fairtrade products in a timely manner but no later than -- (vegetables (SPO) at ExWorks excl. pulses) 7 calendar days after delivery of the product -- (vegetables (HL) at ExWorks) Upon receipt of products -- (Pulses) Upon receipt of the product -- (vegetables at FOB) 7 calendar days after inspection in port of destination if accepted by the importer OR 15 calendar days after the receipt of the documents transferring ownership as defined in the contract -- (dried vegetables) 7 calendar days if accepted by the importer after inspection in port of destination	No payment within stipulated timeframes.	Payment within stipulated timeframes BUT with more than 10% cases, which deviate from standards OR there are payments, which have been delayed for more than twice the time limit set in the standards.	Payment within stipulated timeframes BUT with less than 10% cases, with deviations and there is no payment which is delayed for more than twice the time limit set in the standards.	Payment within stipulated timeframes AND for all purchase transactions.	Payment made immediately upon receipt of Fairtrade product or receipt of documents transferring ownership, whichever comes first AND for all purchase transactions.	0	C	Additional information: If there is an agreement between the payer and the producer that the auditor assess as truly beneficial to the producer or both parties, then a different timeframe can be accepted. (This may for example be the case when bank transfer costs of the producer are disproportionate and eat up the invoice amount).	Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Vegetables	0.0.0.01



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Certifier for



NA	0.0.0.02	Can physical traceability be checked during the audit of the site?	0	S					Additional Entity Audit; Associate audit: Focused	ALL	ALL				
Fresh Fruit (SPO) 4.3.1, 4.3.2, 4.3.4, 4.3.5, 4.3.6 & Fresh Fruit (HL) 5.4.8, 5.4.9, 5.4.11, 5.4.12 Prepared & Preserved Fruits & Vegetables (SPO) 4.3.6	Premium Payer, Price Payer, Trader	4.3.0.05	(Payer) You pay the producer (or the conveyor, if applicable) the price and/or the Fairtrade Premium for the Fairtrade products in a timely manner but no later than  -- (fresh fruit FOB excl. wine grapes) 15 calendar days after the release of the shipment in the port of destination. -- (fresh fruit at EXW level excl. wine grapes and fresh oranges for juice) 15 calendar days after delivery of the product unless national legislation requires shorter payment terms -- (wine grapes) (price) within 6 months after purchase of processed or unprocessed wine grapes from producers in a frequency that follows the industry norm  -- (wine grapes) (Fairtrade Premium) within 60 calendar days after purchase of unprocessed or processed wine grapes from producers  -- (dried fruit) 7 days if accepted by the importer after inspection in port of destination  -- (fruit juice at FOB) 30 calendar days after the receipt of the documents transferring ownership	No payment within stipulated timeframes.	Payment within stipulated timeframes BUT with more than 10% cases, which deviate from standards OR there are payments, which have been delayed for more than twice the time limit set in the standards.	Payment within stipulated timeframes BUT with less than 10% cases, with deviations and there is no payment which is delayed for more than twice the time limit set in the standards.	Payment within stipulated timeframes AND for all purchase transactions.	Payment made immediately upon receipt of Fairtrade product or receipt of documents transferring ownership, whichever comes first AND for all purchase transactions.	0	C	If there is an agreement between the payer and the producer that the auditor assess as truly beneficial to the producer or both parties, then a different timeframe can be accepted. (This may for example be the case when bank transfer costs of the producer are disproportionate and eat up the invoice amount).	Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Banana; Dried fruit; Fresh fruit; Fruit juices; Wine grapes	0.0.0.01
Sports balls 5.5.6; Herbs & Spices 5.5.6, 5.5.7 (HL); 4.3.6; 4.3.7 (SPO); Flowers and Plants 5.4.4; Cereals 4.2.1 and 4.2.2; Fiber Crops 4.3.3; Nuts 4.3.4-4.3.5	Premium Payer, Price Payer, Trader	4.3.0.06	(Payer) You pay the producer (or the conveyor, if applicable) the price and/or the Fairtrade Premium for the Fairtrade products in a timely manner but no later than  • (ExWorks: paddy rice, seed cotton, herbs, herbal teas and spices, nuts, oilseeds and oleaginous) Upon receipt of products.  • (FOB: nuts, oilseeds and oleaginous fruit, herbs, herbal teas and spices) 15 calendar days after the receipt of the documents transferring ownership.  • (Sport-balls, Quinoa) 30 calendar days after the receipt of the documents transferring ownership.  • (Raw Cashew Nuts from Africa) 30 calendar days upon receipt of goods  • (Flowers and plants) 30 calendar days after the receipt of the documents transferring ownership.	No payment within stipulated timeframes.	Payment within stipulated timeframes BUT with more than 10% cases, which deviate from standards OR there are payments, which have been delayed for more than twice the time limit set in the standards.	Payment within stipulated timeframes BUT with less than 10% cases, with deviations and there is no payment which is delayed for more than twice the time limit set in the standards.	Payment within stipulated timeframes AND for all purchase transactions.	Payment made immediately upon receipt of Fairtrade product or receipt of documents transferring ownership, whichever comes first AND for all purchase transactions.	0	C	If there is an agreement between the payer and the producer that the auditor assess as truly beneficial to the producer or both parties, then a different timeframe can be accepted. (This may for example be the case when bank transfer costs of the producer are disproportionate and eat up the invoice amount).  - (Flowers): In case payments of one calendar month are to be paid in one sum but no later than 30 days and only upon producer agreement, an agreement by the management of the producer organization is enough, i.e. no separate consent from the Fairtrade Premium Committee is necessary.	Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Unannounced Audit	ALL	Cereals; Cotton; Flowers and Plants; Herbs; herbal teas & spices; Nuts; Oilseeds and Oleaginous fruit; Rice; Sports Balls	0.0.0.01
4.3.2, Cocoa 4.3.2	Premium Conveyor, Price Conveyor, Trader	4.3.0.07	(Conveyor) (Not applicable to oranges for juice) You pay the price differential (if applicable) and/or the Fairtrade Premium to the producer no later than 15 calendar days after receipt of payment from the Fairtrade payer. A different timeframe can be agreed in writing between you and the producer, in which case the payment is made by no later than 30 calendar days after the end of each quarter.  No	Payment (conveying) later than 15 calendar days after receipt of payment from Fairtrade payer OR payment (conveying) later than 30 calendar days after the end of each quarter OR no agreement on quarterly payments with producer in place.	Payment (conveying) within stipulated timeframes BUT with many exceptions.	Payment (conveying) within 15 calendar days after receipt of payment from Fairtrade payer OR payment no later than 30 calendar days after the end of each quarter AND the different timeframe was agreed in writing with the producer BUT with some small exceptions.	Payment (conveying) within stipulated timeframes AND for all purchase transactions.	Payment (conveying) immediately upon receipt of payment from Fairtrade payer OR within timeframe agreed in writing with producer organization AND payments are done more often than four times per year.	0	C	Additional information: In the case of cocoa from Ghana and Cote d'Ivoire, the price differential refers to the difference between the Fairtrade minimum price and the national market reference price.	Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Unannounced Audit	ALL	Banana; Cane sugar; Cereals; Cocoa; Coffee; Cotton; Dried fruit; Dried vegetables; Fresh fruit; Fruit juices; Herbs, herbal teas & spices; Honey; Nuts; Oilseeds and Oleaginous fruit; Pulp; Rice; Sports Balls; Tea; Timber; Vegetables; Wine grapes	0.0.0.01
Fresh Fruits (HL) 5.4.13 & Fresh Fruit (SPO) 4.3.6	Premium Conveyor, Price Conveyor, Trader	4.3.0.09	(Conveyor) (For oranges for juice) You pay at least the first payment based on the percentages indicated in 5.4.3 of the Fresh fruit (HL) standard and 4.2.3 of the Fresh fruit (SPO) standard to the producer no later than 30 calendar days upon receipt of goods. You convey the Premium and price differential (see CC 4.2.0.10) to the producer no later than 15 calendar days after receipt of payment from the Fairtrade payer.  No	No	Yes			Payment made immediately upon receipt of payment from Fairtrade payer OR within timeframe agreed in writing with producer organization AND payments are done more often than four times per year.	0	C		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Unannounced Audit	ALL	Oranges	0.0.0.01





NA	0.0.0.02	Can physical traceability be checked during the audit of the site?				0	S		Additional Entity Audit; Associate audit; Focused	ALL	ALL		
Coffee 4.3.8	Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader	4.3.0.10 (Payer, Conveyor) (Coffee) (Only applicable if you delay the payment date set in the Fairtrade Standard) You have notified the Fairtrade seller immediately, latest 1 week before the payment date, of a potential delay of payment of the Fairtrade price as set in the contract.	No	Yes		0	C		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Coffee	0.0.0.01	
FLOCERT Requirement (Cereals and Fibre Crop)	Premium Payer, Price Payer, Trader	4.3.0.11 (Payer) (Rice, Seed cotton) (Only applicable if the price and premium are not paid within the timeline set in the Fairtrade Standard) You prove that if the price and premium payments are not made within 15 calendar days of purchase, it was necessary and normal to buy the product in advance and store it for longer than 15 calendar days.	No written justification for delayed payment existing.	Written justification for delayed payment existing.		0	C		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Cotton	0.0.0.01	
Gold 4.5.2	Premium Conveyor, Price Conveyor, Trader	4.3.0.12 (Conveyor) (Gold) You ensure that payment of Fairtrade price and/or Premium to the miners is made no later than 7 calendar days after receipt of payment from the Fairtrade payer.	No	Yes		0	C		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Gold & associated Precious Metals	0.0.0.01	
Coffee 4.3.3	Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader	4.3.0.19 (Payer, Conveyor) (Coffee) (Applicable for price-to-be-fixed contracts) If the seller wishes to fix the price before the harvest starts, the fixation was made with the agreement of the buyer. Both the seller and the buyer have jointly agreed upon a risk management strategy. The mutual agreement and the details of the risk management strategy were confirmed in writing.	No agreement of the buyer AND no jointly agreed risk management strategy in place for price to be fixed contracts fixed by the seller before the harvest has started.	Written agreement of the buyer AND jointly agreed risk management strategy in place for price to be fixed contracts fixed by the seller before the harvest has started.		0	C		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Coffee	0.0.0.01	
Coffee 4.3.5	Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader	4.3.0.20 (Payer, Conveyor) (Coffee) In the case of outright priced contracts, prices have not been fixed for a period longer than one crop period.	Prices fixed for one period longer than one crop.	Prices fixed for one crop only.	Prices fixed for one purchase contract only.	0	C		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Coffee	0.0.0.01	
Coffee 4.3.10	Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader	4.3.0.21 (Payer, Conveyor) (Coffee) The Fairtrade Premium has been paid in addition to the price of the product ("C" Price plus or minus the prevailing differential, or the Fairtrade Minimum Price, whichever is higher). The Fairtrade Premium amount has not been incorporated into the agreed upon differential.	The Fairtrade Premium has not been paid in addition to the price of the product AND/OR has been incorporated into the agreed upon differential.	The Fairtrade Premium is clearly separated from the price of the product and has been paid additionally to the price.	Purchase contracts clearly separate the price of the product from the Fairtrade Premium as follows: Market price ("C" Price plus or minus the prevailing differential) or Fairtrade minimum price (whichever is higher) + Fairtrade Premium + organic differential (if applicable)	0	C		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Coffee	0.0.0.01	
Tea 2.1.4 HL	Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader	4.3.0.22 (Payer, Conveyor) (Tea) (Only applicable for conventional teas from the camellia plant made using the CTC production method and to dust and fannings made using the orthodox production method sourced from Hired Labour set ups) If the sustainability margin is invoiced separately by the producer, you pay the Fairtrade Premium as invoiced (80 % to the Fairtrade Premium Committee and 20 % for the tea estate as sustainability margin). You have clearly documented the split.	No split of payment takes place despite split invoice from the producer.	80/20 split of the Fairtrade Premium takes place in some occasions AND is not properly documented.	80/20 split of the Fairtrade Premium takes place and is well documented.	0	C		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Tea	0.0.0.01	
Sports Balls 5.5.3	Premium Payer, Price Payer, Trader	4.3.0.23 (Payer) (Sports Balls) You have paid a surcharge of up to 5% of the negotiated price as Compliance Costs Compensation if invoiced by the producer.	No payment of surcharge although invoiced as Compliance Costs Compensation by producer.	Payment of surcharge of up to 5% of the negotiated price as Compliance Costs Compensation.		0	C		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Sports Balls	0.0.0.01	
Sugar 4.3.2	Premium Conveyor, Price Conveyor, Trader	4.3.0.24 (Conveyor) You transfer premium payments in an aggregated manner if requested and agreed by the producers, in which case this payment is made no later than 30 calendar days after the end of each quarter.	No	Yes		0	C		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Cane sugar	0.0.0.01	
Flowers and plants 5.4.4	Premium Conveyor, Price Conveyor, Trader	4.3.0.25 (Flowers and plants) (Conveyor) You pay the difference between the Fairtrade Minimum Price and the price at which you initially bought the product from the producer in case the Fairtrade Minimum Price is higher and/or the Fairtrade Premium to the producer no later than 30 calendar days after receipt of payment from the Fairtrade payer.	No payment of price difference in case the Fairtrade Minimum Price is higher OR of premium.	Only premium is paid but not the price difference.	Payment of price difference in case the Fairtrade Minimum Price is higher AND premium within timeline.	The buyer pays a part of the margin to the producer as part of profit sharing.	0	C		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Flowers and Plants	0.0.0.01



NA		0.0.0.02	Can physical traceability be checked during the audit of the site?						0	S		Additional Entity Audit; Associate audit; Focused	ALL	ALL	
Fresh fruits (SPO) 4.3.3: (HL) 5.4.10	Premium Payer, Price Payer, Trader	4.3.0.26	(Payer) (Fresh fruits) If producers agree, you make payments for a calendar month at the latest 15 calendar days after the end of the respective month.	No written agreement with the producer in place.	Written agreement with producer in place but payments of price and premium made later than 15 calendar days after the end of the respective month.	Written agreement with the producer and payment made not later than 15 calendar days after the end of the respective month.			0	C		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Banana; Fresh fruit; Wine grapes	0.0.0.01
Cocoa 4.3.2	Premium Conveyor, Price Conveyor, Trader	4.3.0.27	(Cocoa) (Conveyor) You pay the price differential, the Fairtrade Premium and the organic differential (if applicable) to the producer no later than 15 calendar days after receipt of the payment from the Fairtrade payer.	Payment (conveying) later than 15 calendar days after receipt of payment from Fairtrade payer.	Payment (conveying) within stipulated timeframes BUT with many exceptions.	Payment (conveying) within 15 calendar days after receipt of payment from Fairtrade payer.	Payment (conveying) within stipulated timeframes AND for all transactions.	Payment (conveying) immediately upon receipt of payment from Fairtrade payer.	0	C		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Cocoa	0.0.0.01
<b>4.4 Access to Finance</b>															
4.4.1	Trader	4.4.0.01	(Only applicable to contracts signed after 01/09/2015) (First buyer) (Not applicable to cane sugar, sports balls, fresh fruit, excluding wine grapes, fresh vegetables (from HL), flowers and plants) You offer pre-finance of the payment of the Fairtrade contracts, or facilitate that this is done via a third party, to enable producer organizations to purchase the products from their members, unless - there is a proven high risk (e.g. risk of default of the contract, of non-repayment or of important quality problems); - the producer declines this pre-finance in a verifiable way; or - this is not legally allowed in the country you are operating in.	No pre-finance offered or facilitated via a third party.	Pre-finance offered or facilitated via a third party BUT amount of pre-finance provided lower than requested by producer organization.	Pre-finance offered or facilitated via a third party AND amount of pre-finance according to provisions of applicable Fairtrade standard.	Pre-finance pro-actively offered or facilitated via a third party for all Fairtrade purchases AND amount of pre-finance according to provisions of applicable Fairtrade product standard.	Pre-finance pro-actively offered or facilitated via a third party for each Fairtrade purchase AND amount of pre-finance equals whole contract value (i.e. 100%).	0	M	Additional Information: Clarification based on Interpretation Note from Standards Unit (20.02.2019): With the review of the Trader Standard in 2015, the onus to offer pre-finance was changed to the trader. Since then, not all product standards have been revised accordingly (e.g. coffee, herbs, herbal tea and spices, tea) and the relevant requirement in those product standards still states that pre-finance has to be made available upon request of producers. In this case, the Trader Standard overrides the product standards that have not been revised since 2015, so it is the responsibility of the trader to offer pre-finance. The percentage of pre-finance that needs to be offered is stated in the product standards (60% in most cases). As the responsibility to offer pre-finance is now with the trader, the percentage is understood as "at least 60%", and not "up to 60%" as previously when producers had to request pre-finance. - The requirement does not apply when buying FT products (e.g. tea) via auctions in the country of origin.	remote Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Cereals; Cocoa; Coffee; Cotton; Dried fruit; Dried vegetables; Fruit juices; Herbs, herbal teas & spices; Honey; Nuts; Oilseeds and Oleaginous fruit; Pulp; Rice; Tea; Timber; Vegetables; Wine grapes	0.0.0.01
4.4.1	Trader	4.4.0.02	(First buyer) (Not applicable to cane sugar, sports balls, fresh fruit, excluding wine grapes, fresh vegetables (from HL), flowers and plants) You do not put pressure on the producer to decline your offer of pre-finance, for instance, you do not make it a condition for signing a contract that the producer declines the pre-finance offer.	Evidence exists that producer has been pressured to decline offer for pre-finance.		No evidence exists that producer has been pressured to decline offer for pre-finance.			0	M		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Cereals; Cocoa; Coffee; Cotton; Dried fruit; Dried vegetables; Fruit juices; Herbs, herbal teas & spices; Honey; Nuts; Oilseeds and Oleaginous fruit; Pulp; Rice; Tea; Timber; Vegetables; Wine grapes	0.0.0.01



NA		0.0.0.02	Can physical traceability be checked during the audit of the site?					0	S		Additional Entity Audit; Associate audit; Focused	ALL	ALL	
4.4.2	Trader	4.4.0.03	(First buyer) (Not applicable to cane sugar, sports balls, fresh fruit, excluding wine grapes, fresh vegetables (from HL), flowers and plants) If you provide pre-finance directly, you and the producer agree in writing on the following: - Amount of the pre-finance, in line with the product standards (in general a minimum of 60% of the contract value; for fresh vegetables incl. roots and tubers from SPO a minimum of 40% of the contract value; no minimum percentage required for cocoa) - Duration of the pre-finance, in line with the product standards + Payment terms - Interest charges, if any - Other charges, if applicable - Consequences in case of quality problems and/or non-delivery of product	No written pre-finance agreement existing.	Written pre-finance agreement existing BUT incomplete.	Written and complete pre-finance agreement existing.	Written and complete pre-finance agreement existing AND conditions more beneficial than those from local money lenders OR pre-finance provided in line with the best practice guidance given in product Standards.	0	C	Additional information: When signing a price to be fixed contract, no final price has been defined yet at the time of signing the contract. The customer is thus compliant if the amount pre-financed covers 60% (except for cocoa) of the contract value, only taking the applicable FT minimum price into account. - Cocoa: No minimum percentage needs to be adhered to.	Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Cereals; Cocoa; Coffee; Cotton; Dried fruit; Dried vegetables; Fruit juices; Herbs, herbal teas & spices; Honey; Nuts; Oilseeds and Oleaginous fruit; Pulp; Rice; Tea; Timber; Vegetables; Wine grapes	0.0.0.01
4.4.2 and Product standard clauses on Prefinance	Trader	4.4.0.04	(First buyer) (Not applicable to cane sugar, sports balls, fresh fruit, excluding wine grapes, fresh vegetables (from HL), flowers and plants) Pre-financing is made effectively available for use and is delivered to the producer as soon as possible upon signing the contract but at latest: - (for coffee) eight weeks prior to the shipment of the product - (for pulses, honey, cocoa, nuts, cereal, prepared fruit and vegetables, fibre crop, herbs herbal teas and spices, oilseeds and oleaginous fruit, cane sugar, pulses and tea, wine grapes) six weeks prior to the shipment of the product - (for dried fruit open contracts) two weeks before the start of the quarter or month	Pre-finance provided later than within stipulated timelines.		Pre-finance provided within stipulated timelines.	Pre-finance provided immediately upon signing the contract (i.e. earlier than stipulated timelines) OR in line with the best practice guidance given in product Standards.	0	C		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Cereals; Cocoa; Coffee; Cotton; Dried fruit; Dried vegetables; Fruit juices; Herbs, herbal teas & spices; Honey; Nuts; Oilseeds and Oleaginous fruit; Pulp; Rice; Tea; Timber; Vegetables; Wine grapes	0.0.0.01
4.4.3	Trader	4.4.0.05	(First buyer) (Not applicable to cane sugar, sports balls, fresh fruit, excluding wine grapes, fresh vegetables (from HL), flowers and plants) If you facilitate pre-finance via a third party lender, you take all actions that are needed to achieve effective facilitation, such as: - act as a reference to the lender on behalf of the producer; - confirm that the Fairtrade contract is valid and can be used as collateral for providing pre-finance to the producer; and - agree with the producer on how payment of the contract will be made (either to the producer or the third party lender).	No actions taken to achieve effective facilitation.	Actions taken to achieve effective facilitation are inadequate.	All actions taken to achieve effective facilitation.	All actions taken to achieve effective facilitation AND written tripartite agreement with producer organization and third party lender existing.	0	C	All actions taken to achieve effective facilitation AND written tripartite agreement with third party lender existing AND agreement countersigned by all involved parties.	Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Cereals; Cocoa; Coffee; Cotton; Dried fruit; Dried vegetables; Fruit juices; Herbs, herbal teas & spices; Honey; Nuts; Oilseeds and Oleaginous fruit; Pulp; Rice; Tea; Timber; Vegetables; Wine grapes	0.0.0.01
Gold 2.3.12	Trader	4.4.0.06	(First buyer) (Gold) (Applicable for new trading relationships) If a trial period for pre-finance is agreed between buyers and the ASMO, the trial period does not exceed two consignments.	No		Yes		0	C		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Gold & associated Precious Metals	0.0.0.01
Gold 4.4.3	Trader	4.4.0.07	(First buyer) (Gold) (Applicable in case of a filed "failed delivery report" or "non-conformity" filed by a buyer). If a new trial period for pre-finance is agreed between the buyer and the ASMO, the trial period does not exceed four consignments.	No		Yes		0	C		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Gold & associated Precious Metals	0.0.0.01
Gold 4.4.2	Trader	4.4.0.08	(First buyer) (Gold) (Applicable after a successful trial period) On request of the ASMO, you provide at least 40 percent of the value of each consignment as pre-finance to the ASMO; unless the requested amount is lower.	No		Yes		0	C		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Gold & associated Precious Metals	0.0.0.01



NA		0.0.0.02	Can physical traceability be checked during the audit of the site?					0	S		Additional Entity Audit; Associate audit: Focused	ALL	ALL	
		<b>4.5</b>	<b>Sourcing and Market Information for Planning</b>								<b>remote</b>			
4.5.1	Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader	4.5.0.01	(Payer, Conveyor) You provide a sourcing plan to each producer or conveyor that you plan to buy from or at least realistic information on market prospects.	No sourcing plan provided.	Sourcing plan provided BUT only to some supplying producer organizations OR only done orally.	Written sourcing plan provided to all supplying producer organizations.	Written sourcing plan provided to all supplying producer organizations AND including a binding commitment to at least purchase 50% of the volumes indicated in the sourcing plan.	Written sourcing plan provided to all supplying producer organizations AND including a binding commitment to at least purchase 75% of the volumes indicated in the sourcing plan.	0	C	Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	ALL	0.0.0.01
Product Standards on Sourcing Plans	Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader	4.5.0.02	(Payer, Conveyor) (Cane Sugar, Coffee, Fruit Juices, Herbs, herbal teas & spices, Honey, Nuts, Oilseeds & Oleaginous Fruit, Cereals, Fibre crops, Pulses, Wine grapes, Gold) There is a sourcing plan in place to cover at least a period of 12 months (annual production / harvest / season).	Sourcing plan covers a period of less than 12 months.		Sourcing plan covers a period of 12 months.		Sourcing plan covers a period greater than 12 months.	0	C	Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Cane sugar; Cereals; Coffee; Cotton; Fruit juices; Gold & associated Precious Metals; Herbs, herbal teas & spices; Honey; Nuts; Oilseeds and Oleaginous fruit; Pulses; Rice; Wine grapes	0.0.0.01
Product Standards on sourcing plans	Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader	4.5.0.03	(Payer, Conveyor) (Cane sugar, Coffee, Dried fruit, Dried Vegetables, Fruit Juices, Herbs, herbal teas & spices, Honey, Nuts, Oilseeds & Oleaginous Fruit, Cereals, fibre crops, Pulses, Flowers and Plants, Wine grapes, Prepared & preserved fruits & vegetables (dried bananas)) Sourcing plans are renewed at least three months before the previous sourcing plan expires.	Sourcing plan renewed later than three months before the previous sourcing plans expire.		Sourcing plan renewed at the latest three months before the previous sourcing plans expire.	Sourcing plan renewed earlier than three months before the previous sourcing plans expire.	Sourcing plan renewed at regular intervals throughout the year or harvest season (rolling forecasting).	0	C	Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Cane sugar; Cereals; Coffee; Cotton; Dried fruit; Dried vegetables; Flowers and Plants; Fruit juices; Herbs, herbal teas & spices; Honey; Nuts; Oilseeds and Oleaginous fruit; Pulses; Rice; Wine grapes	0.0.0.01
Fresh Fruit, Tea, Vegetables 4.1.1	Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader	4.5.0.04	(Payer, Conveyor) (Tea): There is a sourcing plan in place to cover at least 3 months.	Sourcing plan covers a period of less than 3 months.		Sourcing plan covers a period of 3 months.		Sourcing plan covers a period greater than 3 months.	0	C	Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Pulses; Tea	0.0.0.01
Fresh Fruit 4.1.1, Veg SPO 4.1.1, Veg HL 5.1.1	Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader	4.5.0.05	(Payer, Conveyor) (Vegetables) You provide a sourcing plan on either a seasonal (for seasonal fruit) or quarterly (for year round crops) basis.	No		Yes			0	C	Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Vegetables	0.0.0.01



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NA		0.0.0.02	Can physical traceability be checked during the audit of the site?				0	S		Additional Entity Audit; Associate audit; Focused	ALL	ALL	
Fresh Fruit, Veg SPO 4.1.1; Veg HL 5.1.1, Tea 4.1.1	Premium Conveyer, Premium Payer, Price Conveyer, Price Payer, Trader	4.5.0.06	(Payer, Conveyer) (Tea, Vegetables, incl. roots and tubers (SPO)), Fresh vegetables) You ensure that sourcing plans are renewed at least 2 weeks before the previous sourcing plan expires.	Sourcing plan renewed later than two weeks before the previous sourcing plans expire.	Sourcing plan renewed at the latest two weeks before the previous sourcing plans expire.	Sourcing plan renewed at regular intervals throughout the quarter (rolling forecasting).	0	C		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Vegetables	0.0.0.01
Prepared and Preserved Fruit & Vegetables (SPO) 4.1.1 & Prepared and preserved fruit & vegetables (HL) 5.2.2	Premium Conveyer, Premium Payer, Price Conveyer, Price Payer, Trader	4.5.0.07	(Payer, Conveyer) (Dried fruit, Dried vegetables, Dried bananas) Sourcing plans cover a period agreed by both parties.	Sourcing plan does not cover a period agreed by both parties.	Sourcing plan covers a period agreed by both parties.		0	C		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Dried fruit; Dried vegetables	0.0.0.01
Flowers and Plants 5.2.1; 5.3.1	Premium Conveyer, Premium Payer, Price Conveyer, Price Payer, Trader	4.5.0.08	(Payer, Conveyer) (Flowers and Plants) There is sourcing plan in place to cover at least a period of 6 months that clearly states	No written sourcing plans in place.	Sourcing plan covers a period of less than 6 months.	Sourcing plans cover a period of 6 months.	Sourcing plan covers a period greater than 6 months.	0	C	Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Flowers and Plants	0.0.0.01
			<ul style="list-style-type: none"> <li>• agreed volumes</li> <li>• quality</li> <li>• price</li> <li>• Fairtrade premium,</li> <li>• payment terms and</li> <li>• delivery conditions.</li> </ul>										
Flowers and Plants 5.2.2; 5.3.2	Premium Conveyer, Premium Payer, Price Conveyer, Price Payer, Trader	4.5.0.09	(Payer, Conveyer) (Flowers) You ensure that at least 50 % (first year of trade relationship) or 75% (subsequent years) of the volumes indicated in the sourcing plan have been bought as Fairtrade or in the event that the Fairtrade payer purchases less and if this is due to non-fulfillment of orders by their clients, the Fairtrade payer informs FLOCERT of this in writing, providing evidence.	Less than 50% (first year of trade relationship) or 75% (subsequent years) of volumes indicated in sourcing plans bought as Fairtrade AND no proactive information to certification body.	More than 50% (first year of trade relationship) or 75% (subsequent years) of volumes indicated in sourcing plans bought as Fairtrade OR (if due to non-fulfillment of orders by their clients) written information to certification body.	Purchase of 100% (or more) of the volumes indicated in sourcing plans.	0	C		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Flowers and Plants	0.0.0.01
Tea 5.2.1	Trader	4.5.0.13	(First buyer) (Tea) (Applicable if Fairtrade tea is sold through auctions) The buyer communicates to the producer their intention to buy at auction using a Quarterly Sourcing Plan. Within one week of the auction the buyer reports comprehensive information relating to the sale to the producer, including an indication of all outstanding Fairtrade-related payments. All outstanding Fairtrade-related payments are made on a regular quarterly basis.	No	Yes		0	C		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Tea	0.0.0.01
Tea 1.1.1-1.1.3	Premium Payer, Price Payer, Trader	4.5.0.16	(Payer) (Tea) (Applicable in case of retro-certification) You have informed FLOCERT in writing of the intent to retro-certify tea prior to converting a Fairtrade eligible tea to Fairtrade tea.	No	Yes		0	C		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Tea	0.0.0.01
Tea 1.1.1-1.1.3	Premium Payer, Price Payer, Trader	4.5.0.17	(Payer) (Tea) (Applicable in case of retro-certification) On retro-certifying the Fairtrade premiums and any Fairtrade Price adjustments are paid.	No	Yes		0	C		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Tea	0.0.0.01



NA	0.0.0.02	Can physical traceability be checked during the audit of the site?			0	S	Additional Entity Audit; Associate audit: Focused	ALL	ALL	
Tea 1.1.1-1.1.3	Premium Payer, Price Payer, Trader	4.5.0.18 (Payer) (Tea) (Applicable in case of retro-certification) Prior to retro-certifying tea, you have sent a signed and coded Retro Advice Note to the tea producer that includes:  • a copy of the original invoice(s) and contract(s)  • Buyer name and FLO ID  • Producer name FLO ID  • Year and transaction number  • volume of tea being retro-certified  • premium amount due  • price adjustment (where applicable if original price paid is below applicable Fairtrade Minimum price)  • The date the producer countersigns the retro advice note is the date the buyer can convert the tea to Fairtrade tea.	Retro Advice Note incomplete OR tea converted into Fairtrade before receipt of countersigned Retro Advice Note from the producer OR copy of Retro Advice Note not sent to retro.tea@fairtrade.net within 5 working days.	Retro Advice Note complete AND tea converted into Fairtrade only after receipt of countersigned Retro Advice Note from producer AND copy of Retro Advice Note sent to retro.tea@fairtrade.net within 5 working days.	0	C	Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Tea	0.0.0.01
Tea 1.1.1-1.1.3	Premium Payer, Price Payer, Trader	4.5.0.19 (Payer) (Tea) (Applicable in case of retro-certification) You have sent a copy of the counter signed Retro Advice Note to retro.tea@fairtrade.net within 5 working days of the declaration date.	No	Yes	0	C	Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Tea	0.0.0.01
Tea 1.1.1-1.1.3	Premium Payer, Price Payer, Trader	4.5.0.20 (Payer) (Tea) (Applicable in case of retro-certification) For CTC African teas, you have retro-certified a maximum of 30% of the volume purchased on the original invoice from the producer(s) not later than 3 months of the original purchase invoice.	More than 30% of the volume purchased on the original invoice retro-certified OR volumes retro-certified later than 3 months of the original purchase invoice.	Up to 30% of the volume purchased on the original invoice retro-certified AND volumes retro-certified within 3 months of the original purchase invoice.	0	C	Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Tea	0.0.0.01
Tea 1.1.1-1.1.3	Premium Payer, Price Payer, Trader	4.5.0.21 (Payer) (Tea) (Applicable in case of retro-certification) For orthodox and non-African CTC teas, you have retro-certified a maximum of 100% of volume purchased on the original invoice from the producer(s) not later than 6 months of the original purchase invoice.	Volumes retro-certified later than 6 months of the original purchase invoice.	Volumes retro-certified within 6 months of the original purchase invoice.	0	C	Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Tea	0.0.0.01
Tea 1.1.1-1.1.3	Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader	4.5.0.22 (Payer, Conveyor) (Tea) If you have bought tea via a conveyor, either: i. You agreed with the conveyor that the additional price and/or premium shall be paid directly to the producer and applied the usual retroactive certification procedure or ii. you agreed to work with the conveyor requiring the conveyor to arrange the Retro Advice Note to be approved by the producer ensuring to apply the retroactive certification procedure.	No written agreement between Fairtrade payer and Fairtrade conveyor in place.	Written agreement between Fairtrade payer and Fairtrade conveyor in place.	0	C	Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Tea	0.0.0.01
Sugar 4.8.2	Premium Payer, Price Payer, Trader	4.5.0.24 (Payer) (Cane sugar) (Applicable in case of retro-certification) You have received written confirmation from the seller that Fairtrade eligible sugar is available prior to retro-certifying volumes in question.	No	Yes	0	C	Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Cane sugar	0.0.0.01
Sugar 4.4.3	Premium Conveyor, Price Conveyor, Trader	4.5.0.25 (Conveyor) (Cane sugar) (Applicable in case of multiple producers supplying the same mill) You communicate to the payer the agreement reached by producers on premium distribution, or in case of no agreement among producers, the volumes of cane supplied by each producer organization.	Fairtrade payer not informed.	Fairtrade payer informed in writing.	0	C	Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Cane sugar	0.0.0.01



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NA		0.0.0.02	Can physical traceability be checked during the audit of the site?			0	S		Additional Entity Audit; Associate audit: Focused	ALL	ALL			
Fibre crops 4.1.2	Premium Payer, Price Payer, Trader	4.5.0.29	(Payer) (Seed Cotton) You have bought seed cotton as Fairtrade eligible and sold the lint or derived products as Fairtrade only if the conditions below are met:  <ul style="list-style-type: none"> <li>The seed cotton has been produced as Fairtrade.</li> <li>The buyer has notified the supplying producers (SPO or PEB) within 15 calendar days of the sales.</li> <li>The buyer has informed each of the supplying producers of the volumes of their Fairtrade eligible seed cotton sold as Fairtrade, the corresponding Fairtrade price adjustment (the difference between the market price and the Fairtrade price, if applicable) and of the Fairtrade Premium due.</li> <li>You have transferred the corresponding Fairtrade Premium and price adjustment to producers according to Fibre Crops Standard requirement 4.3.4</li> <li>If you only sell a certain percentage of the total Fairtrade eligible seed cotton as Fairtrade, this percentage is applied to each producer's supplied volume, and the Premium and price adjustment (if applicable) are calculated pro rata.</li> <li>If the second buyer (the buyer of the cotton lint or derived product) requests in writing for cotton from a specific producer, the Fairtrade price adjustment and Premium is to be paid to that specific producer.</li> </ul>	No	Yes	0	C		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Cotton	0.0.0.01		
FLOCERT Requirement	Premium Payer, Price Payer, Trader	4.5.0.30	(Payer) (Cocoa from Ghana) You have notified FLOCERT in writing of the intent to source Fairtrade cocoa beans from (the COCOBOD in) Ghana.	No	Yes	0	C		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Cocoa	0.0.0.01		
FLOCERT Requirement	Premium Payer, Price Payer, Trader	4.5.0.31	(Payer) (Cocoa from Ghana) You sign a Memorandum of Understanding / purchase contract for Fairtrade products with the producer organization supplying cocoa beans through the COCOBOD / CMC. Contracts / MoU follow industry regulations, and as a minimum clearly indicate:  <ul style="list-style-type: none"> <li>agreed volumes</li> <li>quality specifications</li> <li>price, defined according to the requirements of the pricing section</li> <li>amount of Fairtrade Premium to be paid (indicated separately from the price)</li> <li>who is responsible for paying the Fairtrade price and the Fairtrade Premium</li> <li>the form of payment, which must be transparent, and traceable</li> <li>the date of the exchange rate to be used in case the payment of the price and the premium is made in a different currency than the one defined in the Fairtrade price table</li> <li>the terms and the amount of pre-finance, if applicable</li> <li>procedures in case of quality problems</li> <li>terms of delivery using international commercial terms (Incoterms)</li> <li>terms of payment according to the product standards</li> <li>definition or mentioning of 'Force Majeure'</li> </ul>	No	Yes	0	C		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Cocoa	0.0.0.01		
FLOCERT Requirement	Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader	4.5.0.32	(Payer, Conveyor) (Cane sugar) (Applicable in case of retro-certification) Secondary products and their derivatives are only retro-certified if the Fairtrade payer and/or exporter has documentary evidence confirming the origin of the product.	Secondary products and derivatives retro-certified without documentary evidence confirming the origin of the product existing.	Documentary evidence confirming the origin of the product existing for retro-certified secondary products and derivatives.	0	C		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Cane sugar	0.0.0.01		
Sugar 4.7.1	Trader	4.5.0.34	(Mill/Exporter) You invite representatives of all producer organizations you buy from to regular meetings to discuss how to improve sustainable production of sugar cane, sugar and its secondary products. You share an annual report of Fairtrade sales as well as an overview of the premium to be received. These meetings take place at least twice a year and agreements are minuted.	No invitation made to the representatives of producer organization the customer buys from, nor is the annual report shared.	Invitations are made and the annual report is shared BUT these are made sporadically and are not minuted.	These meetings take place twice a year, are minuted and the annual report is shared.	RANK 3 AND the meetings take place before the harvest and after Fairtrade sales are confirmed.	0	C		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Cane sugar	0.0.0.01



NA		0.0.0.02	Can physical traceability be checked during the audit of the site?				0	S		Additional Entity Audit; Associate audit; Focused	ALL	ALL	
Cocoa 4.5.1	Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader	4.5.0.35	(Applicable from 1 October 2017) (Cocoa) (Payer, conveyor) You provide sourcing plans to the producers (or the conveyors, if applicable) that - cover each harvest - are provided at a minimum one month in advance of the cocoa trading season and - are renewed at least annually.	No sourcing plans are provided.	Sourcing plans but they are not in line with the requirements.	Sourcing plans are provided in line with the requirements.	0	C		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Cocoa	0.0.0.01, 0.0.0.02
Fresh Fruit 4.5.15	Trader	4.5.0.36	(Importer) (Fresh fruits, including banana) (Applicable in case of retro-certification) You only retro-certify unlabelled fruit and ensure that labelling of retro-certified fruit is done by a certified trader on behalf of the licensee.	No	Yes		0	C		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Banana; Fresh fruit; Wine grapes	0.0.0.01
Fresh fruit (SPO) 4.5.16 & 5.5.16 (HL)	Trader	4.5.0.37	(Importer) (Fresh fruits, including banana) (Applicable in case of retro-certification) You inform the producer and, if applicable, also the exporter of the retro-certified transactions within 5 working days.	No information was provided to the producer or buyer/ exporter.	The information was provided but not within 5 working days AND/ OR no written confirmation from the exporter was received.	The information was provided on time and (if applicable) the exporter's written confirmation was received.	0	C		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Banana; Fresh fruit; Wine grapes	0.0.0.01
Fresh fruit (SPO) 4.5.15/16 & 5.5.16 (HL)	Trader	4.5.0.38	(Importer) (Fresh fruits, including banana) (Applicable in case of retro-certification) You pay the Fairtrade Premium and any price adjustments to the producer or exporter, within 15 calendar days having labelled and sold the fruit as Fairtrade. If you are not the first buyer, you have a written confirmation from the exporter that he is willing to take on the responsibility to convey the additional price and premium for the retro-certified fruits.	Price and premium adjustments are not paid OR there is no confirmation of the exporter to take on this responsibility.	Price and premium adjustments are paid but payments are not correct.	Price and premium adjustments are paid correctly OR there is confirmation of the exporter to take on this responsibility.	0	C	Rank 3 AND there is proof available for the payment done by the exporter.	Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Banana; Fresh fruit; Wine grapes	0.0.0.01
Fresh fruit (SPO) 4.5.17 & 5.5.17 (HL)	Trader	4.5.0.39	(Importer) (Fresh Fruit, incl. banana) You keep records of all retro-certified transactions including: • the date of purchase of the fruit from the producer organisation • the transaction identification • the container/ shipment information • the seller and buyer identification • the volume of fruit being retro-certified • the Fairtrade Premium amount due • the Fairtrade Price adjustment (if applicable) • the party responsible for paying/ conveying price differential and premium to the producer.	There are no records available.	Records do not contain all required details.	Complete records are available.	0	C		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Banana; Fresh fruit; Wine grapes	0.0.0.01
FLOCERT Requirement	Premium Conveyor, Price Conveyor, Trader	4.5.0.40	(Conveyor) (Fresh fruits, including banana) (Applicable in case of retro-certification) You confirm in writing that you are willing to take on the responsibility to convey any price adjustment and Fairtrade Premium for the retro-certified fruits and you convey the price adjustment (if applicable) and the Fairtrade Premium to the producer organization.	No written confirmation in place AND/OR price adjustment and Fairtrade Premium not conveyed.		Written confirmation in place AND price adjustment and Fairtrade Premium is conveyed.	0	C		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Banana; Fresh fruit; Wine grapes	0.0.0.01
Sugar 4.8.3	Premium Conveyor, Price Conveyor, Trader	4.5.0.41	(Conveyor) (Cane sugar) (Applicable in case of retro-certification) You keep track of volumes of retro-certified sugar.	No	Yes		0	C		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Cane sugar	0.0.0.01
<b>4.6 Sharina Risks</b>													
4.6.1	Trader	4.6.0.01	(First buyer) Quality claims are documented in full detail and communicated to the producer as soon as they are identified.	Quality claims not documented in full detail OR not communicated to producer as soon as they are identified.		Quality claims documented in full detail AND communicated to producer as soon as they are identified.	0	C	Quality claims documented in full detail AND communicated to producer as soon as they are identified AND no quality claims for quality problems generated beyond the responsibility of the producer made AND producer is not charged for quality claims.	Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	ALL	0.0.0.01
4.6.1	Trader	4.6.0.02	(First buyer) You do not make quality claims for quality problems generated beyond the responsibility of the producer.	Quality claims for quality problems generated beyond the responsibility of the producer made.		No quality claims for quality problems generated beyond the responsibility of the producer made.	0	C		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	ALL	0.0.0.01





NA		0.0.0.02	Can physical traceability be checked during the audit of the site?				0	S		Additional Entity Audit; Associate audit; Focused	ALL	ALL	
Fresh fruits (SPO) 4.5.2, 4.5.3, 4.5.4 & 5.5.2, 5.5.3, 5.5.4 (HL)	Trader	4.6.0.03	(Fresh fruits, incl. banana) (Only applicable in case you issue a quality claim if the shipment does not comply with the quality definition agreed, the quality claim takes place in one of the following forms: • (importer) within 2 working days after release of the fruit at port of destination. • (ripeners) within 8 working days after receipt of the fruit but not later than 15 calendar days after arrival of the fruit at the port of destination. • (trader buying from an importer or another trader) within 2 working days of receipt of the fruit, but not later than 30 calendar days after arrival of the fruit at the port of destination.	No	Yes		0	C	Additional information: For ripeners: In case the ripener also stores the product, the time frame for filing a quality claim only starts when the ripening process starts. - If the ripener is not Fairtrade certified, the quality claim needs to reach the next buyer (importer) within 8 working days, but maximum within 15 calendar days after arrival of the fruit in port of destination, as it is the responsibility of the importer to make the claim in the name of the ripener.	Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Banana; Fresh fruit	0.0.0.07
Fresh fruits (SPO) 4.5.5 (HL) & 5.5.5 (HL)	Trader	4.6.0.04	(Fresh fruits, incl. banana) (Only applicable in case you receive a quality claim) In case you do not take responsibility for and handle the quality claim yourself, you transfer the quality claim to the previous actor in the supply chain within 36 hours (excluding weekends and public holidays).	No	Yes		0	C		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Banana; Fresh fruit	0.0.0.07
Fresh Fruits (SOP) 4.5.8 & Fresh Fruits (HL) 5.5.8	Trader	4.6.0.05	(Fresh Fruits, incl. banana) (Only applicable in case you issue a quality claim. You facilitate the counter quality inspection within 5 calendar days after the seller received the quality claim.	No	Yes		0	C		Additional Entity Audit; Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Banana; Fresh fruit	0.0.0.07
Fresh Fruits 4.5.1 (SPO) & 5.5.1 (HL)	Trader	4.6.0.06	(Fresh fruit, incl. banana) (Only applicable in case you issue a quality claim) The quality claim contains at least the following information: • precise data on the shipment: date of loading, name of ship, total Fairtrade volume (number of boxes and kilos), port of destination and if available container identification. • a description of quality problems including photos documenting the defect, codes of all pallets affected, and the extend of a specific quality defect (number of affected boxes per pallet or per container)	No	Yes	The quality claim also includes the date when fruit (or the quality claim) was received and the date of (passing on) the quality claim.	0	C		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Banana; Fresh fruit	0.0.0.07
Fresh Fruits (SPO) 4.5.7 & (HL) 5.5.7	Trader	4.6.0.07	(Fresh fruit, incl. banana) (Only applicable in case you receive a quality claim) If you do not accept refusal of the fruit, you notify the buyer (or ripener) in writing within 2 working days after receiving the quality claim that you will arrange counter-inspection by an authorised surveyor. You contract and pay for the services provided by the surveyor, if not otherwise agreed with your buyer.	The buyer was not informed.	The buyer was informed but not within the 2 working days after receipt of the quality claim.	The buyer was informed on time.	0	C		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Banana; Fresh fruit	0.0.0.07
Fresh Fruits (SPO) 4.5.10 & Fresh Fruits (HL) 5.5.10	Premium Payer, Price Payer, Trader	4.6.0.08	(Fresh fruits, incl. banana) (Importer) (Applicable in case part of the shipment cannot be sold as Fairtrade as a result of shortfalls in orders) You have applied non-Fairtrade conditions to not more than a maximum of 10% of the volume of a shipment.	The company applied non-Fairtrade conditions for more than 10% of the volume of each shipment OR cannot justify that the non-Fairtrade conditions were applied as a result of shortfalls in orders.		The company applied non-Fairtrade conditions for a max. of 10% of the volume of each shipment due to shortfalls in orders and assumed any financial losses related to shortfalls above this percentage.	0	C		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Banana; Fresh fruit	0.0.0.01
Fresh Fruits (SPO) 4.5.6 & Fresh Fruits (HL) 5.5.11	Premium Payer, Price Payer, Trader	4.6.0.09	(Fresh fruits, incl. banana) (Payer) (Importer) (Applicable in case of shortfalls in orders or quality claims) You sell fruit that you paid under non-Fairtrade conditions as a result of shortfalls in sales or quality claims as non-Fairtrade fruit and clearly mark the declassified fruit as "non-Fairtrade" on all documentation. If you cannot remove the Fairtrade reference, you use disclaimers that state that the product is sold under non-Fairtrade conditions.	The Fairtrade reference was not removed and the fruit was sold on as Fairtrade.		The Fairtrade reference was removed OR a disclaimer was made clearly identifying the declassified fruit as "non-Fairtrade".	0	C		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Banana; Fresh fruit	0.0.0.01
Fresh Fruits (SPO) 4.5.6 & (HL) 5.5.6	Premium Payer, Price Payer, Conveyer, Price Payer, Trader	4.6.0.10	(Fresh fruits, incl. banana) (Payer) (Importer) Fairtrade labeled fruit paid under non-Fairtrade conditions (e.g. declassified fruit) is not sold to clients dealing with Fairtrade fruits.	No	Yes		0	C		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Banana; Fresh fruit	0.0.0.01
Fresh Fruits (SPO) 4.5.6 & (HL) 5.5.6	Premium Payer, Price Payer, Conveyer, Price Payer, Trader	4.6.0.12	(Payer, conveyer) (Fresh fruits, incl. banana) (Applicable in case you issue a quality claim to a producer) If the producer accepts the responsibility for a quality problem, you charge only the costs of the fruit and the packing (FOB price), the cost of the transport (shipment until port of destination) and the relevant import duties already paid for the affected part of the shipment to the producer. The costs are transparently proven and the date of the loading in the country of origin is used for the currency conversion rate.	No transparent proof of the costs charged to the producer and/or no proof of the acceptance of the quality problem by the producer.		Quality claim accepted by the producer and transparent proof of costs.	0	C	Additional information: In case of a valid quality claim, i.e. where the fresh fruit cannot be sold as Fairtrade anymore, the Fairtrade Premium does not need to be paid to the supplying producer organization. In case the Fairtrade Premium has already been paid to the producer organization, the payer can claim back the Fairtrade premium already paid. The Fairtrade Premium thus has to be paid only if the fresh fruit is eventually sold as Fairtrade.	Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Banana; Fresh fruit; Wine grapes	0.0.0.01



NA		0.0.0.02	Can physical traceability be checked during the audit of the site?			0	S		Additional Entity Audit; Associate audit; Focused	ALL	ALL	
Fresh Fruits (SPO) 4.5.9 Fresh Fruits (HL) 4.5.9	Trader	4.6.0.13	(Fresh fruits, incl. banana) (Only applicable in case you are involved in a quality claim: You accept the results of the authorized independent surveyors as binding and as ultimate basis of settlement of any dispute over the quality of the fruit.	No	Yes		0	C	Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Banana; Fresh fruit; Wine grapes 0.0.0.07	
Fresh Fruits (SPO) 4.5.13 & 5.5.13 (HL)	Trader	4.6.0.14	(Fresh fruits, incl. banana) (Only applicable in case of declassified Fairtrade transactions due to shortfalls in sales and/or quality claims) You inform all operators in the supply chain (including the producer) within six weeks after arrival of the fruit in the port of destination about declassified Fairtrade transactions including the reason for the non-Fairtrade sales (quality claim or shortfalls in sales).	Actors were not informed,	The actors were informed but not within the six weeks after arrival of the fruit in the port of destination.	The actors were informed on time and the reasons were provided.	0	C	Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Banana; Fresh fruit; Wine grapes 0.0.0.07	
Fresh Fruits (SPO) 4.5.14 & 5.5.14 (HL)	Trader	4.6.0.15	(Payer, importer) (Fresh fruits, incl. Banana) (Only applicable in case of non-Fairtrade sales) You inform the certification body of every transaction of non-Fairtrade sales that were originally ordered as Fairtrade within six weeks after arrival of the fruit in the port of destination. In the case of quality claims, you also include the costs related to the claim that were charged back to the producer.	The certification body was not informed about non-Fairtrade sales or claims (in case of quality issues).		The certification body was informed and claims were provided (in case of quality issues).	0	C	Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Banana; Fresh fruit; Wine grapes 0.0.0.07	
<b>4.7 Capacity Building</b>												
Cocoa 4.1.2	Trader	4.7.0.04	(As of October 2017) (Cocoa) (Applicable if you provide a service (e.g. training) or support activity to the producers.) You agree in advance and in writing with the producer organisation on all terms and conditions (including fees) of the service provided.	No written agreement in place with the producers on the terms and conditions of the services provided.		There is a written agreement on the terms and conditions of the service.	0	C	remote	Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit	ALL	Cocoa 0.0.0.07
Cocoa 4.1.2	Trader	4.7.0.05	(As of October 2017) (Cocoa) (Applicable if you provide a service (e.g. training) or support activity to the producers.) You do not put pressure on producers to accept the services and the fee, nor do you make it a condition of purchase.	Producers are pressured to accept the offer.		There is no pressure put on producers.	0	C	remote	Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit	ALL	Cocoa 0.0.0.07
<b>4.8 Trading with Integrity</b>												
4.8.1	Trader	4.8.0.01	There are no indications that you engage in unfair practices that clearly damage the producers' or other traders' capacity to compete or in the imposition of trading conditions on suppliers that would make it difficult for them to comply with Fairtrade Standards.	Unfair trading practices are common.	There are isolated instances of unfair trade practices noticed in the audit.	There are no identified instances of engagement in unfair trading practices.	0	M	Determining compliance: Examples of unfair trading practices include: - putting pressure on suppliers - demanding prices to suppliers that are below the supplier's cost of buying the Fairtrade product - selling Fairtrade products below the cost of buying them from producers.	Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	ALL
Gold 2.3.15	Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader	4.8.0.02	(Gold) You do not make the purchase of certified precious metals conditional to the sale of a quantity of non-certified product under terms that are distinctly disadvantageous to the miners.	There is an allegation related to or proof of the practice of conditional contracts.		No indication of conditional contracting taking place AND no related allegation.	0	C		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Gold & associated Precious Metals 0.0.0.01
Gold 2.3.1	Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader	4.8.0.03	(Gold) You do not provide direct or indirect support to armed groups or their affiliates, neither in your own Fairtrade operations nor the ones of your suppliers.	There are indications of direct or indirect support to armed groups or their affiliates AND no actions are taken to change the situation.	There are indications of direct or indirect support to armed groups or their affiliates BUT first steps are taken to change the situation.	There are no indications of direct or indirect support to armed groups or their affiliates.	0	M	RANK 3 AND there is a system in place to prevent direct or indirect support of armed groups and their affiliates.	Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Gold & associated Precious Metals 0.0.0.01
Gold 2.3.1	Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader	4.8.0.04	(Gold) You do not directly or indirectly cause, tolerate or benefit from any acts of armed violence or of serious human right abuses such as human trafficking and slavery, neither in your own operations nor the ones of your suppliers.	There are indications of causing, tolerating or benefiting from acts of armed violence or of serious human right abuse AND no actions are taken to change the situation.	There are indications of causing, tolerating or benefiting from acts of armed violence or of serious human right abuse BUT actions are taken to change the situation.	There are no indications of causing, tolerating or benefiting from acts of armed violence or of serious human right abuse.	0	M	RANK 3 AND there is a system in place to prevent causing, tolerating or benefiting from acts of armed violence or of serious human right abuse.	Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Gold & associated Precious Metals 0.0.0.01



NA	0.0.0.02	Can physical traceability be checked during the audit of the site?	0	S	Additional Entity Audit; Associate audit; Focused	ALL	ALL							
Gold 2.3.2, Gold 2.3.3	Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader	4.8.0.05 (Gold) You have a conflict mineral and human rights policy in place, covering  a) information about the due diligence measures to be conducted to ensure its application;  b) a procedure (or reference to it) regulating control of the gold and precious metal during transport;  c) and the following aspects:  • No abuses, torture, cruel or inhumane treatment  • No forced or compulsory labour  • No worst forms of child labour  • No human rights abuses and violations such as sexual violence  • No war crimes, crimes against humanity, genocide or other serious violations of international humanitarian law,  • No direct or indirect or indirect support of non-state armed groups  • No direct or indirect support of private or public security forces illegally controlling the mines sites  • No bribery, or money laundering  • No fraudulent misrepresentation of origin of minerals	There is no conflict mineral and human rights policy in place.	A conflict mineral and human rights policy is in place BUT it does not cover all required details.	A conflict mineral and human rights policy is in place, covering all required details.	RANK 3 AND it is publicly available for all miners.	RANK 4 AND it is written in a language understood by miners.	0	C	Determining compliance: The due diligence refers to the steps the organisation has taken to make sure the policy is implemented. The following are examples of such steps: - How does the company ensure that all relevant stakeholders (workers, suppliers, etc.) know that this policy exist? - What steps are taken in case there is a case that violates any of the points raised in the policy? - How can anyone act to implement the policy, when is it evaluated?	Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Gold & associated Precious Metals	0.0.0.01
Gold 2.3.4	Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader	4.8.0.06 (Gold) You have identified and assessed  • whether you purchase Fairtrade gold or precious metal from a supplier located in a conflict-affected or high risk area  • whether there are risks regarding the direct or indirect financing or support to armed groups or of illegal activities within their own operations.	No assessment has been carried out.	The assessment only covers one of the requested points.	A complete assessment has been carried out.	RANK 3 AND sources used for the assessment are documented.	RANK 4 AND these sources of information are those or similar to those listed in the Fairtrade Standard.	0	C		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Gold & associated Precious Metals	0.0.0.01
Gold 2.3.5	Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader	4.8.0.07 (Gold) (Applicable in case any risks were identified in the assessment) You have put in place a risk management system including  • assignment of a senior staff member responsible for managing the due diligence on direct or indirect support of conflicts and of human rights abuses  • conducting regular risk assessments (see Standard requirement 2.3.4, CC 4.8.0.06)  • conducting of unannounced spot-checks of all areas and facilities their supply chains  • conducting regular awareness-raising sessions with staff on how to report on potential conflict- related issues or human rights abuses	No actions were taken to set up a risk management system.	First steps to setup a risk management system were taken but system is not in place yet.	A risk management system covering all required aspects is in place.	RANK 3 AND actions taken are well documented.	RANK 4 AND there is a system to follow up on results of the spot-checks.	1	C		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Gold & associated Precious Metals	0.0.0.01
Gold 2.3.6	Premium Conveyor, Premium Payer, Price Conveyor, Price Payer, Trader	4.8.0.08 (Gold) (Applicable in case any risks were identified in the assessment) You have reported to interested stakeholders and commercial partners on the due diligence and risk management measures taken. The report does not contain commercially sensitive or confidential business information and is in line with any non-disclosure agreement signed (if applicable)	Although there were requests from partners no report was provided.	A report was provided BUT it does not ensure confidentiality or what was agreed in a non-disclosure agreement.	Reports that respect confidentiality are provided to interested partners on request.	Reports that respect confidentiality are provided pro-actively.		1	C		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Gold & associated Precious Metals	0.0.0.01



NA		0.0.0.02	Can physical traceability be checked during the audit of the site?				0	S		Additional Entity Audit; Associate audit: Focused	ALL	ALL		
Fibre Crops 2.4.1, 2.4.2	Trader	4.8.0.10	(Seed cotton) (Not applicable to spinners operating only under the FSI model) You and all your additional entities and / or subcontractors have a valid Social Indicator that demonstrates that you show efforts to comply with the following ILO Conventions:  <ul style="list-style-type: none"> <li>• Hours of work [1919]</li> <li>• Forced Labour [1930]</li> <li>• Freedom of Association and Protection of the Right to Organize [1948]</li> <li>• Right to Organize and Collective Bargaining [1949]</li> <li>• Equal remuneration [1951]</li> <li>• Abolition of Forced Labour [1957]</li> <li>• Discrimination (Employment and Occupation) [1958]</li> <li>• Minimum wage fixing [1970]</li> <li>• Minimum Age Convention [1973]</li> <li>• Occupational Safety and Health [1981]</li> </ul>	No social indicator is available.	Social indicator is not valid anymore	Valid social indicator available.	0	C	Additional information: The social indicator is valid if it is not older than two years.  Applicable indicators are listed in the Fibre Crops Standard requirement 2.4.2.	Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Cotton	0.0.0.07	
Standard interpretation for 4.8.1	Trader	4.8.0.11	(Orange juice; Coffee, applicable to green bean sales and purchases) You do not sell or buy Fairtrade products below the Fairtrade minimum price, the Fairtrade premium and the organic differential (if applicable).	Selling or buying Fairtrade products below the Fairtrade minimum price, the Fairtrade premium and the organic differential (if applicable) is common.	There are isolated instances of selling or buying Fairtrade products below the Fairtrade minimum price, the Fairtrade premium and the organic differential (if applicable).	There are no identified instances of selling or buying Fairtrade products below the Fairtrade minimum price, the Fairtrade premium and the organic differential (if applicable).	Rank 3 AND prohibition of selling or buying Fairtrade products below the Fairtrade minimum price, the Fairtrade premium and the organic differential (if applicable) is an integral part of the company's compliance	0	C		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Coffee; Fruit juices	
Fresh fruits (SPO) 4.5.12 & Fresh Fruits (HL) 5.5.12	Trader	4.8.0.12	(Importer) (Fresh Fruit) There are no indications that you use the practice of shortfalls in sales to supply a Fairtrade or non-Fairtrade trade partner with fruit labelled as Fairtrade that was bought under non-Fairtrade conditions on a regular basis.	This practice is common.	There are isolated instances of this practice.	There are no identified instances of this practice.		0	C	Determining compliance: A practice is considered as common if it happens often or repeatedly on a fixed pattern (e.g. every December).	Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Banana; Fresh fruit; Wine grapes	0.0.0.01
Standard Interpretation for 4.8.1	Premium Conveyor, Price Conveyor, Payer, Trader	4.8.0.14	(Cocoa) (Ivory Coast, Ghana) (Applicable only if you buy and sell cocoa beans) If you charge your customer the applicable Fairtrade minimum price differential for the respective cocoa season, you demonstrate that you have purchased cocoa beans from the producer organization at the same Fairtrade minimum price differential.	No evidence available to link Fairtrade minimum price differential charged to customers to Fairtrade minimum price differential paid to producer organization.		Evidence available to link Fairtrade minimum price differential charged to customers to Fairtrade minimum price differential paid to producer organization.	You have a Transparent Pricing Policy with your customers which details when the cocoa beans were purchased from producer organizations (e.g. total volumes purchased per cocoa season with the applicable Fairtrade minimum price differential for each cocoa season), and how this impacts the prices of the cocoa beans being charged during a particular time	0	C	Determining compliance: Evidence can be provided in different ways: - Traders can ensure voluntary physical traceability, i.e. clearly link a purchase (contract) / physical delivery of cocoa beans to a sales (contract) / physical delivery of cocoa beans. - Traders can implement 'dual mass balance', i.e. separate mass balance overviews per cocoa season.	Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	Ghana; Ivory Cocoa	0.0.0.01	



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NA		0.0.0.02	Can physical traceability be checked during the audit of the site?		0	S		Additional Entity Audit; Associate audit: Focusedset	ALL	ALL		
Standard Interpretation for 4.8.1	Trader	4.8.0.15	(Cocoa) (Applicable only if you buy cocoa beans from Ivory Coast or Ghana, via your supplier) If you charge your customer the applicable Fairtrade minimum price differential for the respective cocoa season, you demonstrate that you have purchased cocoa beans from your supplier at the same Fairtrade minimum price differential.	No evidence available to link Fairtrade minimum price differential charged to customers to Fairtrade minimum price differential paid to supplier.	Evidence available to link Fairtrade minimum price differential charged to customers to Fairtrade minimum price differential paid to supplier.	You have a Transparent Pricing Policy with your customers which details when the cocoa beans were purchased from suppliers (e.g. total volumes purchased per cocoa season with the applicable Fairtrade minimum price differential for each cocoa season), and how this impacts the prices of the cocoa beans or semi-finished cocoa products being charged during a particular time period.	0	C	Determining compliance: Evidence can be provided in different ways: - Traders can for example ensure voluntary physical traceability, i.e. clearly link a purchase (contract) and physical delivery of cocoa beans to a sales (contract) and physical delivery of cocoa beans. - Traders can implement a 'dual mass balance', i.e. separate mass balance overviews per cocoa season.	Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Cocoa
Standard Interpretation for 4.8.1	Trader	4.8.0.16	(Cocoa) (Applicable only if you buy cocoa beans from Ivory Coast or Ghana, via your supplier) You do not pressurize your supplier by refusing to pay the applicable Fairtrade minimum price differential for the respective cocoa season.	Evidence available that customer refused to pay the applicable Fairtrade minimum price differential for the respective cocoa season.	No evidence available that customer refused to pay the applicable Fairtrade minimum price differential for the respective cocoa season.		0	C		Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	Cocoa
		5	Follow up Audit							remote		
		5.2	Status of CMOE- Result of Follow up Audit							remote		
		5.1	Follow up Audit Information - Generic							remote		
		5.3	Reason for Follow up Audit							remote		
		6	Combined Audit							remote		
NA	Trader	6.0.0.01	The Fairtrade audit was a combined audit with another certification/verification scope, e.g. NFO audits. (if yes, please document the scope in the comment field).		Yes		0			Focused audit; Initial Audit; Remote Focused Audit; Remote Initial Audit; Remote Renewal Audit; Renewal Audit; Unannounced Audit	ALL	ALL